

Invisibles Webinar

28 October 2014

# Not So Sterile Neutrinos

## Connections to DM and other astrophysics

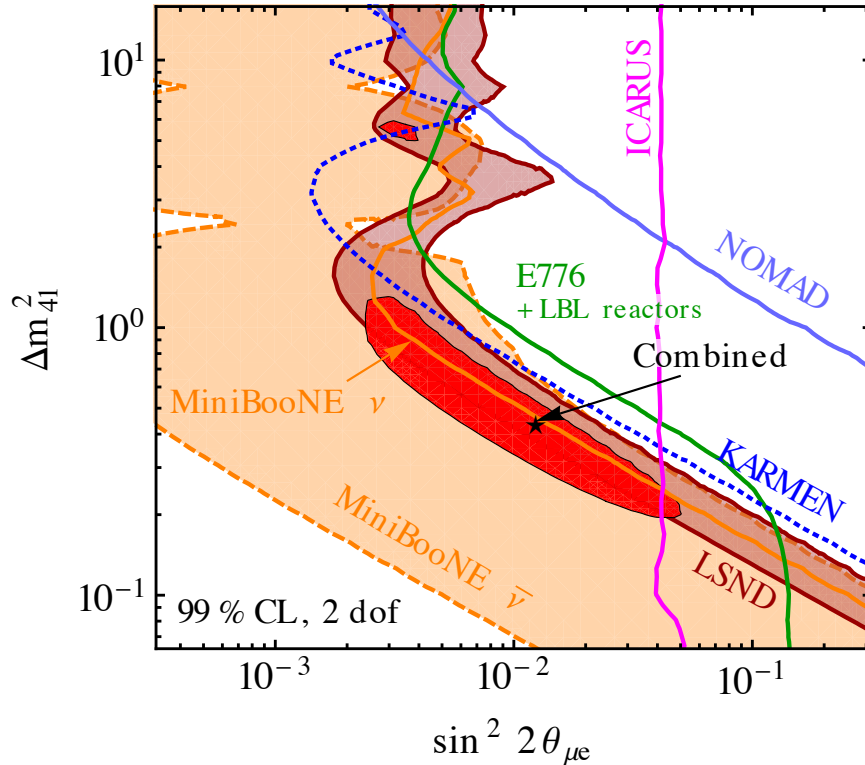
Basudeb Dasgupta

ICTP, Trieste

# Why Sterile Neutrinos ?

- Generic extensions of SM
- Seesaw mechanism
- Baryogenesis via Leptogenesis
- Dark Matter (keV)
- X-ray lines (keV)
- Pulsar kicks (keV)
- Neutrino oscillations (eV)

# Sterile Neutrinos at 1eV



Global fit to all appearance data is consistent, and 3+2 or 1+3+1 models are better fits than 3 only or 3+1.

Machado, Kopp, Maltoni, Schwetz (2013)

+ similar results by

Palazzo;

Giunti, Laveder, et al;

Conrad et al., ...

If one takes the neutrino oscillation anomalies seriously, one needs 1 or 2 sterile neutrinos with large mixings

# Active - Sterile Oscillations

$$\mathcal{L}_{\nu\text{-mass}} = -\frac{M_{ij}}{2} \bar{\nu}_i^c \nu_j + h.c.$$

where,

$$M_{ij} = \begin{pmatrix} 0_{3 \times 3} & M_{3 \times N}^D \\ \dots & M_{N \times N}^M \end{pmatrix}$$

which diagonalizes to

$$M_{ij}^{\text{diag}} = \begin{pmatrix} M_D M_M^{-1} M_D^T & 0 \\ 0 & M_M \end{pmatrix}$$

N SM singlets mix with ordinary neutrinos and lead to 3 mostly active  $(M^D)^2/(M^M)$  and N mostly sterile  $(M^M)$  neutrinos

# Active-Sterile Oscillations

$$i\partial_t \begin{pmatrix} \nu_a \\ \nu_s \end{pmatrix} = \frac{\Delta m_{as}^2}{4E} \begin{pmatrix} \cos 2\theta_{as} & \sin 2\theta_{as} \\ -\sin 2\theta_{as} & \cos 2\theta_{as} \end{pmatrix} \begin{pmatrix} \nu_a \\ \nu_s \end{pmatrix}$$

Exactly like ordinary neutrino oscillations, but different parameters, in general.

Sterile neutrinos do not feel any MSW potentials from electrons, protons, neutrons etc.

# Equivalent (to) Neutrinos

During the radiation dominated epoch in the expanding Universe

$$\rho = \sum_i \frac{\pi^2}{30} g_i T_i^4$$

where,

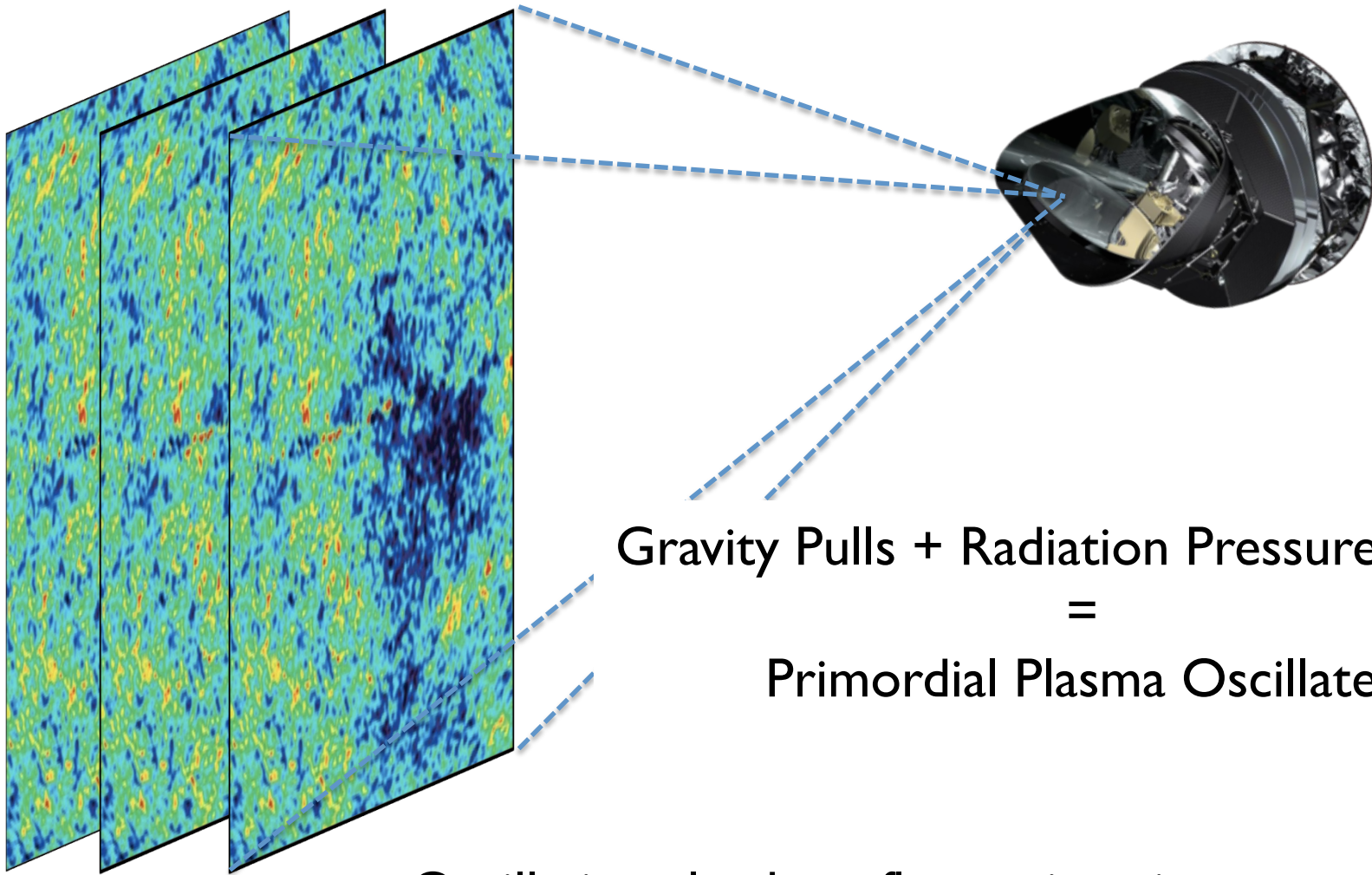
$g$  = no. of states, for bosons

$g = 7/8$  x no. of states, for fermions

$$\rho = \left( 1 + \frac{7}{8} \left( \frac{4}{11} \right)^{4/3} N_{\text{eff}} \right) \rho_\gamma$$

Often we parametrize new relativistic dofs as if they were neutrinos. Why?

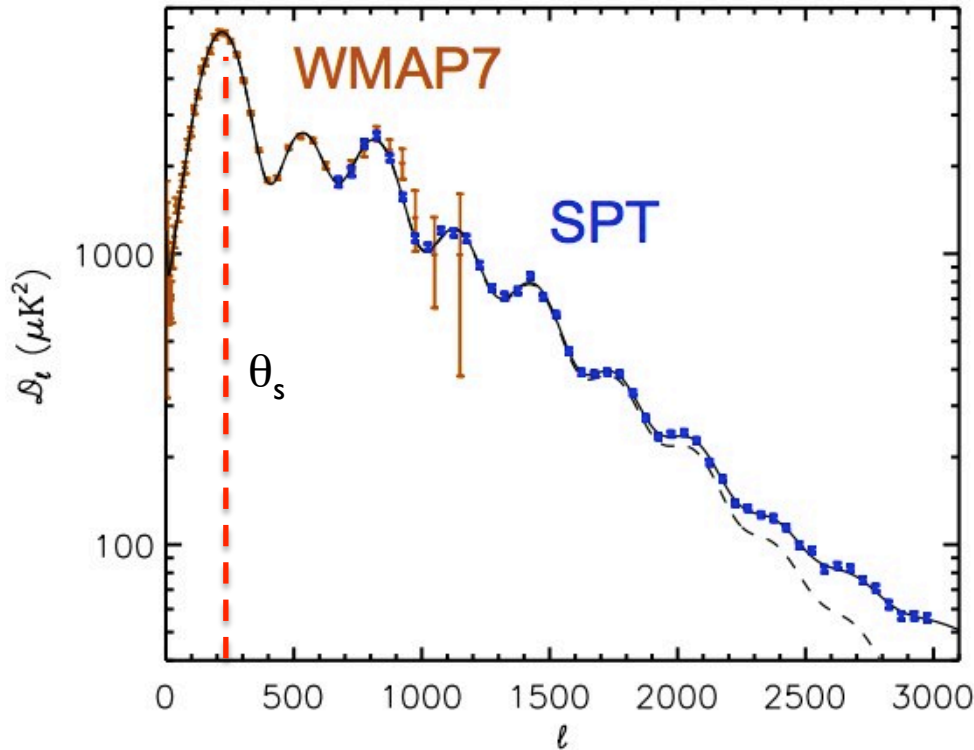
# CMB in a Nutshell



Gravity Pulls + Radiation Pressure Pushes  
=  
Primordial Plasma Oscillates

Oscillations leads to fluctuations in temperature  
and polarization of the CMB photons

# What do we measure?



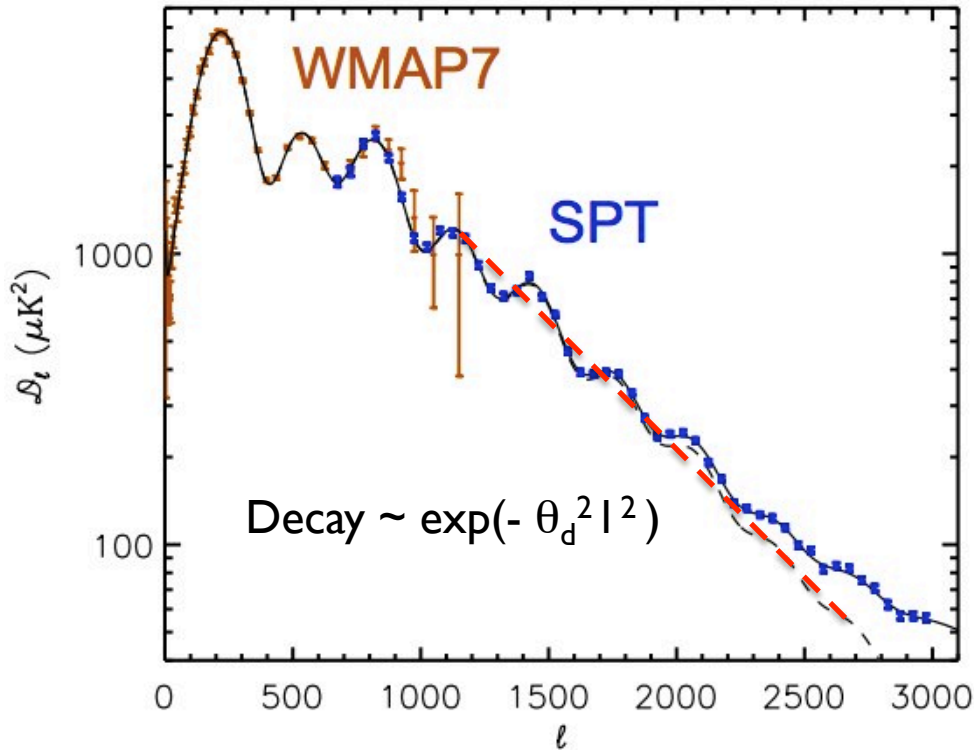
$$r_s \propto \int_0^{a_{\text{rec}}} da \frac{c_s}{a^2 H}$$

$r_{\text{sound}}$  is the max. size of the waves.  
 $\theta_s = r_s / D_A$

First peak measures the size of the sound horizon



# What do we measure?

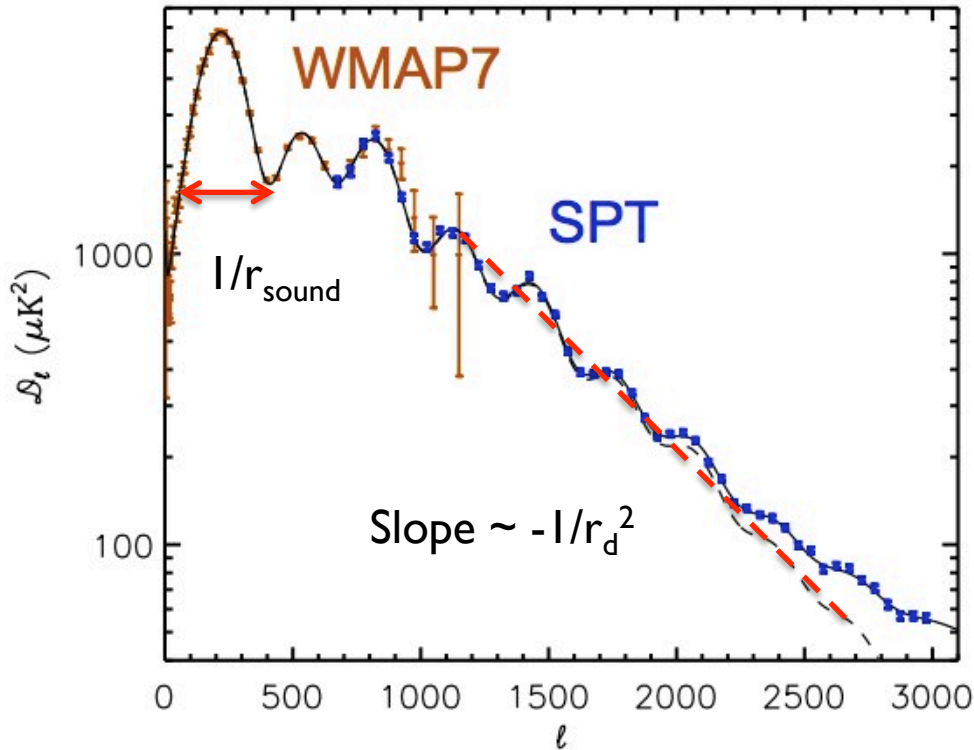


$$r_d^2 \propto \int_0^{a_{\text{rec}}} da \frac{1}{a^3 H n_e \sigma_T}$$

$r_d$  is the photon diffusion length  
 $\theta_d = r_d/D_A$

High multipoles measure diffusion length of photons in the plasma

# What do we measure?



$$r_d \propto \frac{1}{\sqrt{H}}$$

$$r_s \propto \frac{1}{H}$$

$$\frac{r_d}{r_s} \propto \sqrt{H}$$

Together they allow us to measure  $H$  at the CMB epoch

# Why neutrinos?

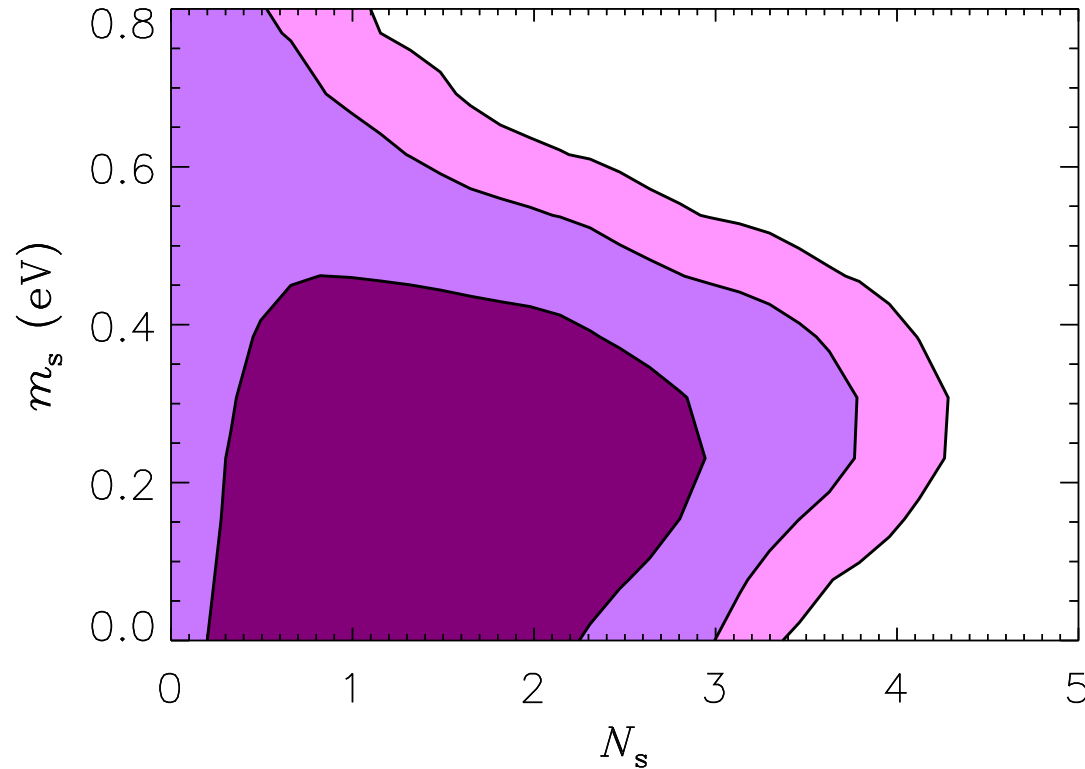
$$\left(\frac{\dot{a}}{a}\right)^2 \equiv H^2 \propto (\rho_\gamma + \rho_{\text{mat}} + \rho_\nu)$$

Extra radiation increases energy density, and thus the expansion rate

1. Photon density known, from CMB temperature=3K
2. Matter density known, from amplitudes of peaks

So, measuring H = measuring “neutrino” energy density

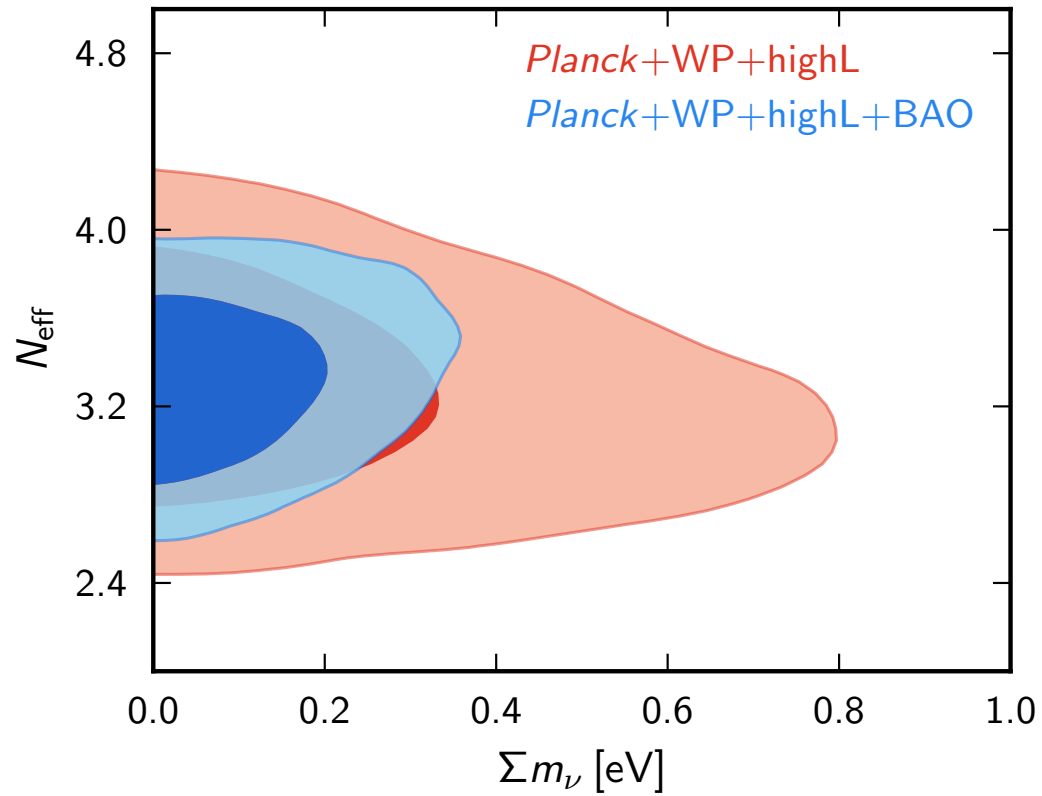
# A Blossoming Friendship ...



After WMAP-7 (+ LSS + small-scale CMB + H-HST) mild preference for extra radiation

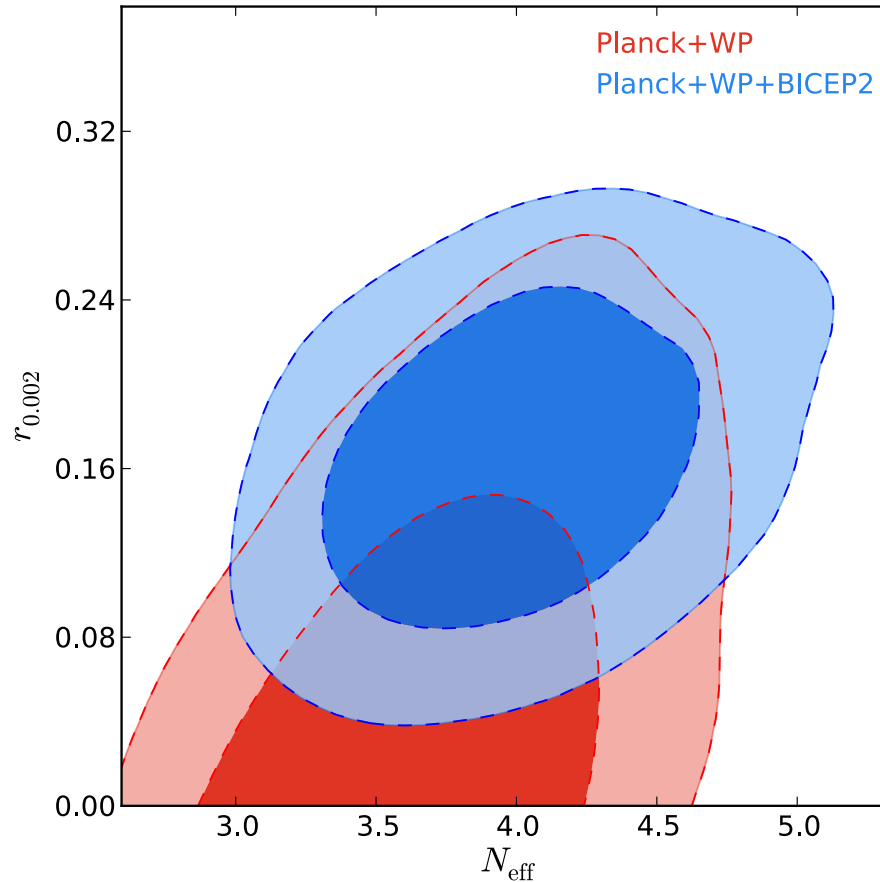
“Cosmology seeking friendship with ...” extra radiation.

# ... Break-Up



No evidence for extra radiation!

# Friendship Renewed?



Planck and BICEP2 values of  $r$  come into closer agreement if there is extra radiation.

# Dark Radiation Candidates

- Many possibilities with good motivations ...
  - Thermal QCD axions (Strong CP)
  - Hidden Photons (Extra  $U(1)$ s, ...)
  - Sterile Neutrinos (This talk)
  - ...

# Cosmological Sterile Neutrinos

- Vacuum mixing (Dodelson-Widrow)
  - Usual mixing of active-sterile.
  - Hot sterile nus.
- Resonant production (Shi-Fuller)
  - Steriles produced only via a MSW resonance that needs a large lepton asymmetry.
  - Cold/Warm sterile nus.
- ...



# Effective $m$ and $N$

Often the temperature of the active neutrinos and the sterile neutrinos are not the same.

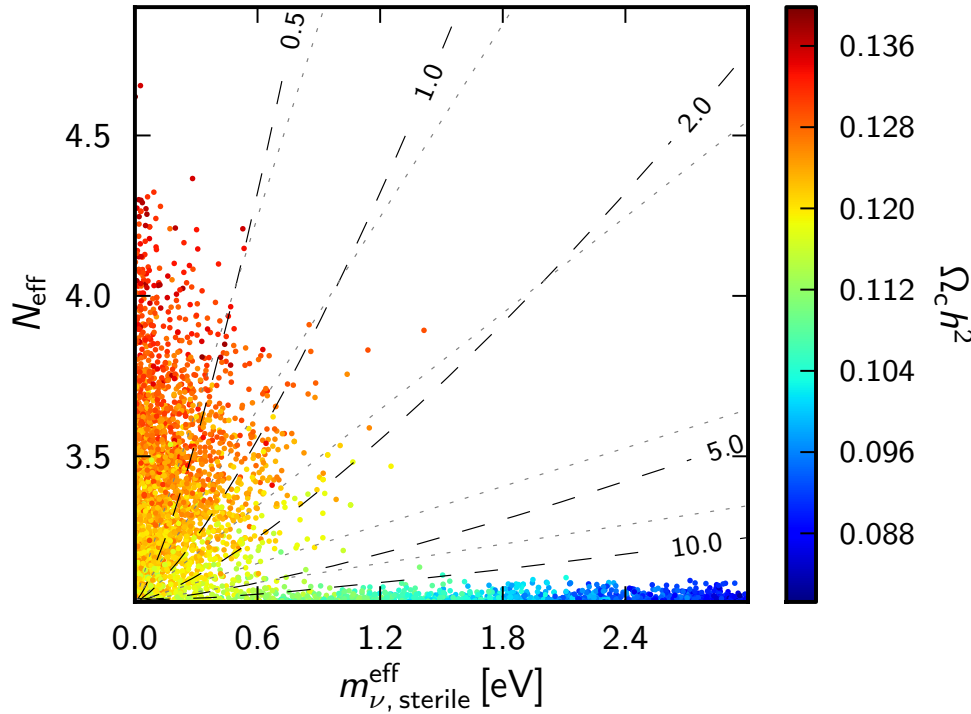
Even if sterile neutrinos were in equilibrium at some early temperature, the decay of SM (or Sterile) sector particles can lead to different temperatures.

Say the two were in thermal equilibrium above  $\sim \text{TeV}$ , then

$$T_s = \left( \frac{g_*(T_\gamma)}{g_*(\text{TeV})} \right)^{1/3} T_\gamma$$

So effective number and energy densities of sterile neutrinos can be different (lower). But typically oscillations bring them back in equilibrium, and this suppression is absent.

# Endangered Sterile Neutrinos



Oscillation-friendly neutrinos  
are in tension with cosmology

$$\left. \begin{array}{l} N_{\text{eff}} < 3.80 \\ m_{\nu, \text{sterile}}^{\text{eff}} < 0.42 \text{ eV} \end{array} \right\} (95\%; \text{CMB+BAO for } m_{\text{sterile}}^{\text{thermal}} < 10 \text{ eV}).$$

(83)

These bounds are only marginally compatible with a fully thermalized sterile neutrino ( $N_{\text{eff}} \approx 4$ ) with sub-eV mass  $m_{\text{sterile}}^{\text{thermal}} \approx m_{\nu, \text{sterile}}^{\text{eff}} < 0.5 \text{ eV}$  that could explain the oscillation anomalies.

Take Away # 1:

Strong cosmological bounds on a well-mixed light sterile neutrino.

# Ways to avoid the constraint

- Large lepton asymmetry
  - Foot and Volkas (1995)
- Majorons
  - Babu and Rothstein (1992), Bento and Berezhiani (2001),
- Very low reheating temperature
  - Gelmini, Palomarez-Ruiz, Pascoli (2004)
- Dilution by decay of exotic heavy particles
  - Fuller, Kishimoto, Kusenko (2011), Ho and Scherrer (2012), ...
- ...

**Not only avoid the constraint, but  
something better**

Based on  
Dasgupta and Kopp, Phys. Rev. Lett. 112 (2014)

# The Not-So-Sterile Neutrino

$$\mathcal{L} = e_\nu \bar{\nu}_s \gamma_\mu \nu_s A'_\mu$$

Add to SM a sterile neutrino that has some gauge interaction via a new light gauge boson A.

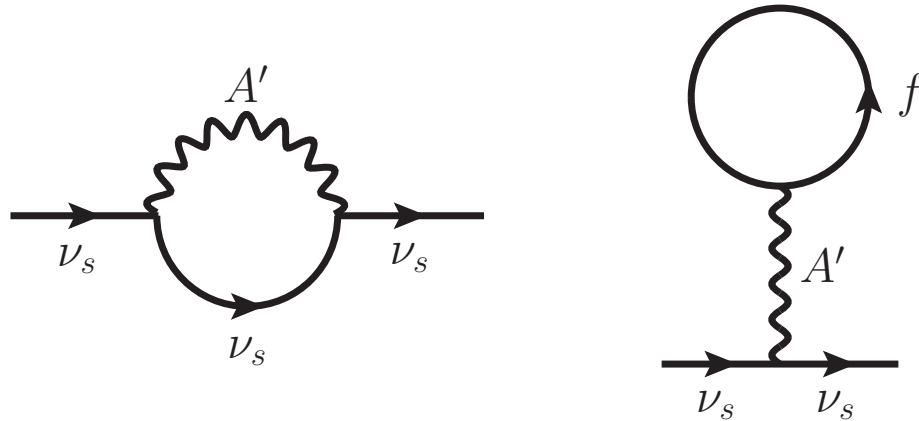
Initially sterile and active sectors in equilibrium, and decouple at  $T > 100$  GeV.

Because of energy injection into photons,  $T_s = \left( \frac{g_*(T_\gamma)}{g_*(\text{TeV})} \right)^{1/3} T_\gamma$

Leads to extra  $N_{\text{eff}} \sim 0.5$  by BBN thermally.

What about oscillations?

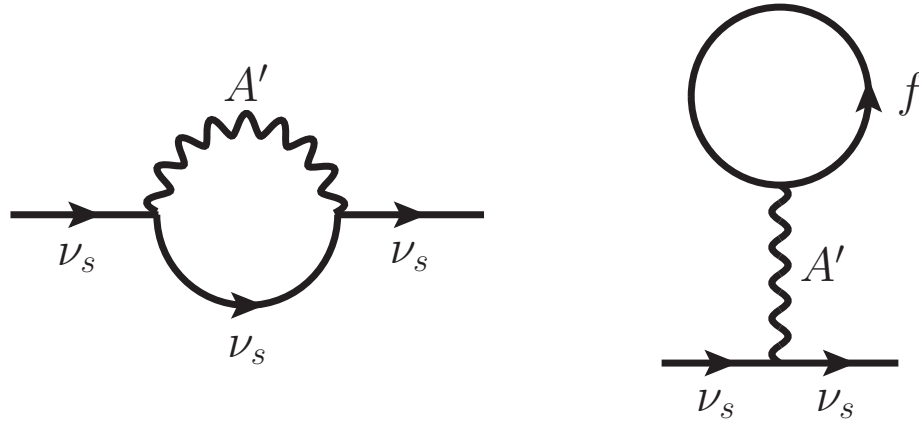
# Thermal Masses



Sterile neutrinos acquire a “thermal mass” due to their interactions with virtual/real gauge bosons which can be quite large at high-T.

They are not produced by oscillations if this mass exceeds the active-sterile neutrino oscillation frequency.

# Thermal Masses



$$\Sigma(k) = (m - a\not{k} - b\not{\psi})P_L$$

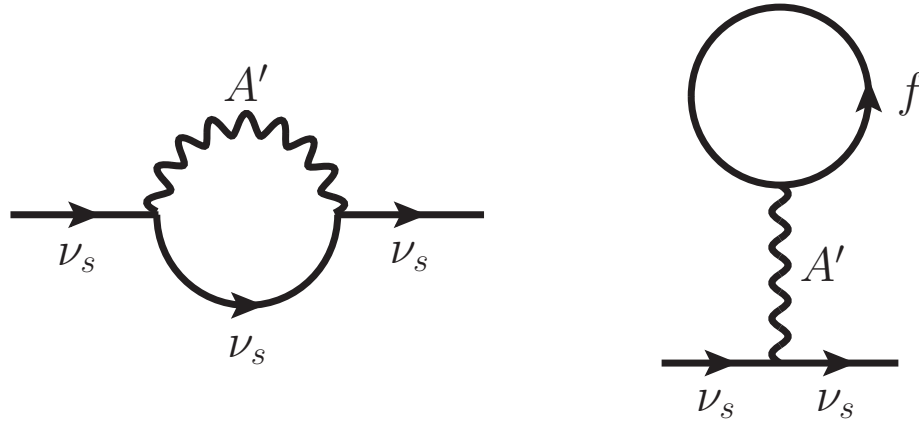
$$\det(\not{k} - \Sigma(k)) = 0$$

$$k^0 = |\mathbf{k}| + \frac{m^2}{2|\mathbf{k}|} - b$$

Ultrarelativistic



# Thermal Masses

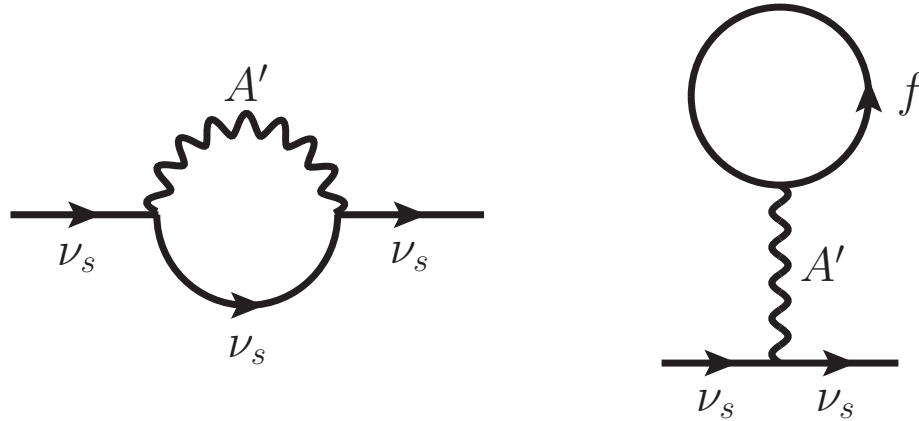


$$k^0 = |\mathbf{k}| + \frac{m^2}{2|\mathbf{k}|} - b \quad \rightarrow \quad V_{\text{eff}} \equiv -b$$

Ultrarelativistic

$$b = \frac{1}{2\mathbf{k}^2} \left[ [(k^0)^2 - \mathbf{k}^2] \text{tr } \not{\psi} \Sigma(k) - k^0 \text{tr } \not{k} \Sigma(k) \right]$$

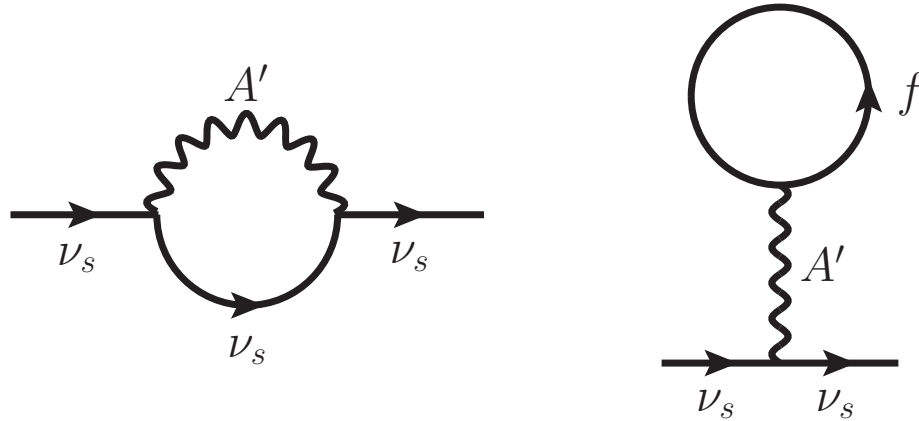
# Thermal Masses



$$\Sigma_{\text{bubble}}(k) = -ie_{\chi}^2 \int \frac{d^4 p}{(2\pi)^4} \gamma^{\mu} P_L iS(p+k) \gamma^{\nu} iD_{\mu\nu}(p),$$

$$\Sigma_{\text{tadpole}}(k) = ie_{\chi}^2 \gamma^{\mu} P_L iD_{\mu\nu}(0) \int \frac{d^4 p}{(2\pi)^4} \text{tr} \left[ \gamma^{\nu} P_L iS(p) \right]$$

# Thermal Masses



$$S(p) = (\not{p} + m) \left[ \frac{1}{p^2 - m^2} + i\Gamma_f(p) \right]$$

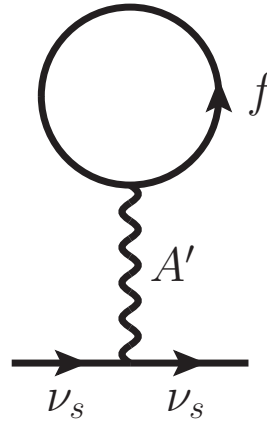
$$D_{\mu\nu}(p) = (-g_{\mu\nu}) \left[ \frac{1}{p^2 - M^2} - i\Gamma_b(p) \right]$$

$$\Gamma_f(p) = 2\pi\delta(p^2 - m^2)\eta_f(p)$$

$$\Gamma_b(p) = 2\pi\delta(p^2 - M^2)\eta_b(p)$$

(There's a typo in the arxiv version, and the journal version)

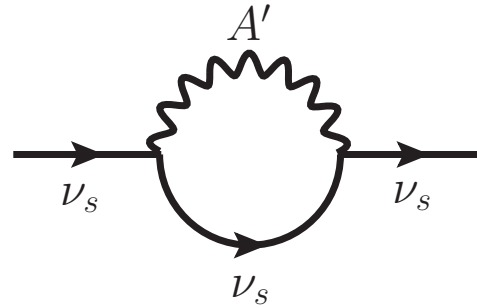
# Thermal Masses



$$V_{\text{eff}}^{\text{tadpole}} \simeq \frac{2\pi\alpha_\chi}{M^2} (n_f - n_{\bar{f}})$$

Usual MSW term. We could assume an asymmetry in sterile neutrinos.

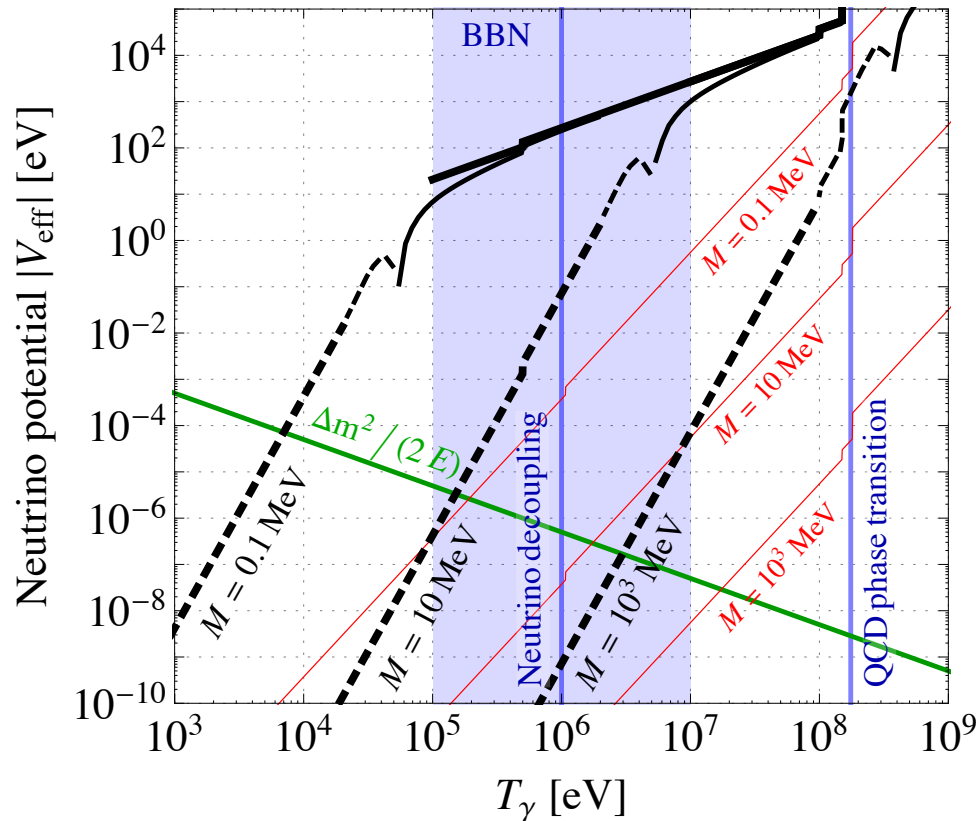
# Thermal Masses



$$V_{\text{eff}}^{\text{bubble}} \simeq \begin{cases} -\frac{28\pi^3 \alpha_\chi E T_s^4}{45M^4} & \text{for } T_s, E \ll M \\ +\frac{\pi \alpha_\chi T_s^2}{2E} & \text{for } T_s, E \gg M \end{cases}$$

Purely thermal contribution. Exists even with no asymmetry.

# Thermal MSW Potential



If  $M < 10 \text{ MeV}$  the thermal potential can be large

# MSW suppression

$$\sin^2 2\theta_m = \frac{\sin^2 2\theta_0}{\left(\cos 2\theta_0 + \frac{2E}{\Delta m^2} V_{\text{eff}}\right)^2 + \sin^2 2\theta_0}$$

$$|V_{\text{eff}}| \gg \left| \frac{\Delta m^2}{2E} \right|$$

No production by oscillations. Also thermalization rate is similarly suppressed.

$N_{\text{eff}}$  is increased by  $\sim 0.5$  due to sterile neutrinos at BBN (much less at CMB)

# Some Comments

- Detailed dynamics should consider MSW resonances
- Adiabaticity effects
- Non-forward scattering processes
- Sterile neutrino decoupling is slightly earlier than 1 MeV due to mixing angle suppression
- Tails of the thermal distribution
- $V \ll T$ , so relativistic approximation holds



# Full QKE

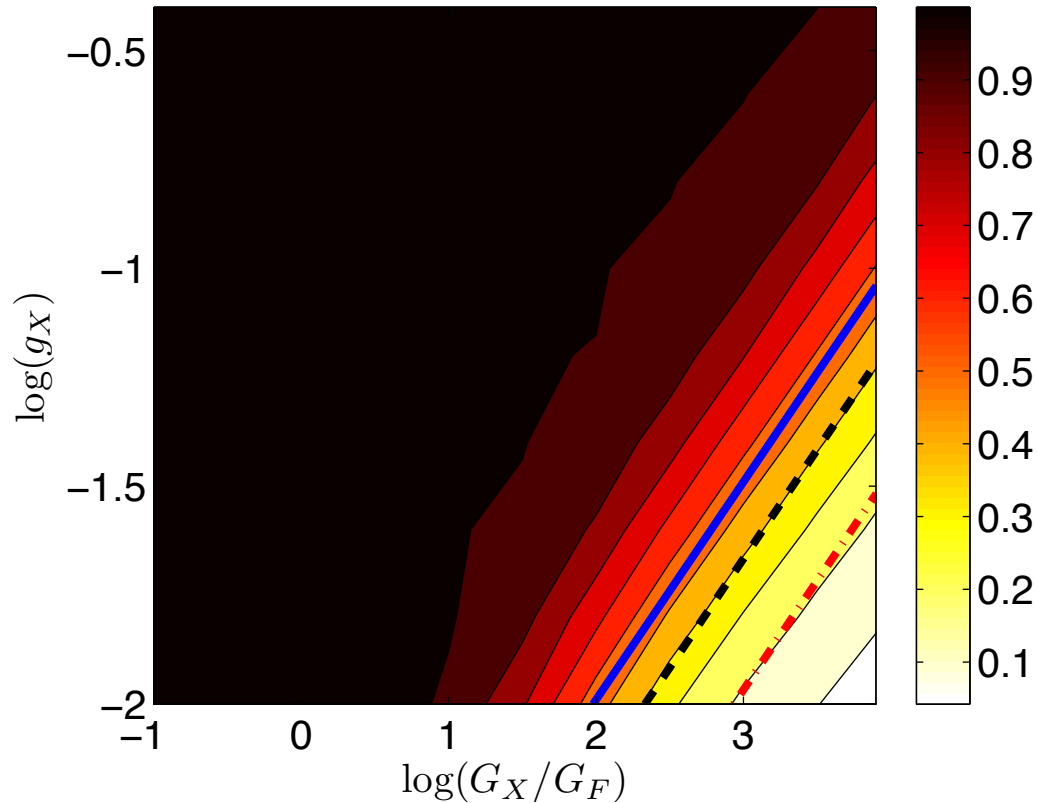
$$\begin{aligned}\dot{\mathbf{P}} &= \mathbf{V} \times \mathbf{P} - D(P_x \mathbf{x} + P_y \mathbf{y}) + \dot{P}_0 \mathbf{z} , \\ \dot{P}_0 &= \Gamma \left[ \frac{f_{\text{eq}}}{f_0} - \frac{1}{2}(P_0 + P_z) \right]\end{aligned}$$

Besides oscillations, scattering processes also taken into account.  
The scattering rate is

$$\Gamma = C_a G_F^2 x T^5$$

$$D = \frac{1}{2} \Gamma .$$

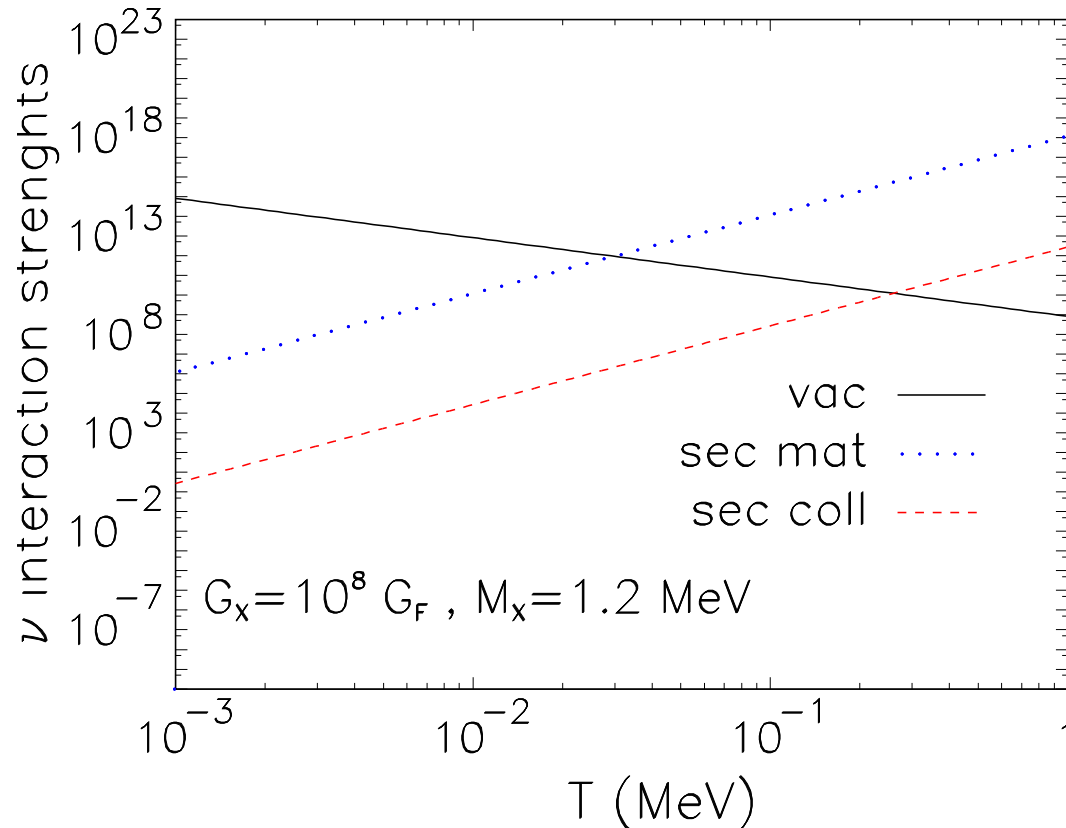
# Fractional dofs from QKE



For  $\sim 100$  MeV boson  
One can easily suppress  
 $N_{\text{eff}}$  to below 0.5

# Post-BBN Thermalization

Mirizzi, Mangano, Pisanti, Saviano (2014)



For  $\sim 1$  MeV boson,  
one equilibrates sterile  
and active neutrinos through  
collisional decoherence

In some cases tension with  
mass bounds ☹

Speaker's note added: This constraint rules out almost all parameter space except two regions at  $M \sim 1$  MeV and  $g \sim 10^{-4}$  and at  $M \sim 0.1$  MeV with  $g \sim 0.1$  (less obvious).

# Comments

- Detailed dynamics should consider MSW resonances
- Adiabaticity effects
- Non-forward scattering processes
- Sterile neutrino decoupling is slightly earlier than 1 MeV due to mixing angle suppression
- Tails of the thermal distribution
- $V \ll T$ , so relativistic approximation holds

Take Away # 2:

“Gauged”-sterile can avoid the bounds.

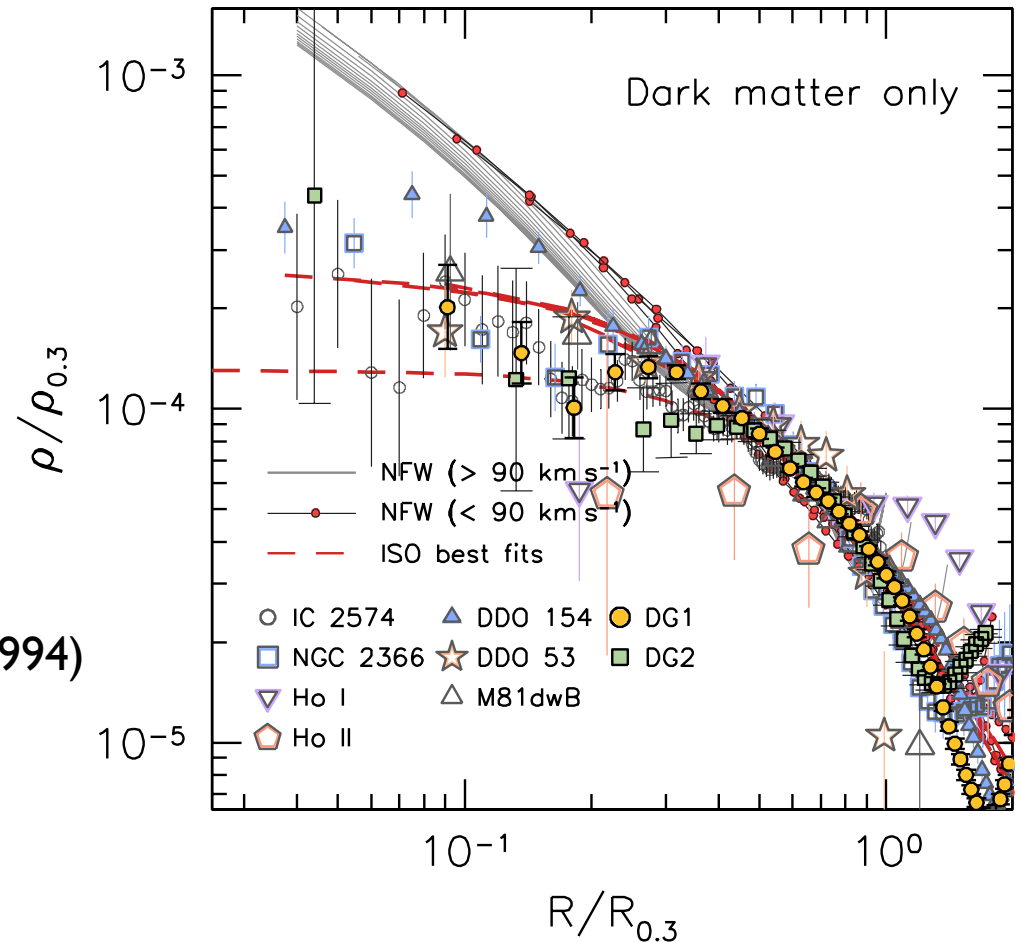
# Unintended Consequences

# Core-vs.-Cusp

Profiles of dwarf spheroidal galaxies don't seem to fit with that predicted by CDM simulations.

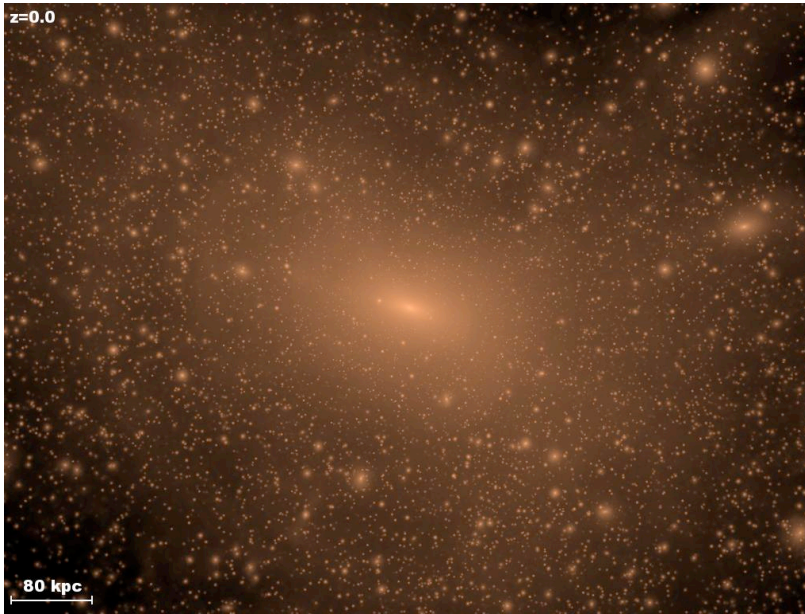
Observed galaxies have cores, where cusps are predicted.

Moore (1994); Flores and Primack (1994)

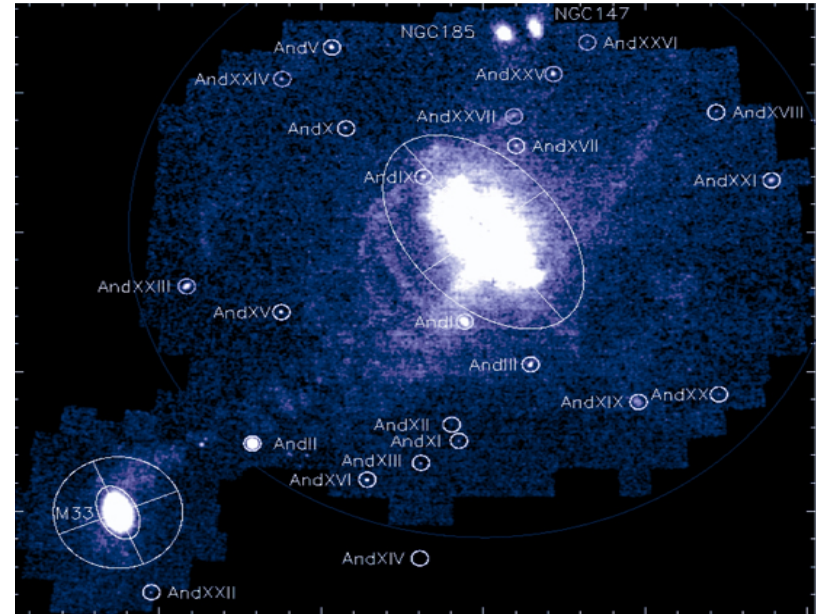


Oh et al. (2010)

# Missing Satellites



Via Lactea simulation

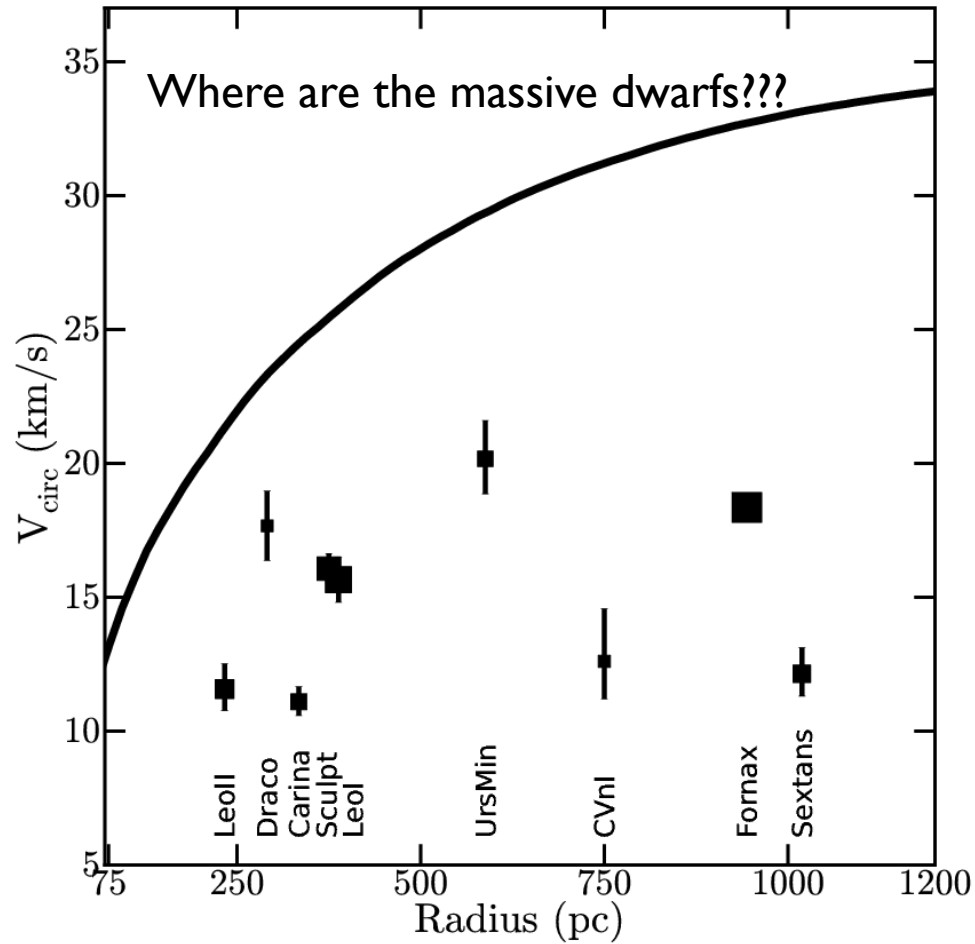


PAndAS Survey

Factor  $\sim 100$  fewer MW-satellite galaxies seen



# Too Big to Fail



Boylan-Kolchin, Kaplinghat, Bullock (2010)

# How to address these problems?

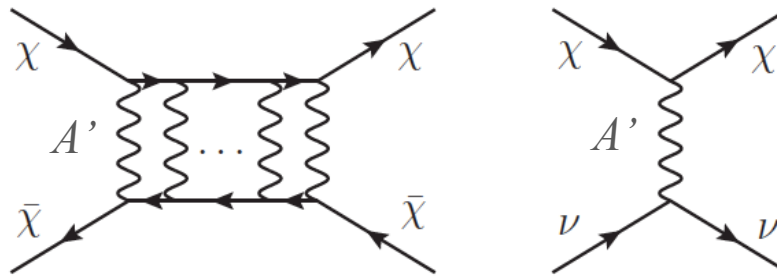
- Baryonic effects (Reionization, SN Feedback,..., Tidal stripping...)
  - DM core creation + Tidal stripping (TBTF)
  - Faint galaxies + Reionization (only MSP)
- Yukawa interactions of DM (can't solve MSP)
- DM-neutrino interactions (strong constraints)
- ...

# A DM-Neutrino Connection

$$\mathcal{L} = e_\chi \bar{\chi} \gamma_\mu \chi A'_\mu + e_\nu \bar{\nu}_s \gamma_\mu \nu_s A'_\mu$$

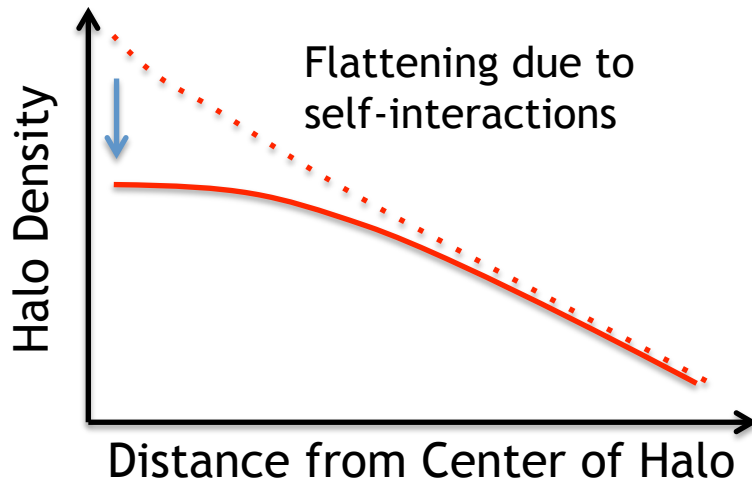
Assume that the new force couples to DM as well (coupling is taken to be same)

No new parameters are introduced.

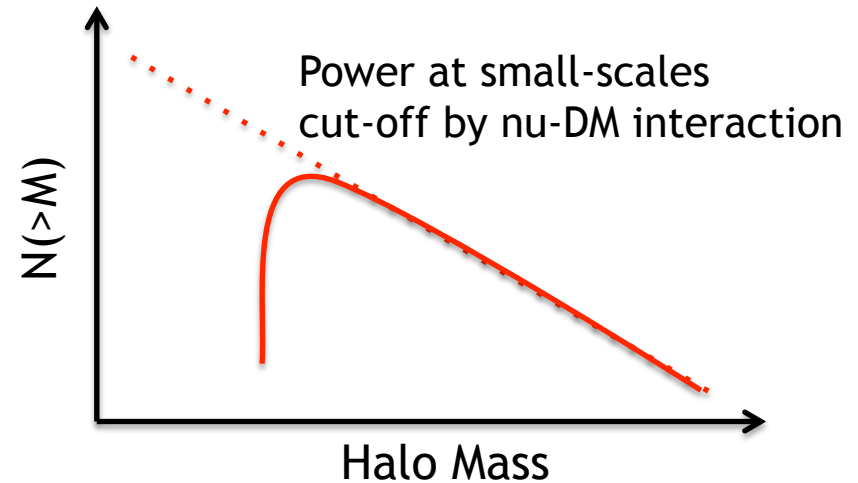


Two new processes are automatically expected

# A Neutrino-tempered DM



Core-Cusp problem solved using self-interactions.  
TBTf is also solved.



Missing Satellites solved using DM interactions with neutrinos, that leads to late kinetic decoupling

# Smoothing DM Cusps

Dwarf-sized halos do not have cusps due to DM-DM interactions mediated by  $A'$ .

What one needs is then DM-DM scattering cross section at the level of  $0.1 \text{ cm}^2 / \text{g}$  for velocities of dwarf galaxies (10 km/s).

Feng, Kaplinghat, Tu, Yu (2009); Loeb and Weiner (2011)

This is easily achieved by having a light mediator  $A'$  that enhances the cross section.

# Explaining the Missing Satellites

The sterile neutrino-DM scattering keeps DM in kinetic equilibrium until somewhat later  $(T_\chi/m_\chi n_\nu \sigma_{\nu\chi}) \sim H$

This erases structure at the smallest scales, and the smallest (dwarf) halos never form.

Boehm, Fayet, Schaeffer (2000); Loeb and Zaldarriaga (2005)

What one needs is then  $M_{\text{cut}} \sim 10^9$  solar masses or so.

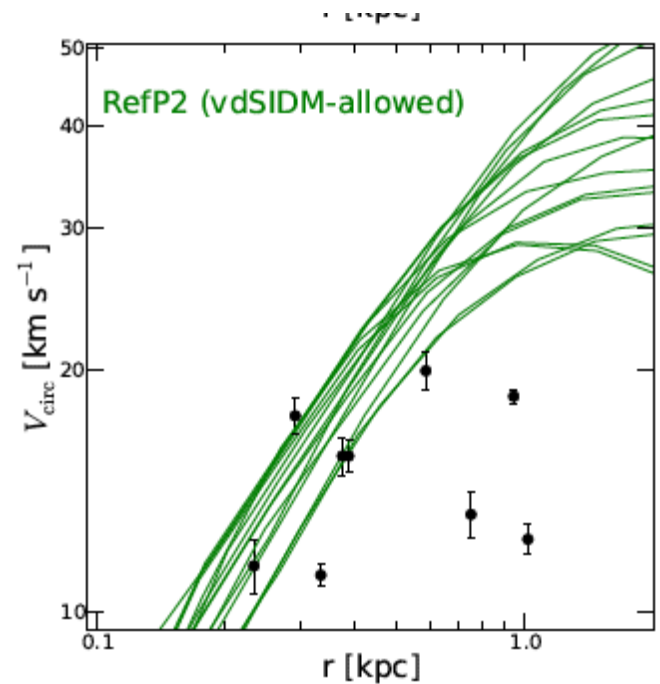
$$\frac{M_{\text{cut}}}{M_{\text{Sun}}} \simeq 3.2 \times 10^{13} \alpha_x^{\frac{3}{2}} \left( \frac{T_s}{T_\gamma} \right)_{\text{kd}}^{\frac{9}{2}} \left( \frac{\text{TeV}}{m_\chi} \right)^{\frac{3}{4}} \left( \frac{\text{MeV}}{M} \right)^3.$$

One needs a spin-1 mediator for this to work. Scalars lead to a small effect.

# Addressing the TBTF problem

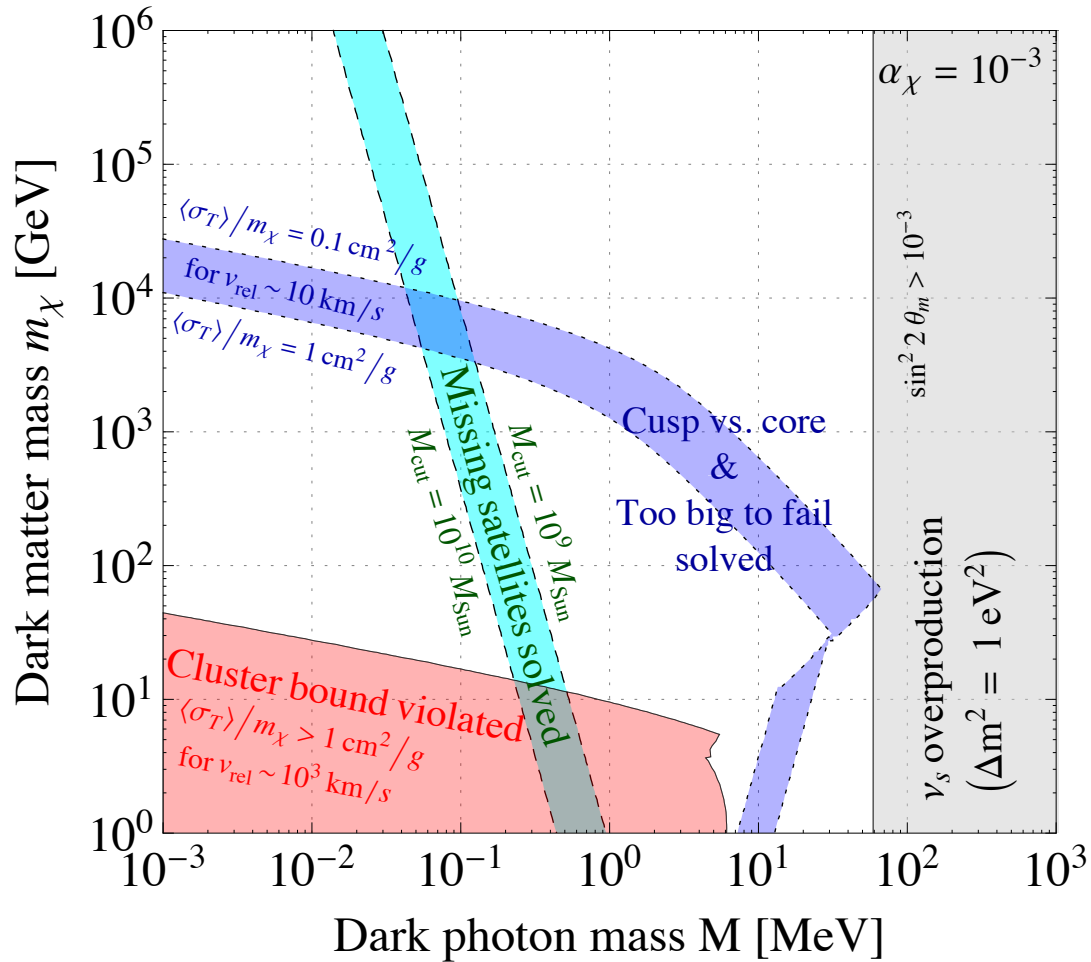
Dwarf-sized subhalos inside MW do not have cusps due to DM-DM interactions mediated by  $A'$ .

What one needs is then DM-DM scattering cross section at the level of  $0.1 \text{ cm}^2 / \text{g}$  for velocities of dwarf galaxies (10 km/s). This is the same condition as that for solving the core-cusp problem.



Zavala, Vogelsberger, Loeb (2012)

# DM-Neutrino Concordance

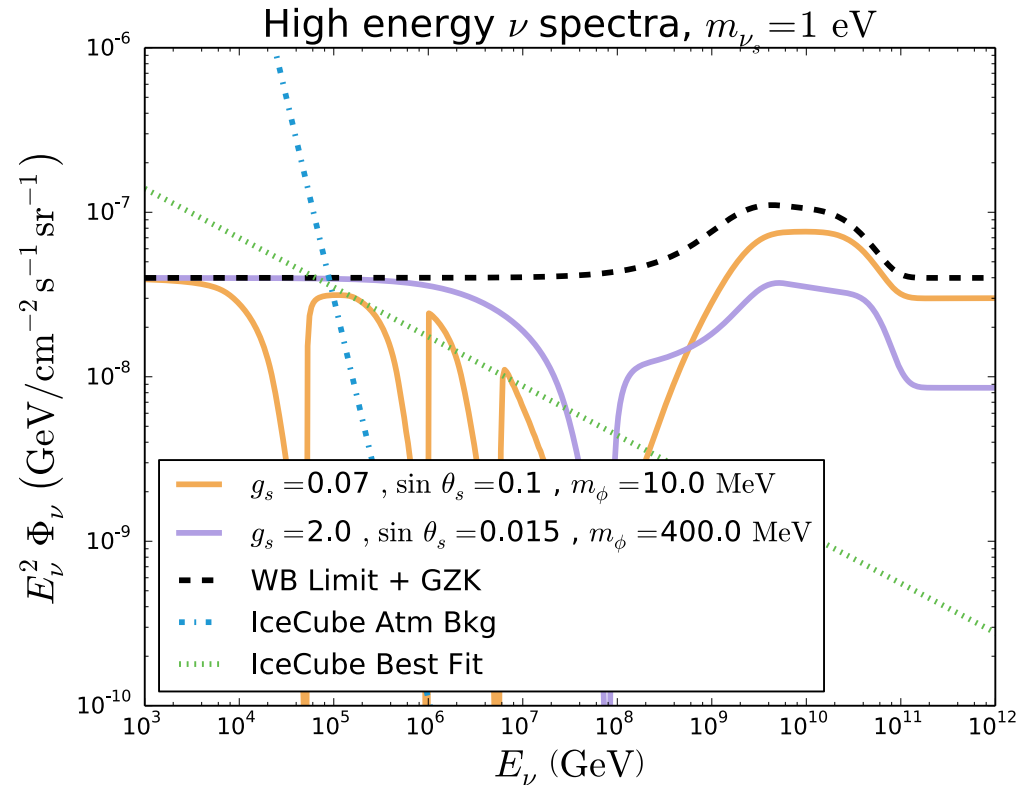


One can explain  $N_{\text{eff}}$ , Neutrino Oscillations, and All 3 DM problems, simultaneously

Dasgupta and Kopp (2014), see also follow up by Bringmann, Hasenkamp, Kersten (2014)



# A Connection to PeV Neutrinos



Can lead to depletion of HE events around a PeV at IceCube

Cherry, Friedland, and Shoemaker (to appear)

Similar ideas in: Ioka and Murase (2014), Ng and Beacom (2014)

**Take Away # 3:**  
**Interesting astrophysical signatures**

# Summary

1. Strong cosmological bounds on a well-mixed light sterile neutrino.
2. Minor extensions to sterile neutrinos can avoid the cosmological bounds on dark radiation.
3. Hidden charged sterile neutrinos can explain DM behavior at small-scales, etc.

# Bonus Material

Based on Chu and Dasgupta, published in PRL (Oct, 2014)

# Getting Rid of Neutrinos

$$\mathcal{L}_{\text{dark}} \ni \partial_{\mu}\phi^{*}\partial^{\mu}\phi + \mu_{\phi}^2|\phi|^2 - \lambda_{\phi}|\phi|^4 \quad \text{Complex Scalar}$$
$$+ i\bar{\chi}\gamma^{\mu}\partial_{\mu}\chi - M\bar{\chi}\chi - \left(\frac{f_d}{\sqrt{2}}\phi\chi^T C\chi + h.c.\right) \quad \text{Fermion}$$

On spontaneous symmetry breaking

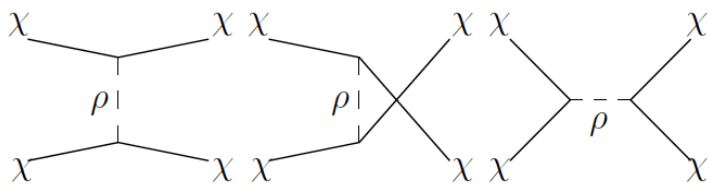
$$\phi \equiv (v_{\phi} + \rho + i\eta)/\sqrt{2}$$

$$\chi_{\pm} \rightarrow -\chi_{\pm} \text{ and } (\rho, \eta) \rightarrow (\rho, \eta)$$

Residual  $Z_2$  symmetry ensures  $\chi_{-}$  = DM is stable

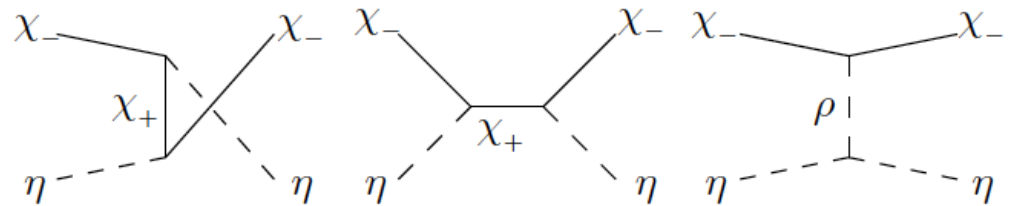
Also  $\eta$  = DR

# DM + DR



DM-DM Scattering

$$\sigma_T \simeq \frac{8\pi\alpha_d^2}{m_\chi^2 v_{\text{rel}}^4} \left[ \log(1 + R^2) - \frac{R^2}{1 + R^2} \right]$$

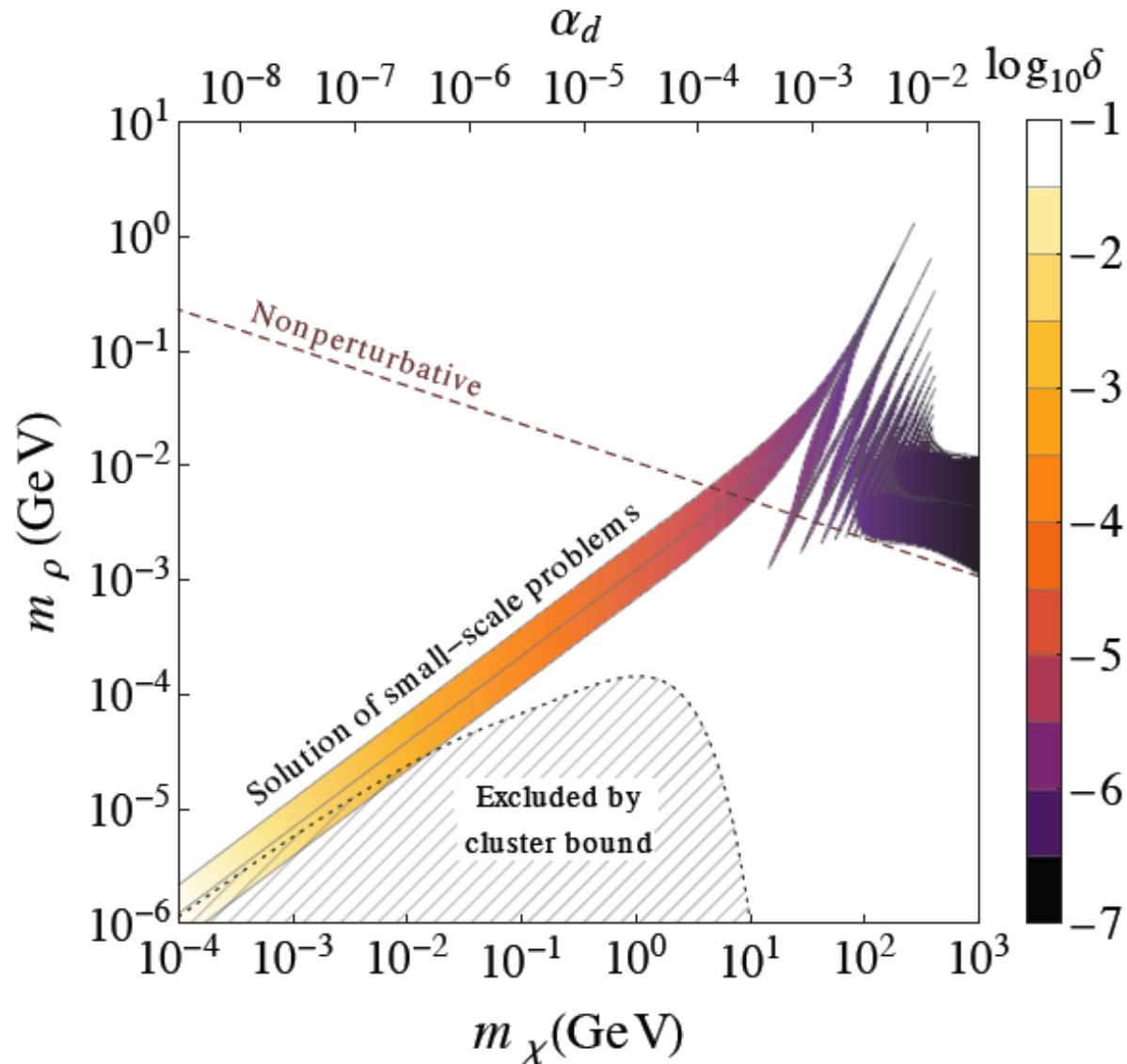


DM-DR Scattering

$$\sigma_{\eta\chi_-} = \frac{8\pi\alpha_d^2\omega^4}{\Delta m_\chi^6} \left( 1 + \frac{16\Delta m_\chi^2}{3m_\rho^2} + \frac{8\Delta m_\chi^4}{m_\rho^4} \right)$$

$$T_{\text{kd}} \simeq 0.5 \text{ keV} \frac{\delta}{10^{-4.5}} \left( \frac{m_\chi}{\text{GeV}} \right)^{7/6} \left( \frac{10^{-4}}{\alpha_d} \right)^{1/3} \xi_{\text{kd}}^{-4/3}$$

# Solving Small-Scale Problems



# Dark Radiation Predictions

