

# BARYOGENESIS THROUGH NEUTRINO OSCILLATIONS

*A Unified Perspective*

**Brian Shuve**

**Perimeter Institute for Theoretical Physics**

BS, Itay Yavin, arXiv:1401.2459

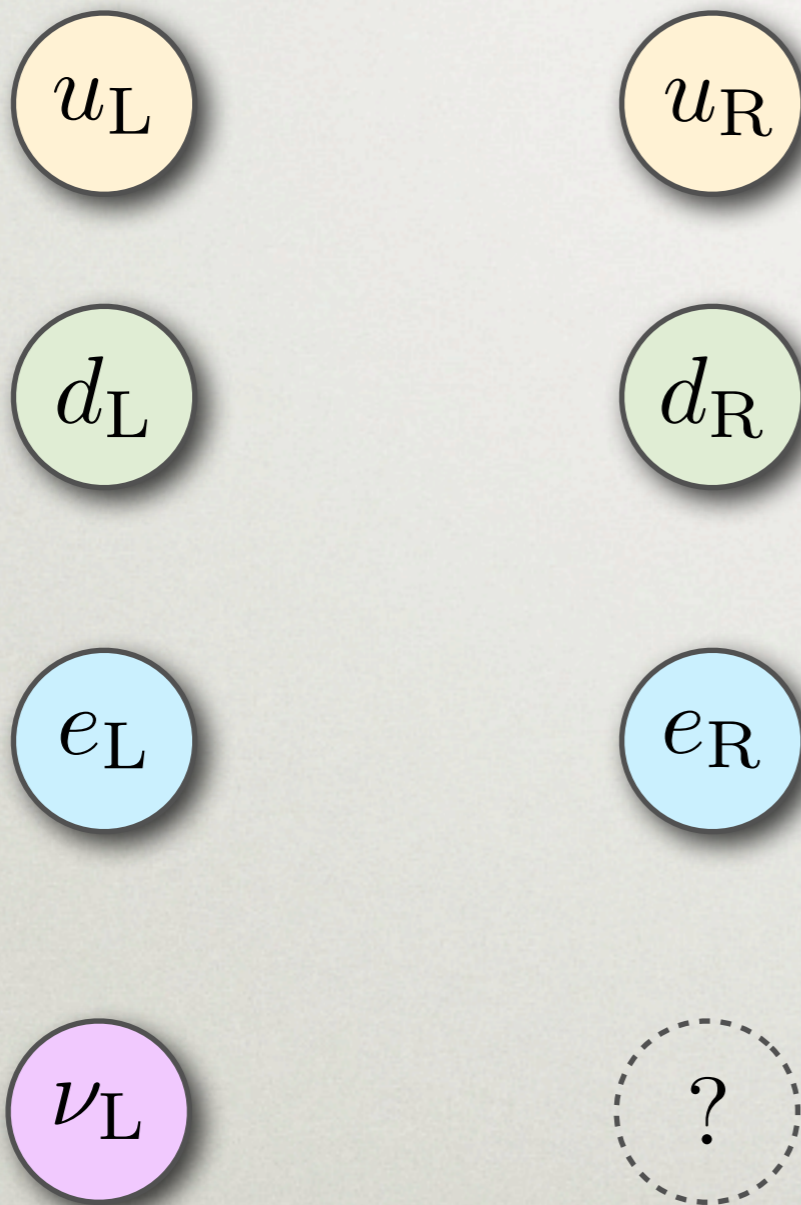
and work in progress

Invisibles Webinar

16 December 2014

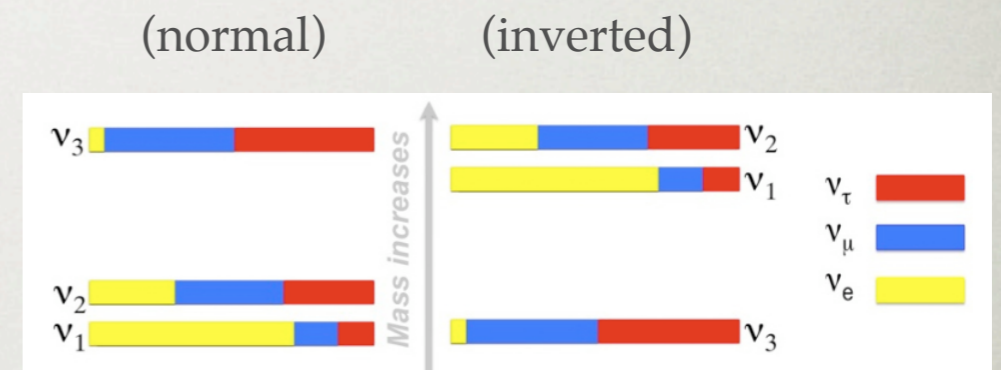
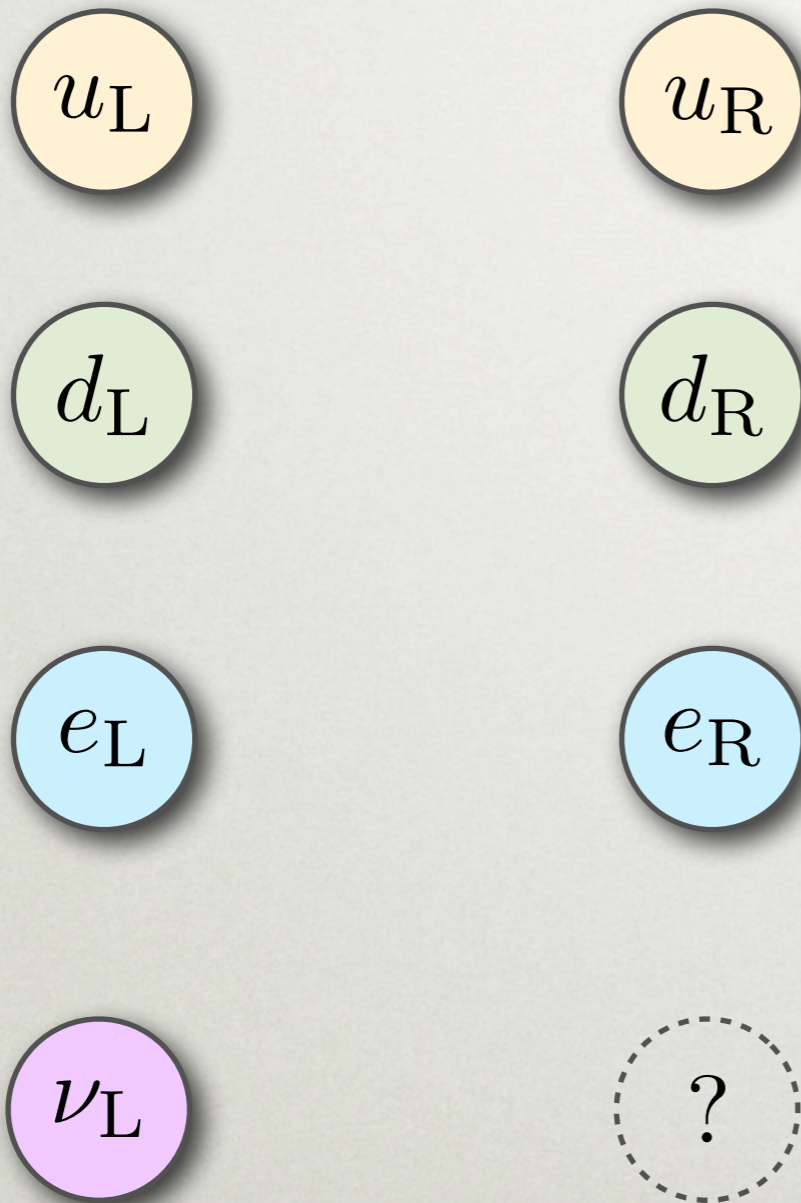
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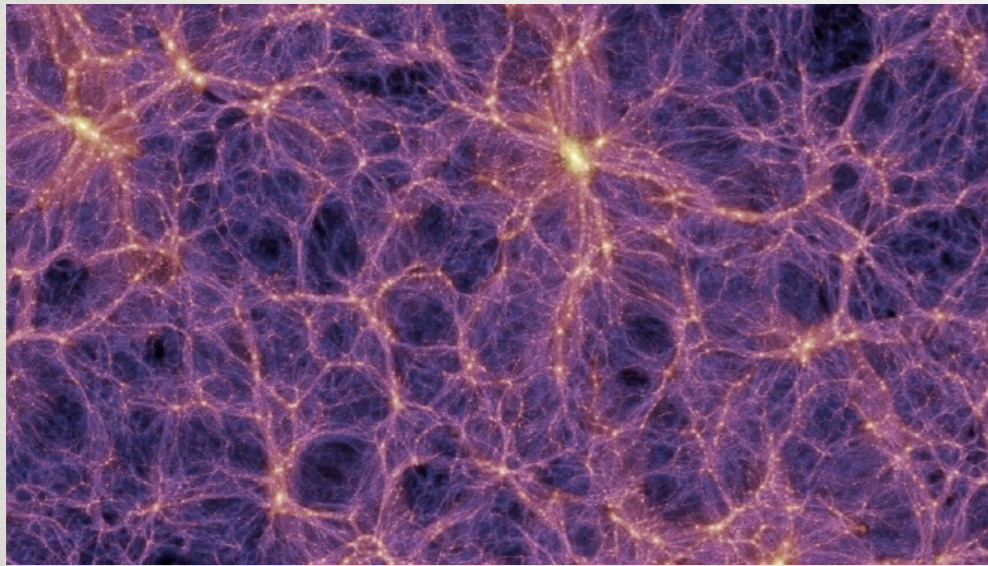
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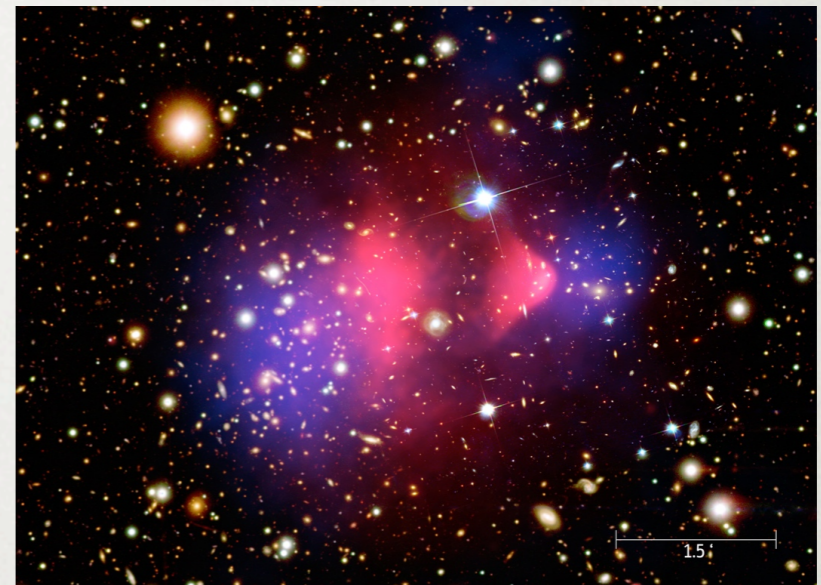
Lujan-Peschard *et al.*, 2013

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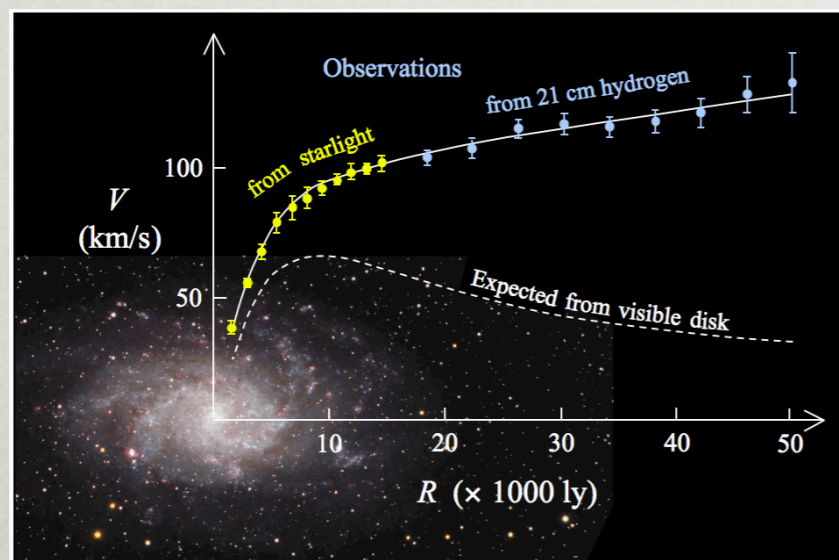
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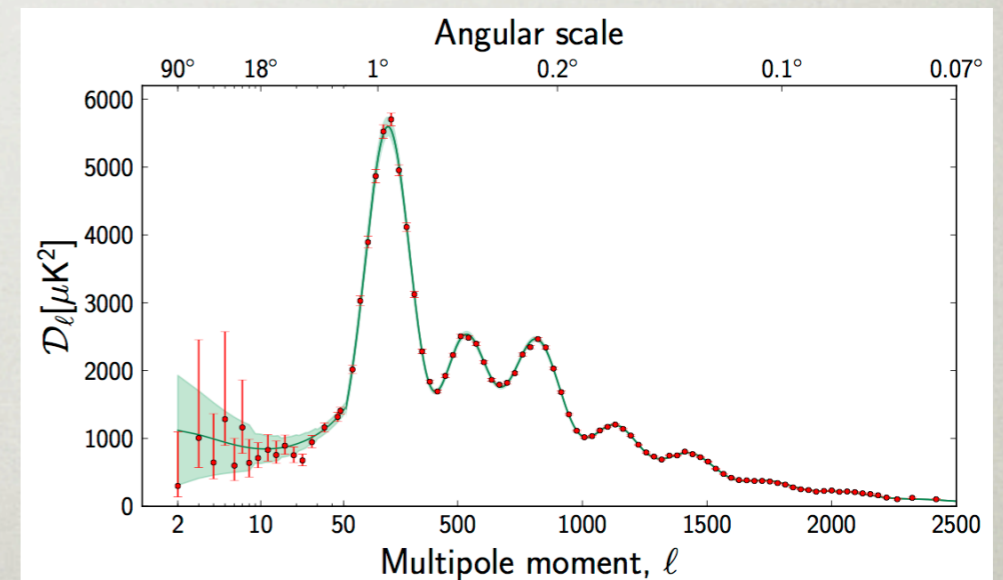
Springel *et al.*, 2005



Clowe *et al.*, 2006; Markevitch *et al.*, 2005



Corbelli, Salucci, 2000



Planck, 2013

# Why sterile neutrinos?

- The Standard Model has missing pieces:



baryons



antibaryons

$$\frac{n_{\Delta B}}{s} \approx 8 \times 10^{-11}$$

# Neutrino Minimal SM

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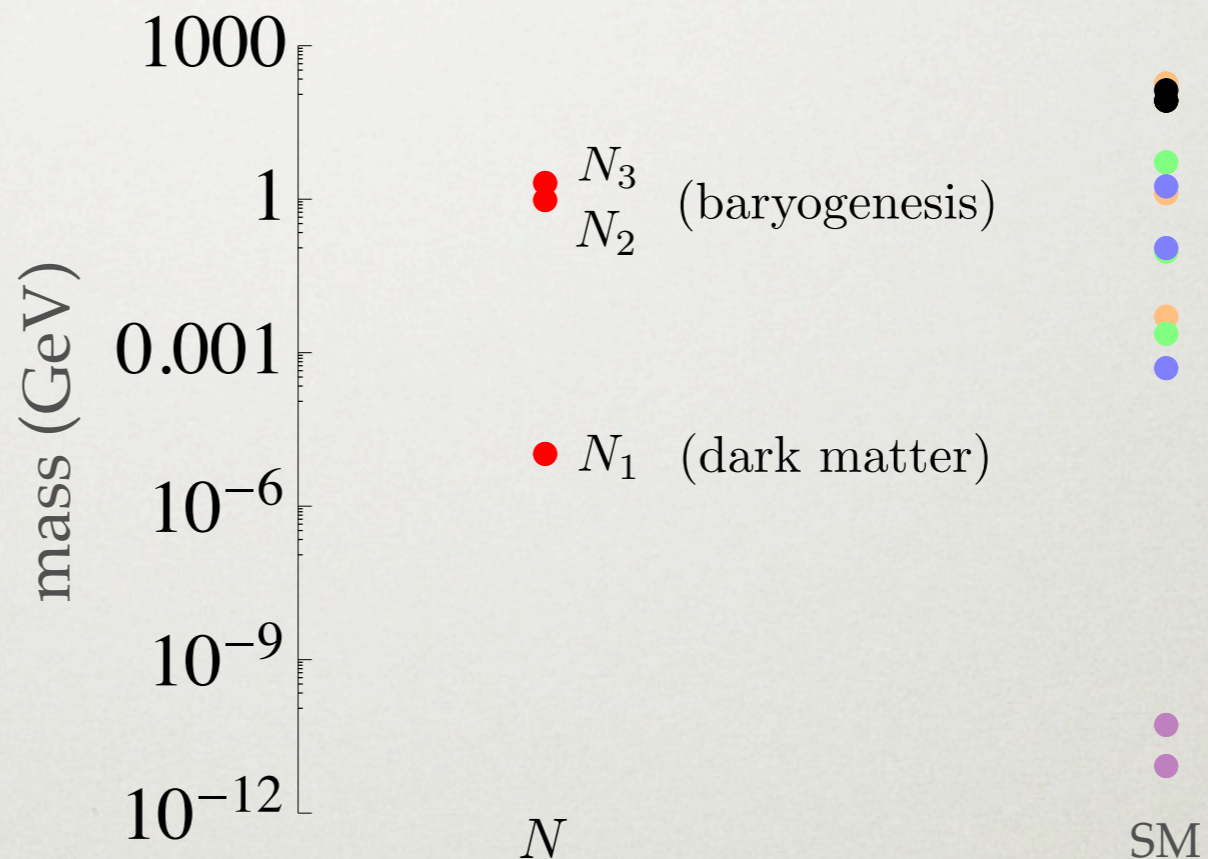
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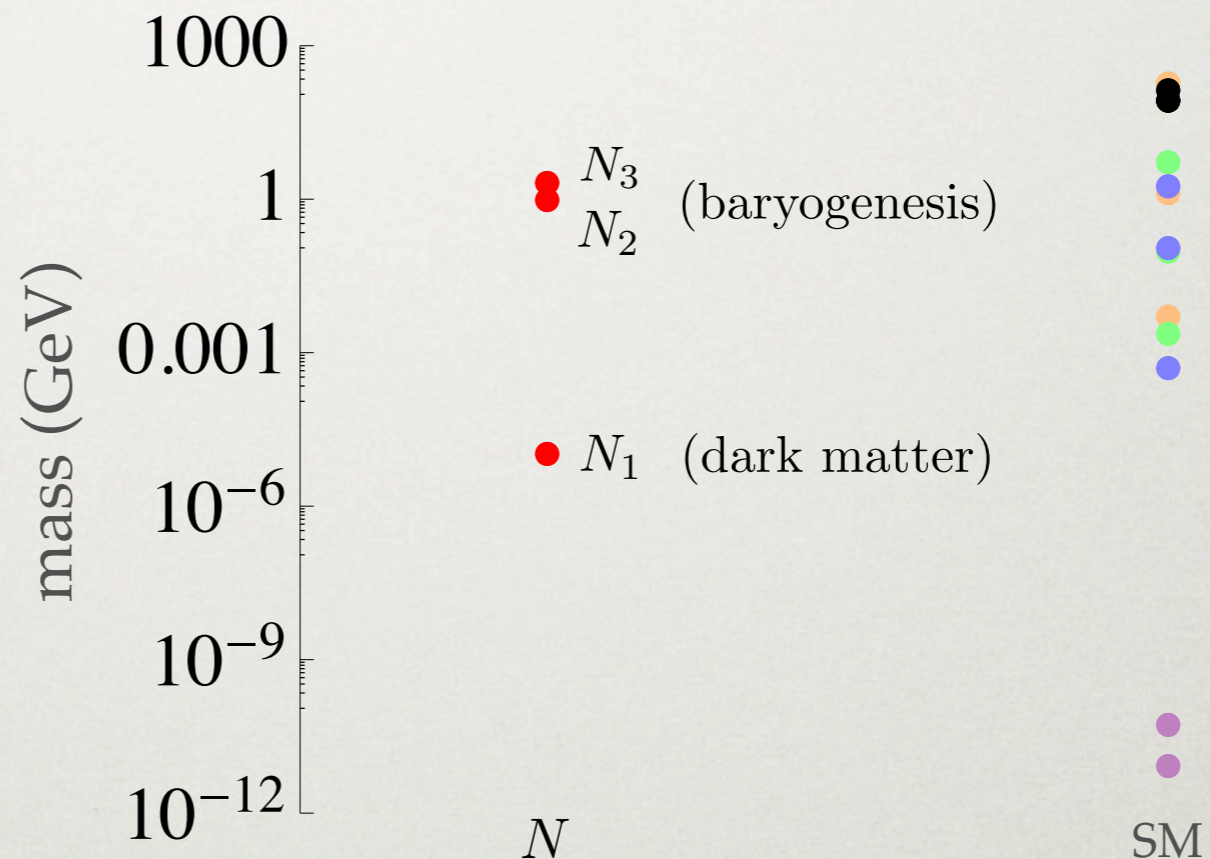


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- Called the **neutrino minimal SM** ( $\nu$ MSM)
  - Asaka, Shaposhnikov 2005; Asaka, Blanchet, Shaposhnikov 2005; Canetti, Drewes, Frossard, Shaposhnikov 2012; ...

# Too-sterile neutrinos

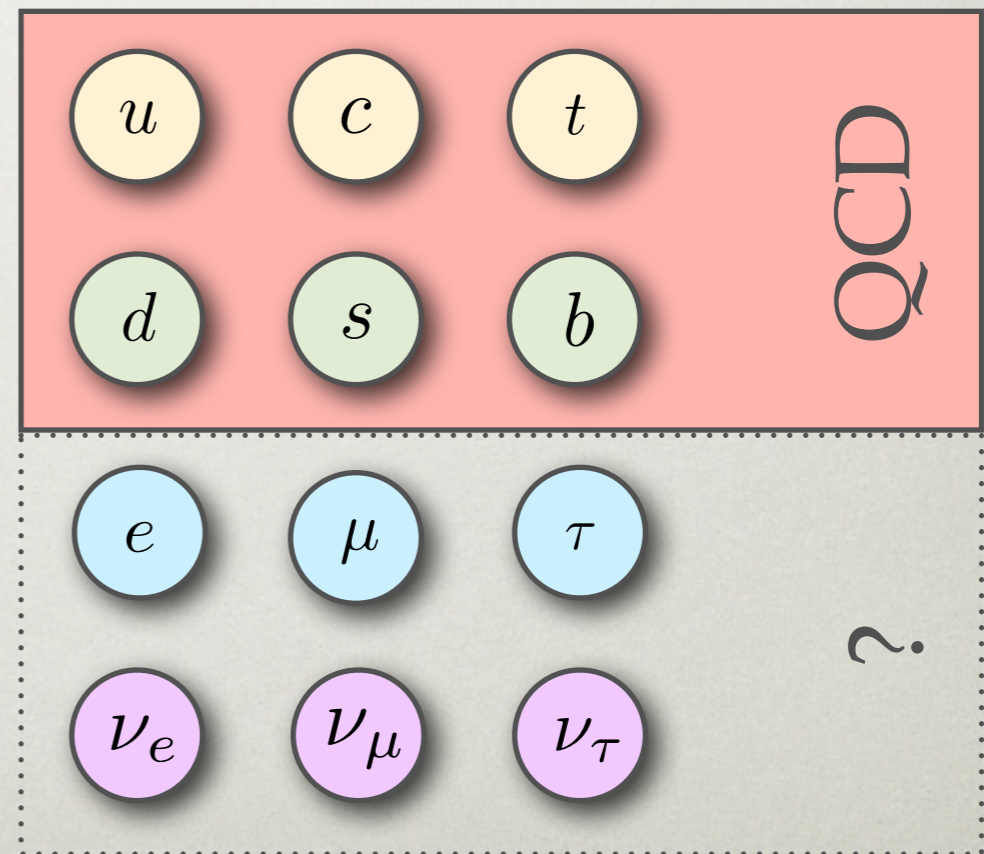
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- The model is highly predictive because sterile neutrinos only interact with the SM through the Yukawa couplings
- However, it turns out that sterile neutrinos are **too sterile** if they interact only through the see-saw coupling
  - With just the  $\nu$ MSSM, you generically predict **insufficient abundances of DM and baryons**
- For sterile neutrinos to be viable, we need them to be **not-so-sterile**
- For both baryogenesis & dark matter, we expect new leptonic interactions at the weak scale (or below)
- I will focus on the mechanism of **baryogenesis** ( $N_2, N_3$ )



# Outline

- Mechanism of baryogenesis via neutrino oscillations
- Baryogenesis and tuning in the minimal model
- Enhanced asymmetry with an extended Higgs sector + phenomenology
- Phenomenology of sterile neutrino production

# Baryogenesis overview

$$\mathcal{L}_{\nu\text{MSM}} = F_{\alpha I} L_{\alpha} \Phi N_I + \frac{M_I}{2} N_I^2$$

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- Baryogenesis occurs through the (slow) production, oscillation, and re-scattering of the heavy sterile neutrino states,  $N_2$  and  $N_3$ 
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  3. **Departure from thermal equilibrium:** In equilibrium, inverse  $B$ -violating processes wipe out any accumulated asymmetry. Out-of-equilibrium condition preserves generated asymmetry

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$$\Gamma_N \propto |F|^2 T \lesssim H(T) \quad |F|^2 \sim 10^{-14} \left( \frac{m_{\nu}}{0.1 \text{ eV}} \right) \left( \frac{m_N}{\text{GeV}} \right) \left( \frac{100 \text{ GeV}}{\langle \Phi \rangle} \right)^2$$

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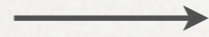
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- Timing of leptogenesis depends sensitively on CP-violation, so I will briefly review this now

# Lightning Review of CPV

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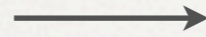
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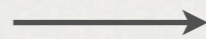
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$$\mathcal{M}(a \rightarrow b) = x_1 e^{i\phi} + x_2$$

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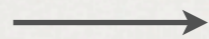
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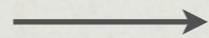
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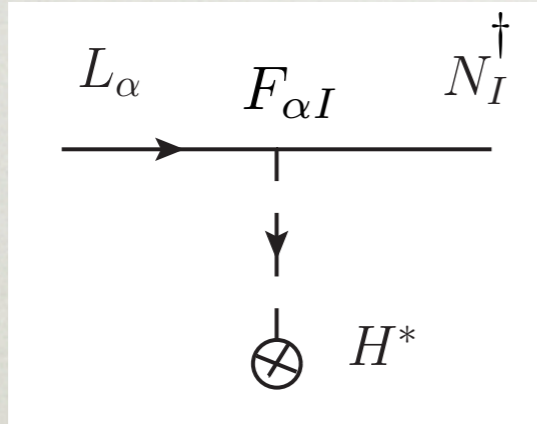
$$\Delta|\mathcal{M}|^2 = -4x_1x_2 \sin \phi \sin \theta$$

# Asymmetry Generation

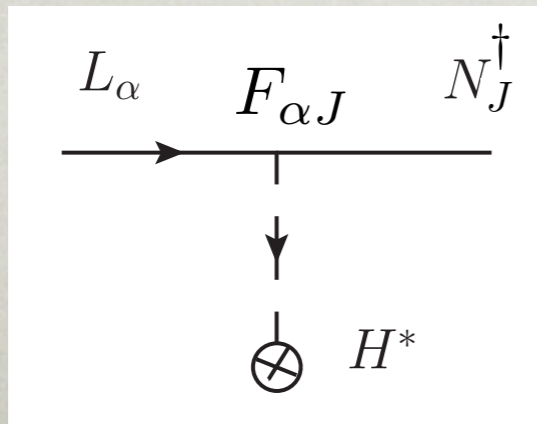
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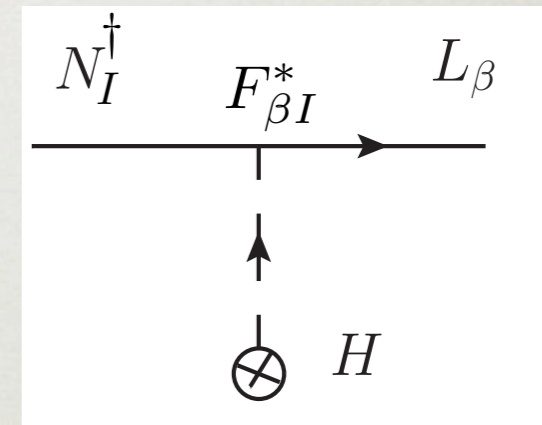
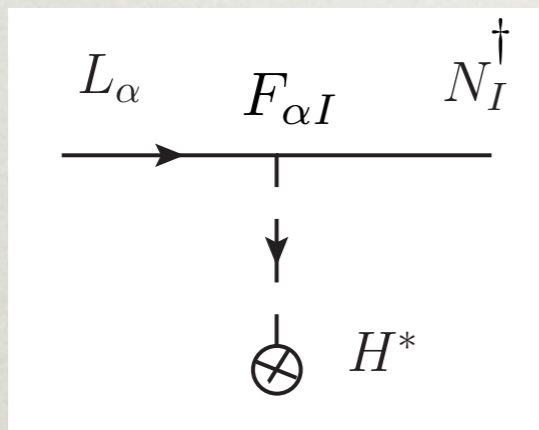


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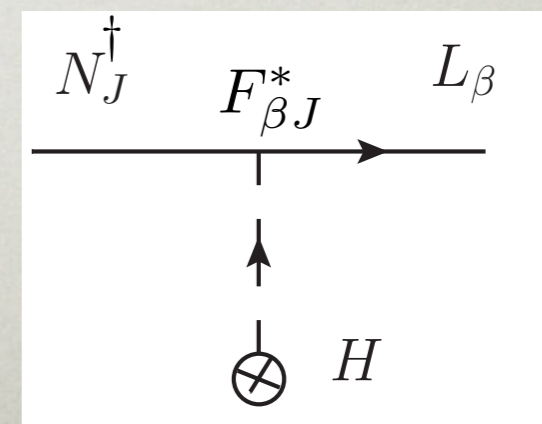
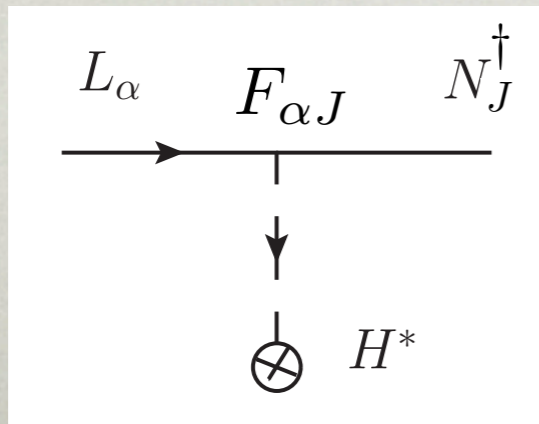
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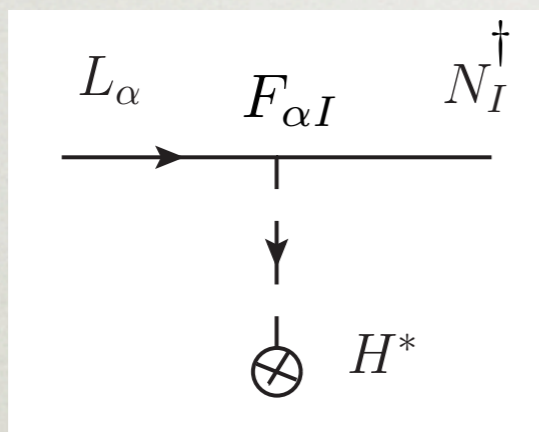
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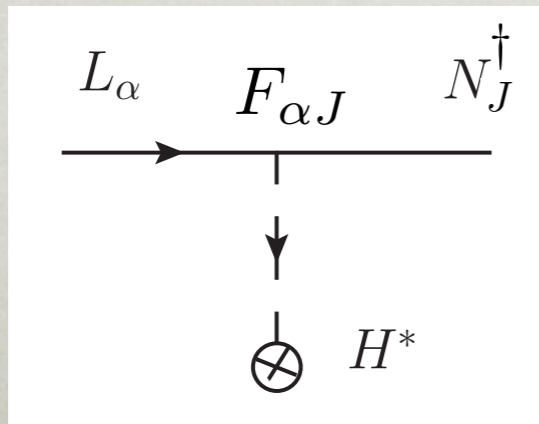


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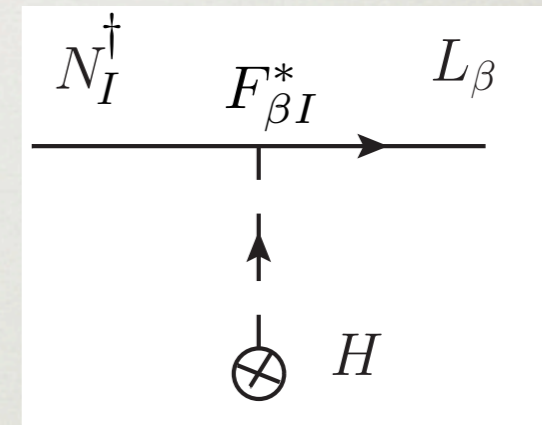
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  - We have a CP-odd phase, but **where is the CP-even phase?**



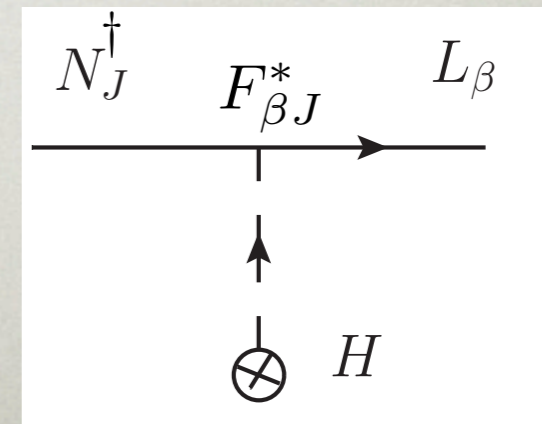
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$$\text{Im}(F_{\alpha I} F_{\beta I}^* F_{\alpha J}^* F_{\beta J})$$

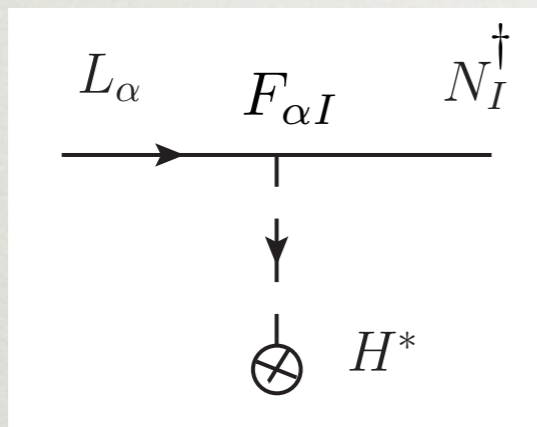


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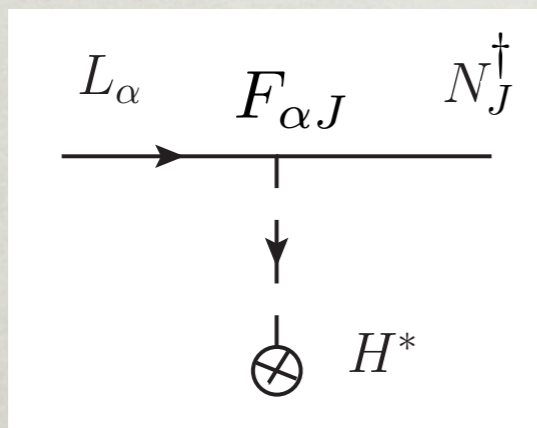


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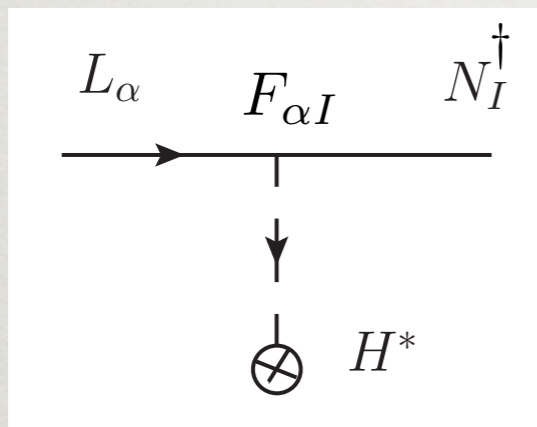


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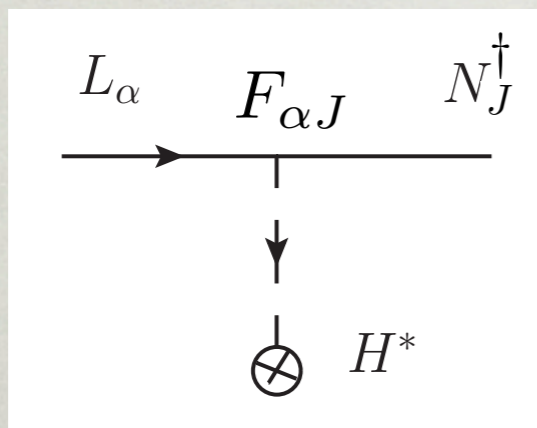


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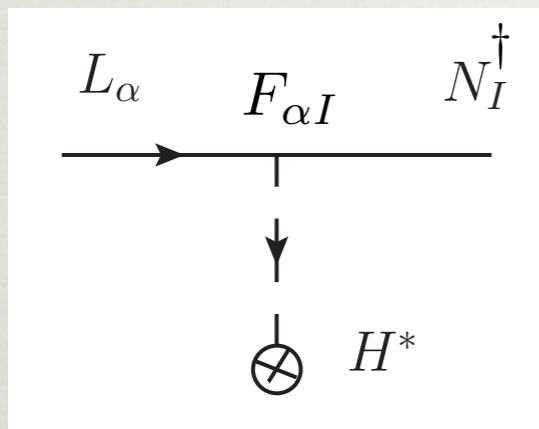
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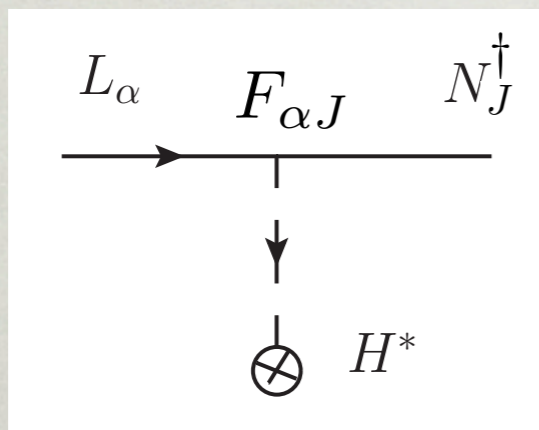


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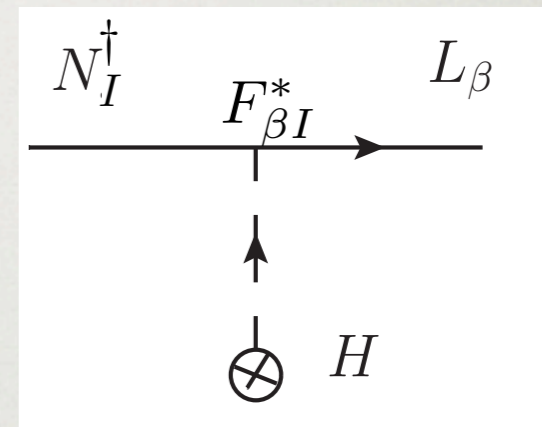
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- Each diagram acquires a **CP-even propagation phase** (Schrödinger equation)



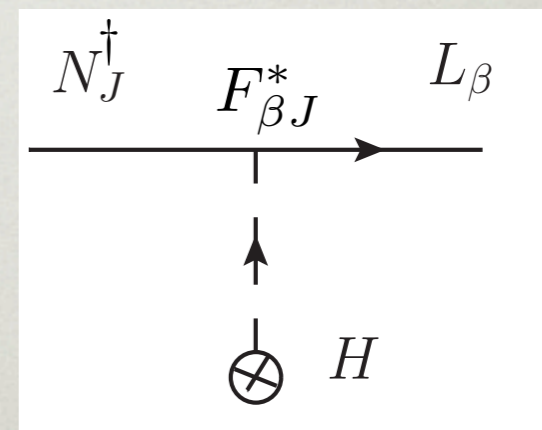
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$$e^{-i\omega_I t}$$



+



$$e^{-i\omega_J t}$$



$$\omega_I - \omega_J \approx \frac{M_I^2 - M_J^2}{2T}$$

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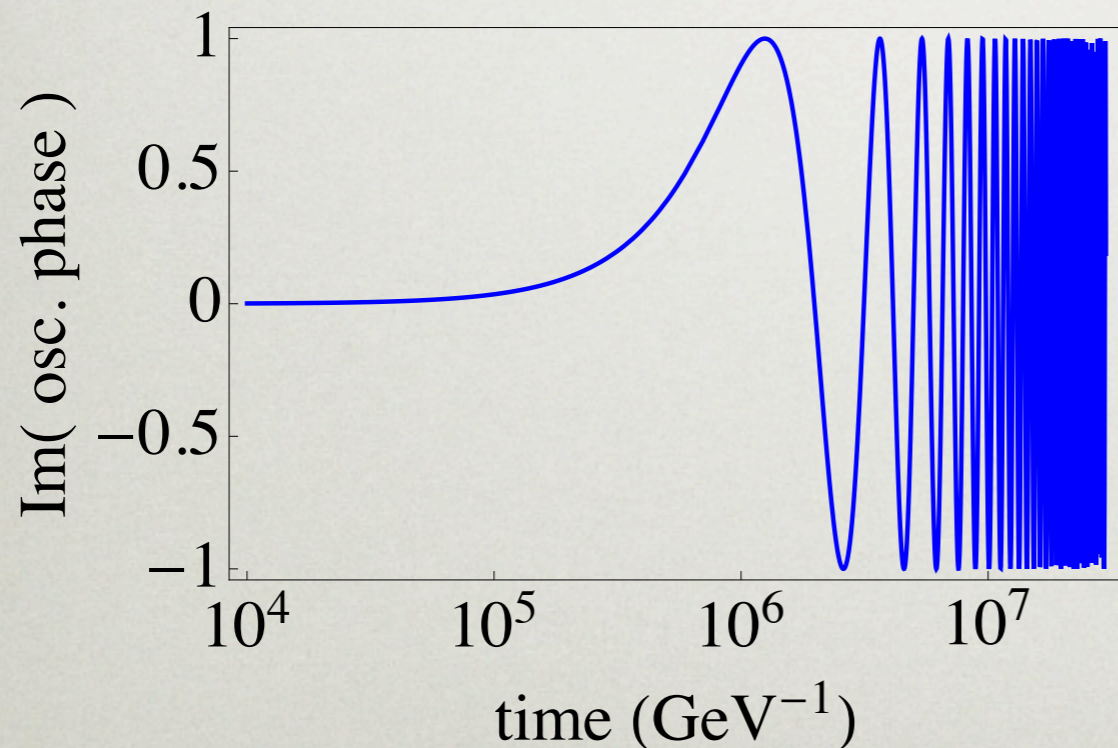
- The CP-violating rate comes from the interference of the diagrams

$$\Gamma(L_\alpha \rightarrow L_\beta) - \Gamma(\bar{L}_\alpha \rightarrow \bar{L}_\beta) \propto \text{Im} \left[ \exp \left( -i \int_0^t dt' \frac{M_3^2 - M_2^2}{2T(t')} \right) \right] \text{Im} [F_{\alpha 3} F_{\beta 3}^* F_{\alpha 2}^* F_{\beta 2}]$$

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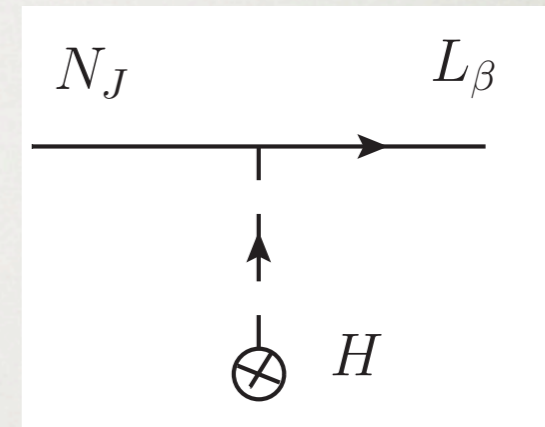
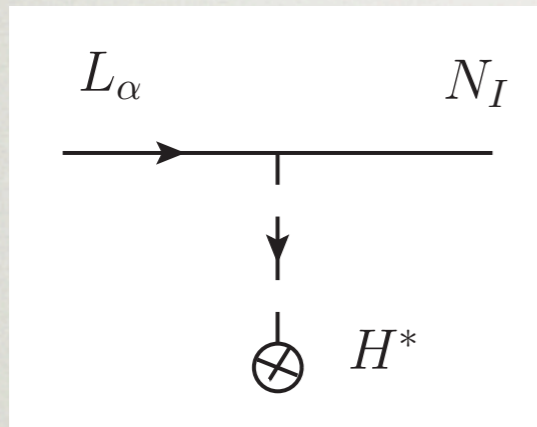


Asymmetry generation **mostly occurs** when

$$\frac{M_3^2 - M_2^2}{T} \sim H(T)$$

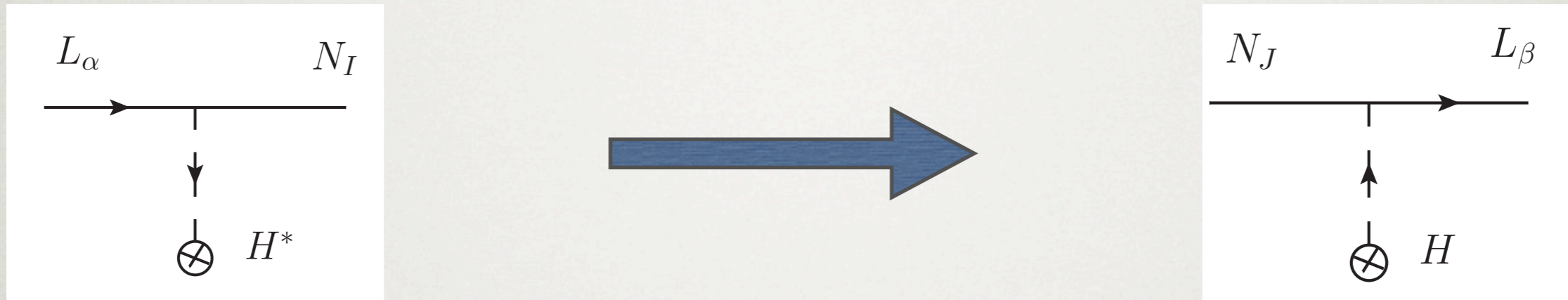
$$(T \gg M_N)$$

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$$\Gamma(L_\alpha \rightarrow L_\beta) \neq \Gamma(\bar{L}_\alpha \rightarrow \bar{L}_\beta) \rightarrow n_{L_\alpha} \neq n_{\bar{L}_\alpha}$$

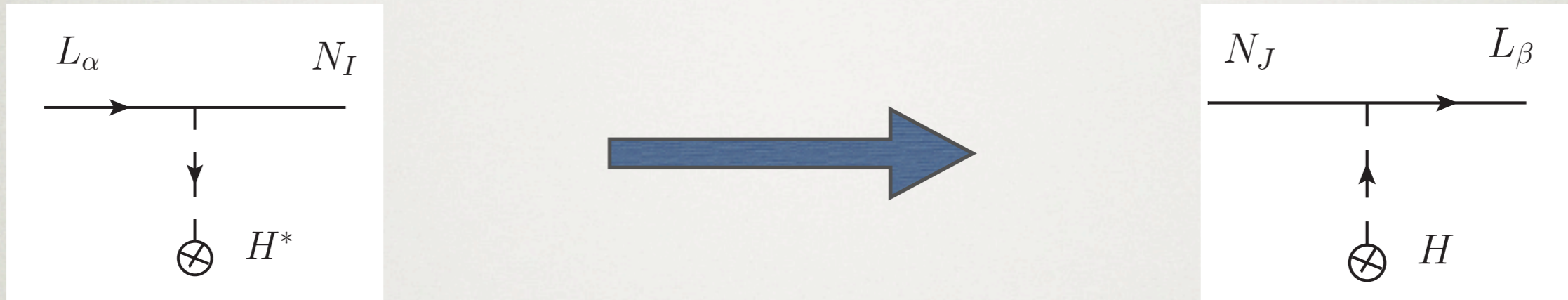
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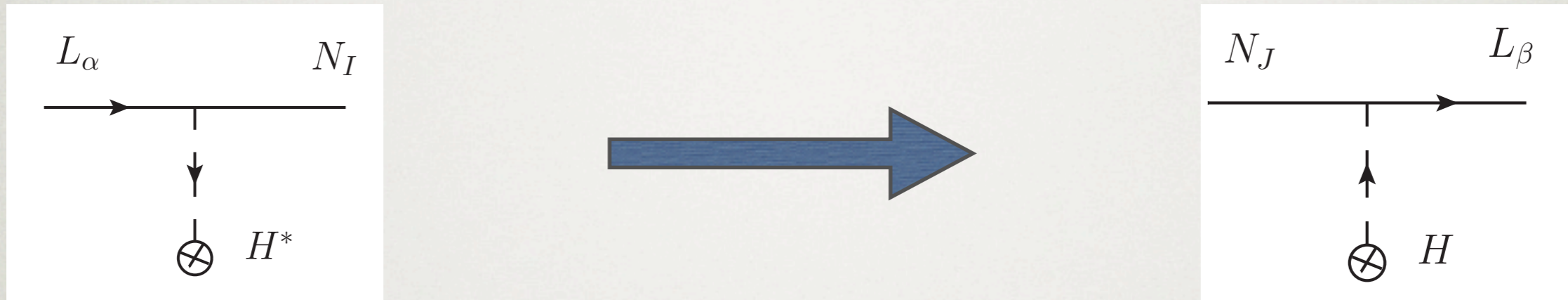
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- Baryon asymmetry **frozen in** when sphalerons decouple at  $T_{\text{EW}}$  (must be before equilibration time)

# **Baryogenesis and tuning in the minimal model**

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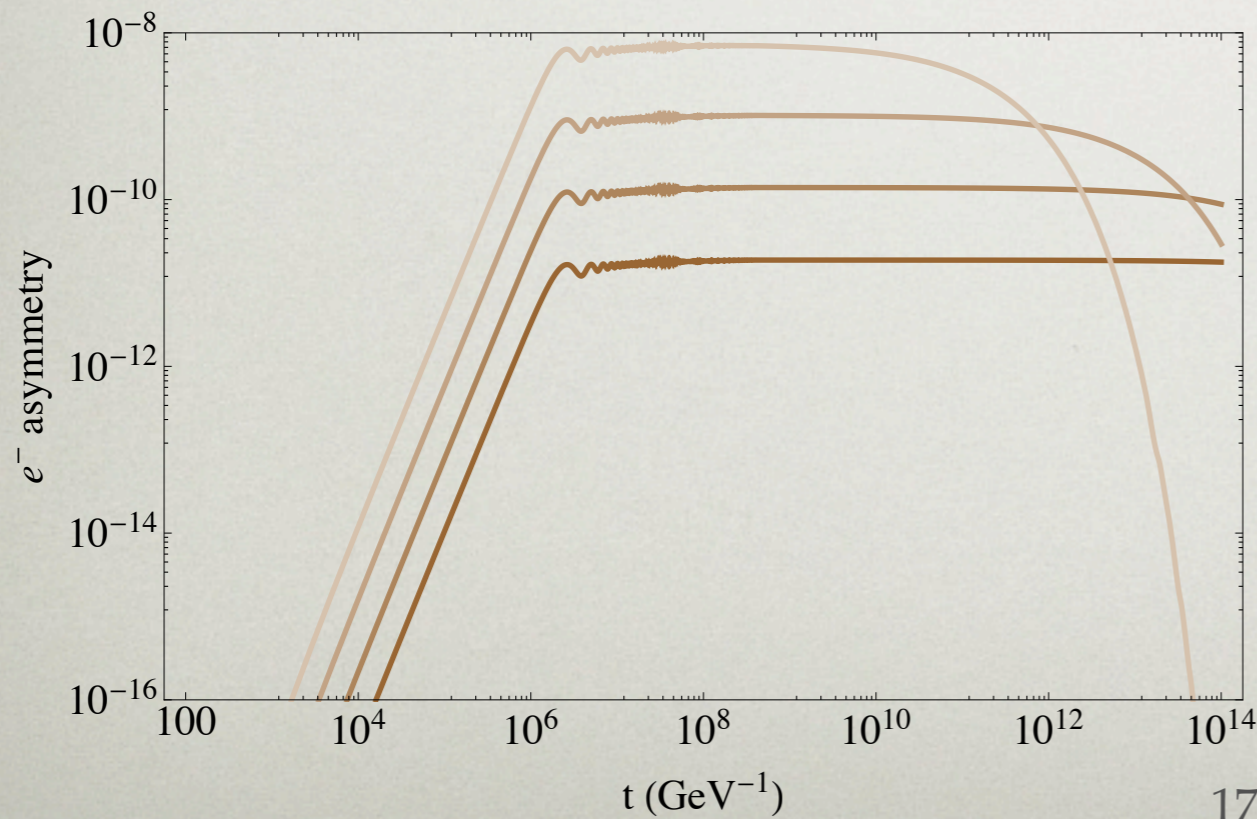
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lighter colour =  
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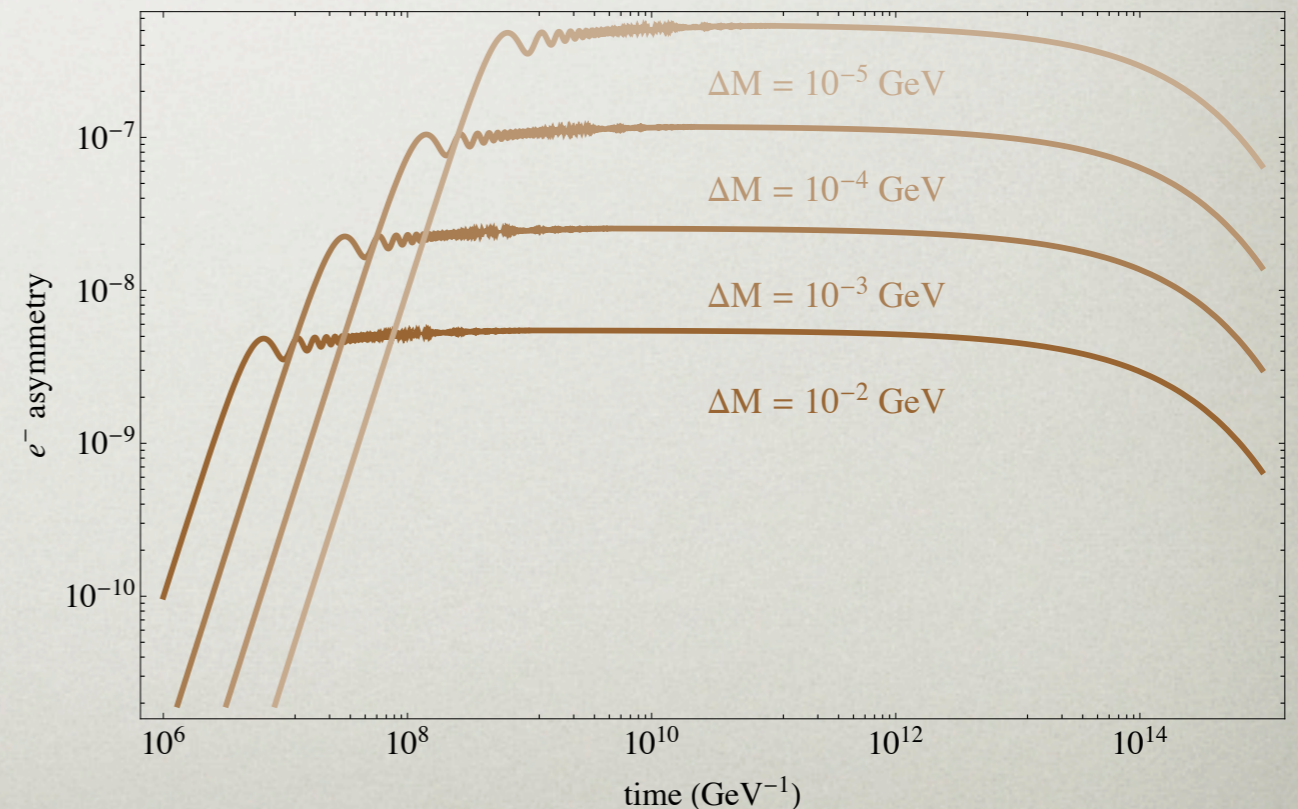
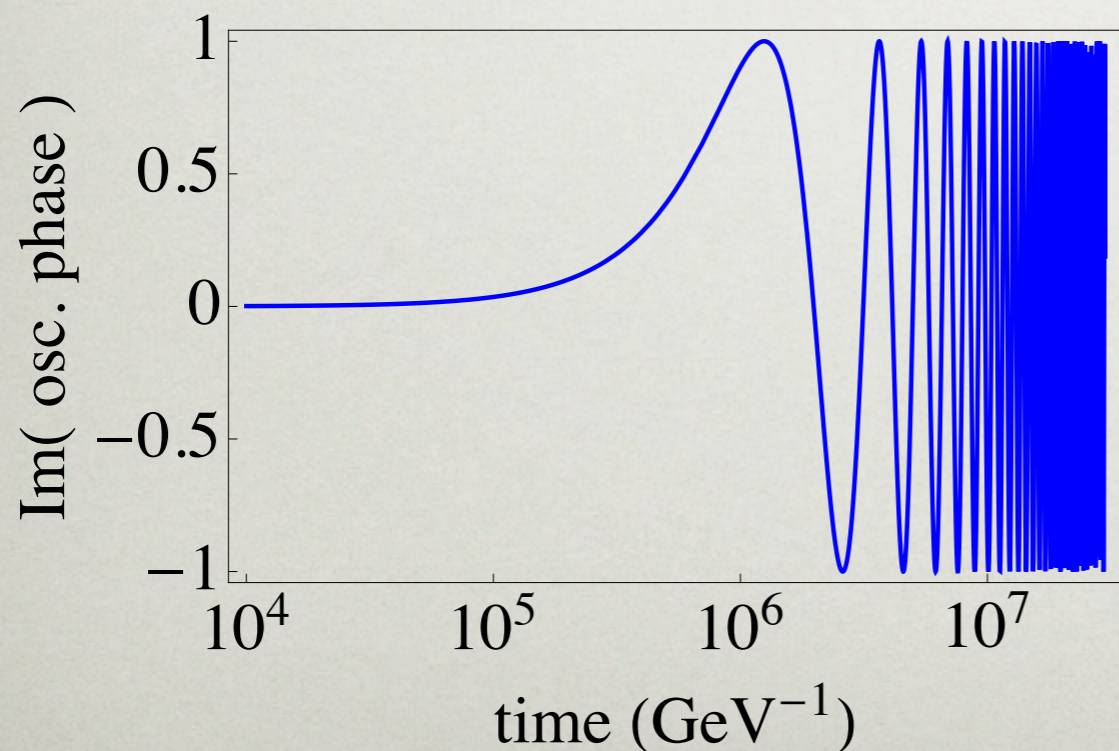
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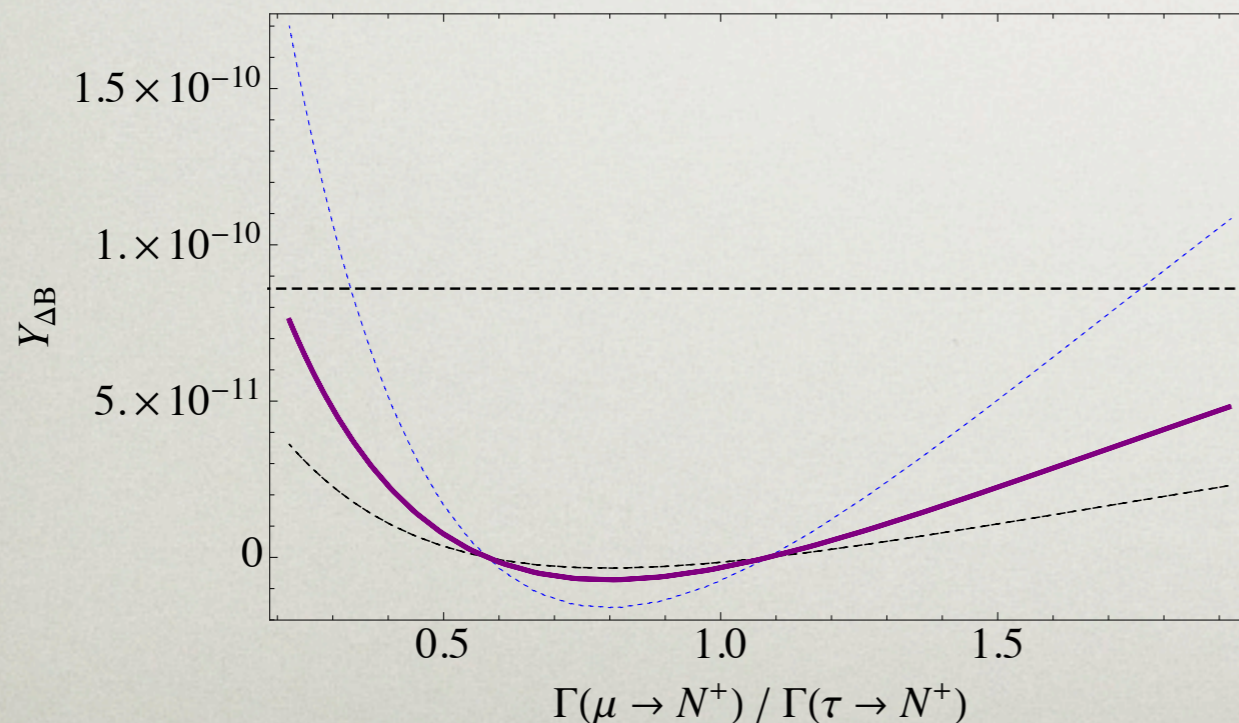
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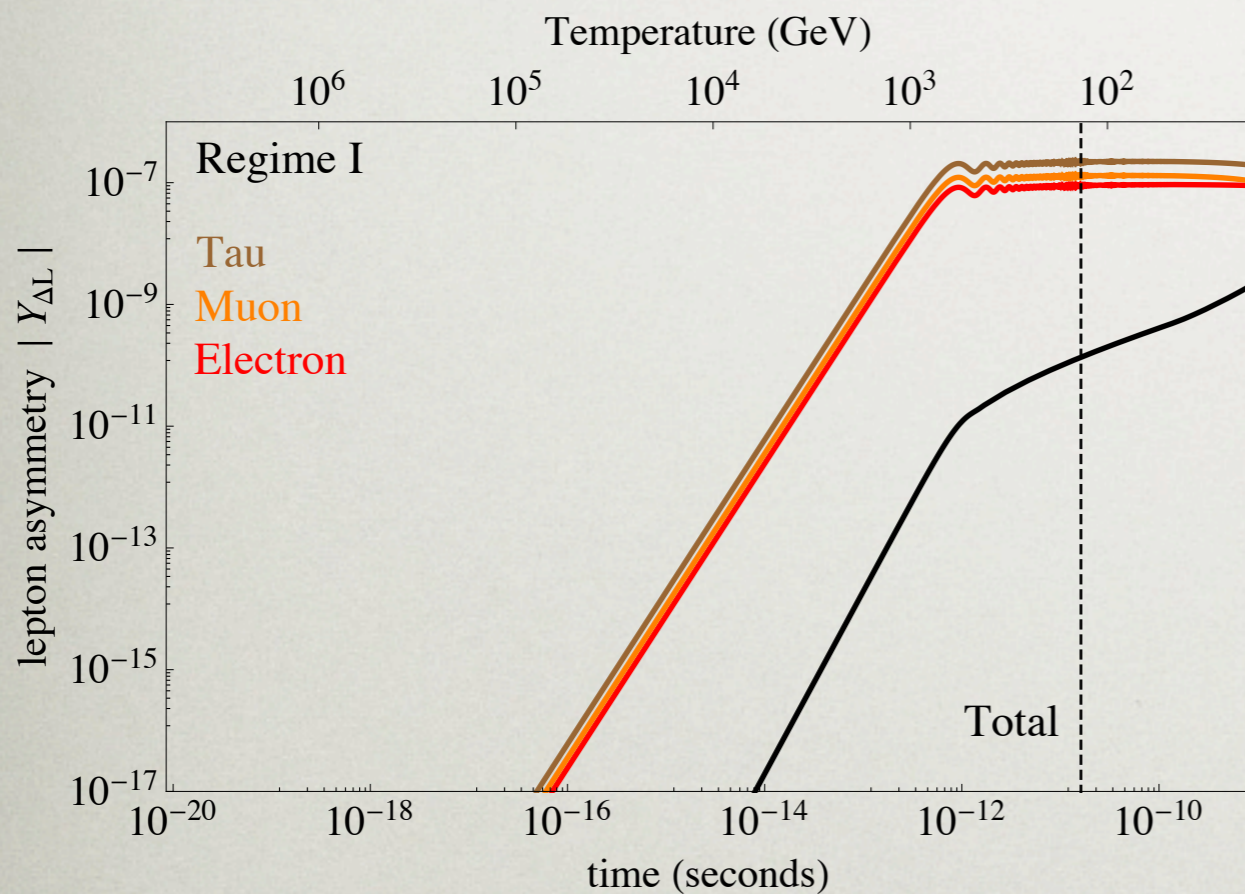


- This condition is generically satisfied due to large mixing in MNS matrix

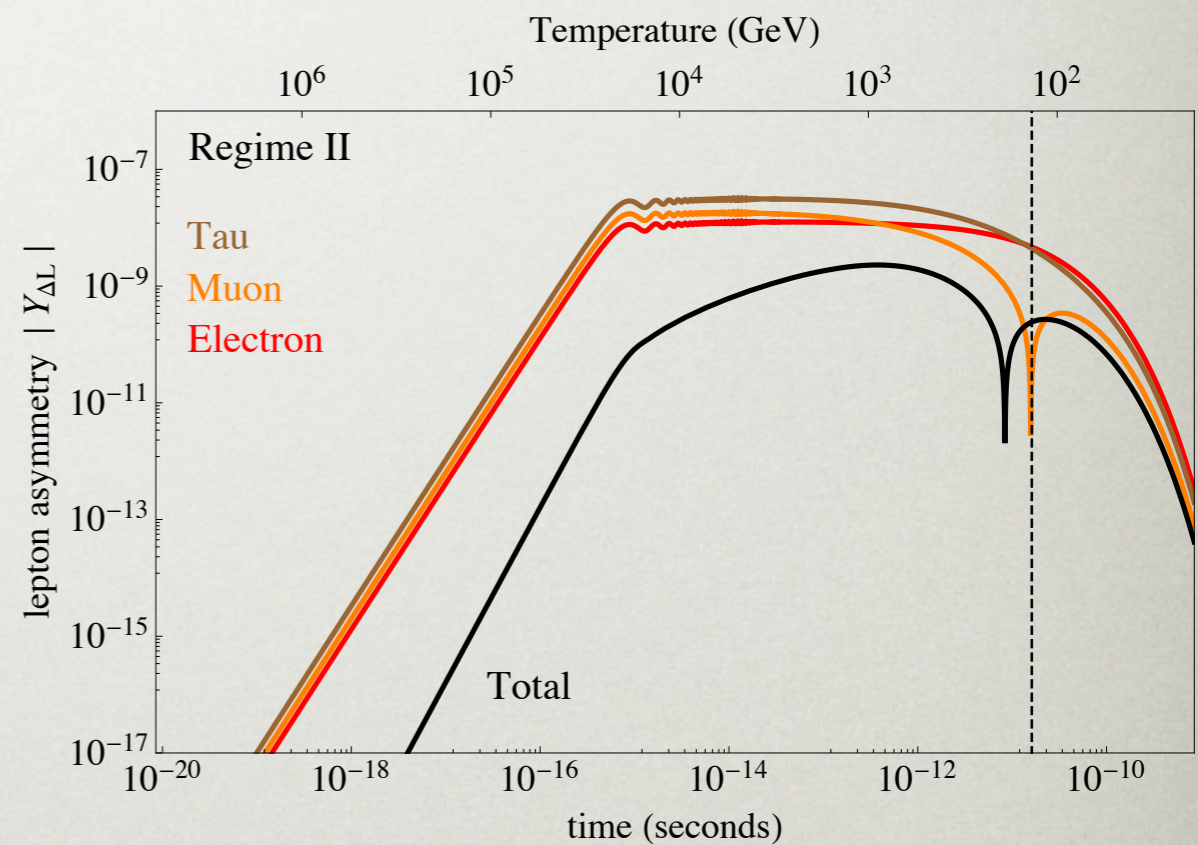
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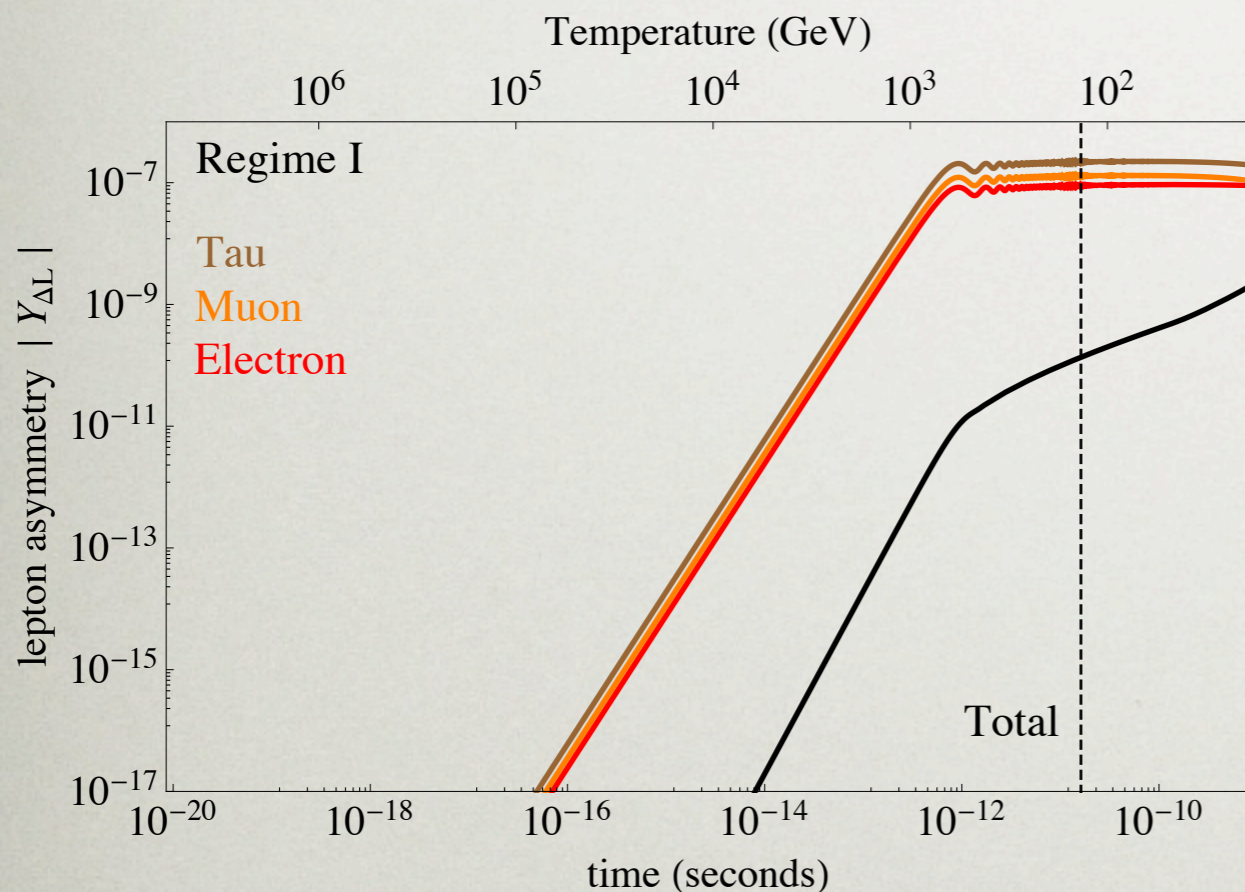
Regime II:  $t_{osc} < t_{eq} \sim t_w$



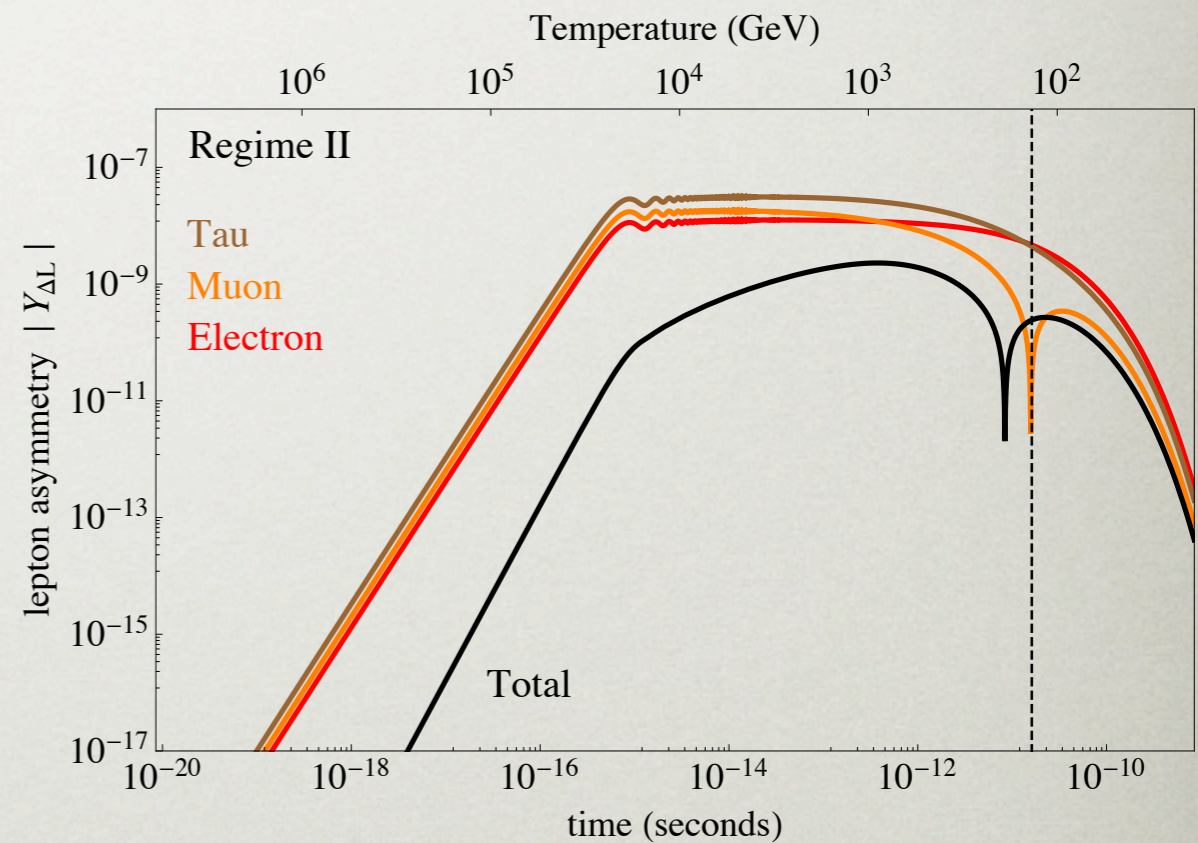
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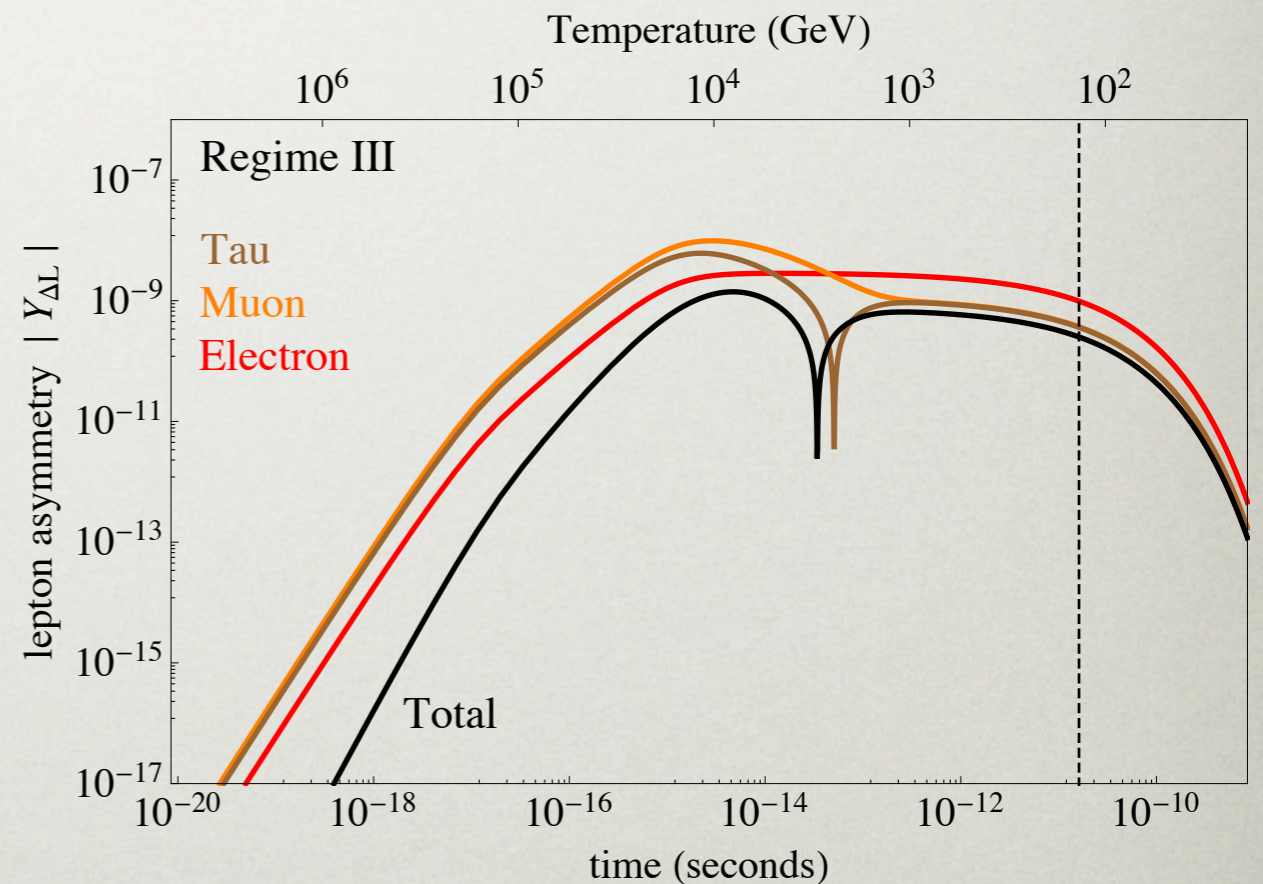
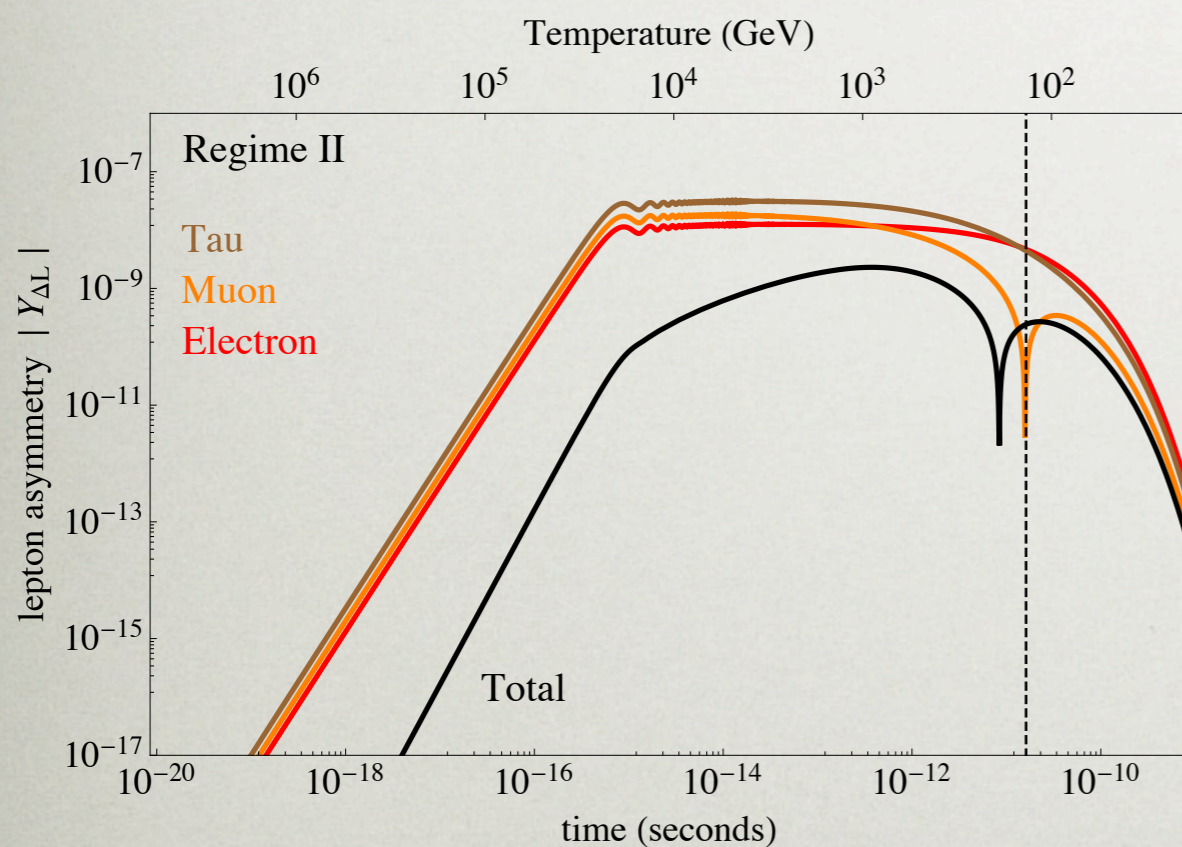
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- The largest possible Yukawa couplings are when one of the Yukawa couplings is much smaller than the others (Regime III)

(Regime I:  $t_{osc} \sim t_w < t_{eq}$ )

Regime II:  $t_{osc} < t_{eq} \sim t_w$

Regime III:  $t_{osc} < t_{eq,\alpha} \ll t_{eq,\beta} \sim t_w$



Third regime found in Drewes, Garbrecht 2012

See also Garbrecht 2014 for very large Yukawa regime

$$\Gamma_e \ll \Gamma_\mu, \Gamma_\tau$$

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  - Cancellation among Yukawa entries gives **same** LH neutrino masses  $(F F^T \ll F F^\dagger)$

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- Whether the minimal model requires degenerate masses, tuned Yukawas, or both depends on numerology

# Numerical results

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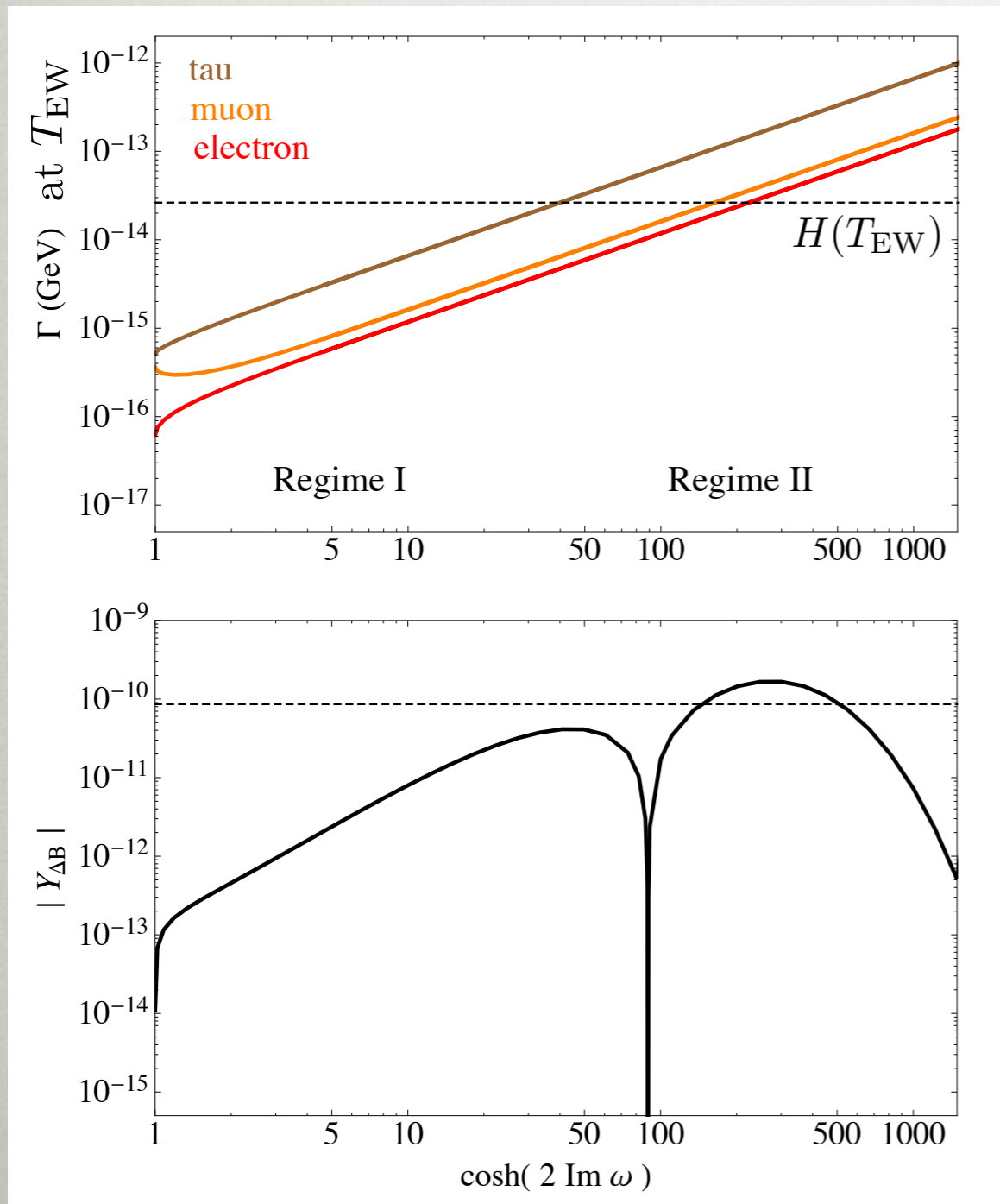
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- We include various corrections, including **spectator effects**

# Numerical results

- Regimes I-II (choose normal hierarchy for concreteness)



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$$M_N = 1 \text{ GeV}$$

$$\Delta M_N = 10^{-5} \text{ GeV}$$

$$\eta = -\pi/4$$

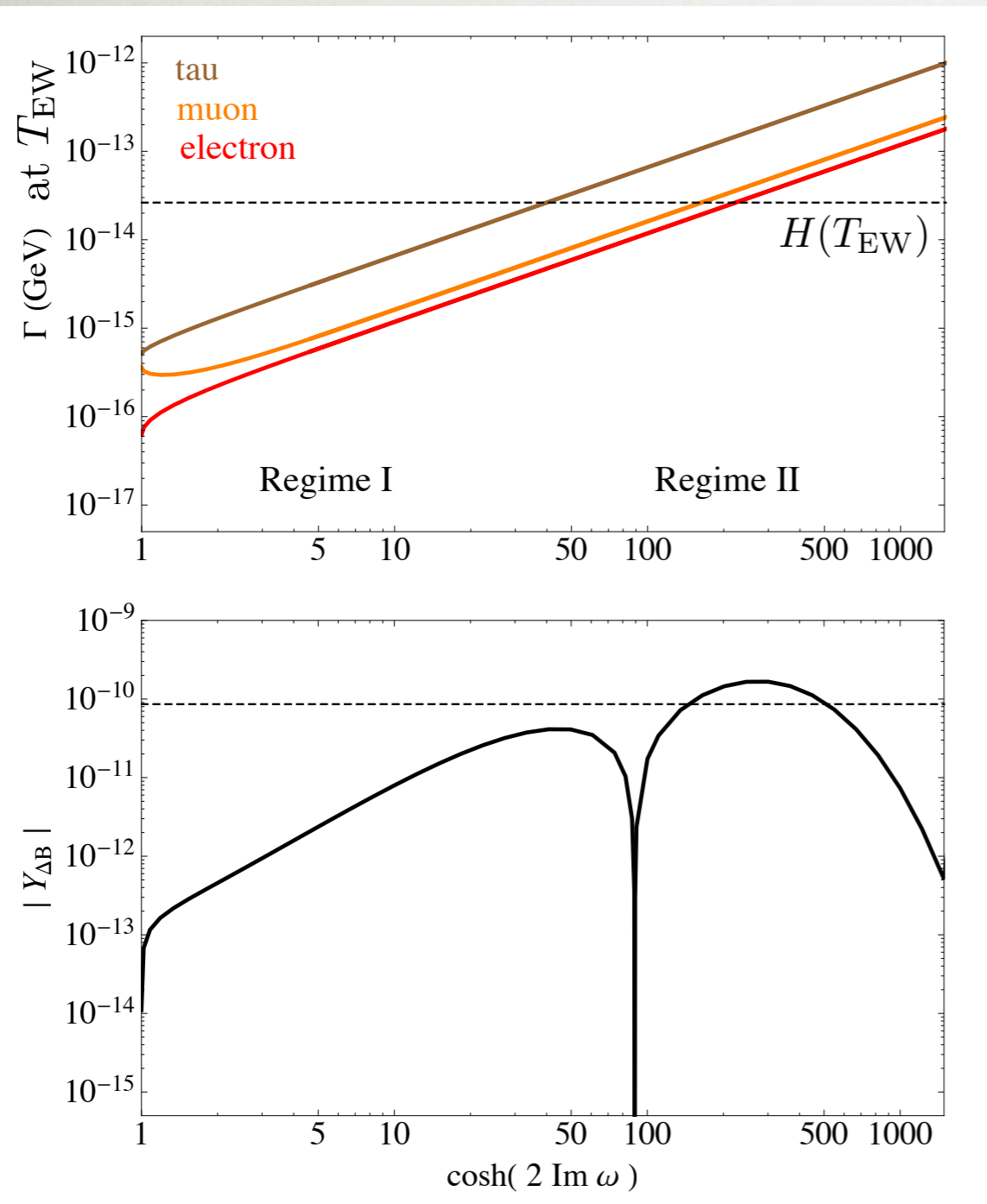
$$\delta = 3\pi/4$$

$$\text{Re}\omega = \pi/4$$

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# Numerical results

- Regimes I-II-III



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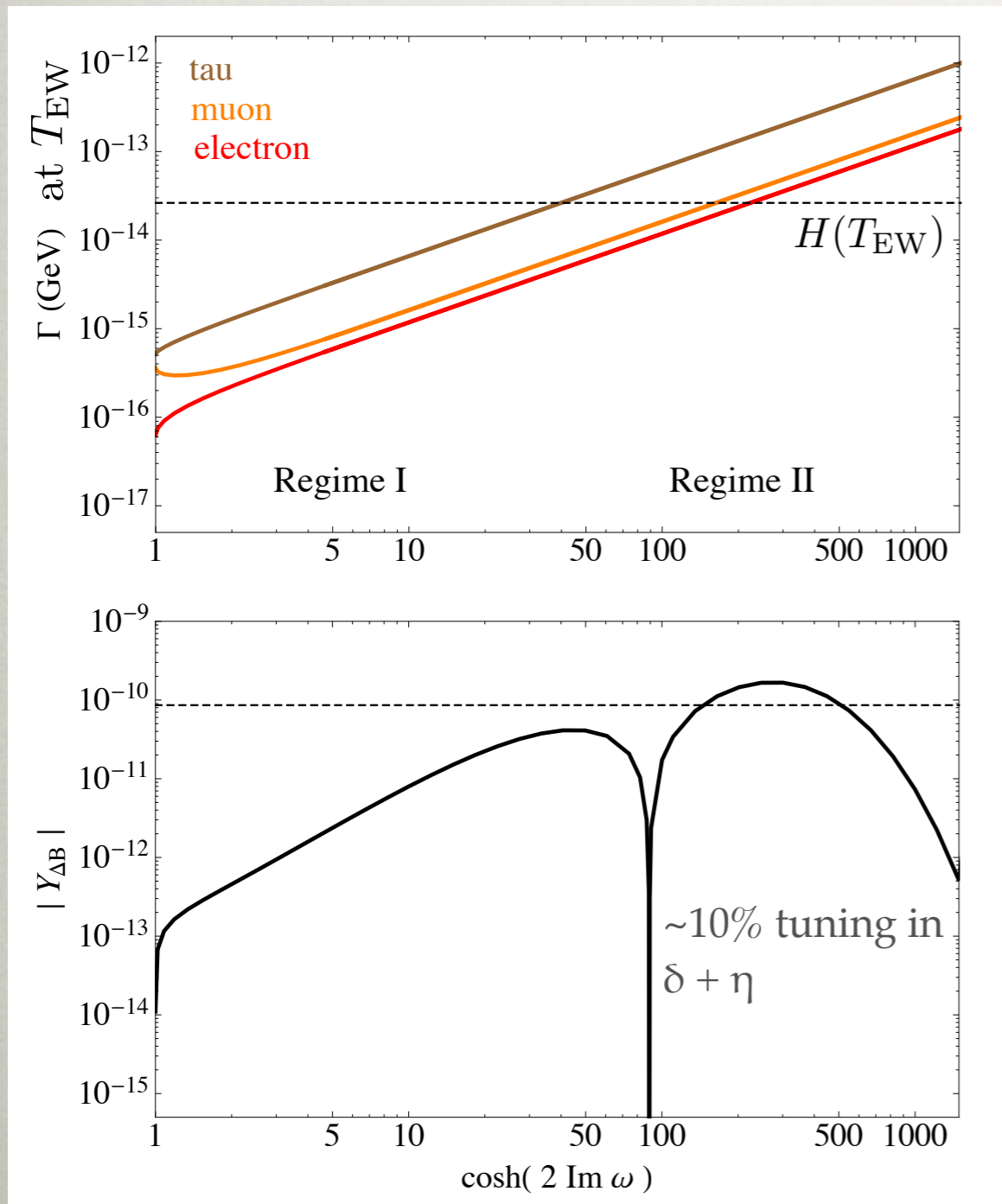
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$$\text{Re } \omega = \pi/4$$

# Numerical results

- Regimes I-II-III



$$\Gamma_e \ll \Gamma_\mu \sim \Gamma_\tau$$

$$M_N = 1 \text{ GeV}$$

$$\Delta M_N = 10^{-3} \text{ GeV}$$

$$\eta = -\pi/4$$

$$\delta = -\pi/4$$

$$\text{Re}\omega = \pi/4$$

- This can be accomplished with **destructive interference in  $\Gamma_e$**

$$\tan \theta_{13} = \frac{m_{\nu 2}}{m_{\nu 3}} \sin \theta_{12} \quad \text{and} \quad \cos(\delta + \eta) = -1$$

Asaka, Eijima, Ishida 2011

Drewes, Garbrecht 2012



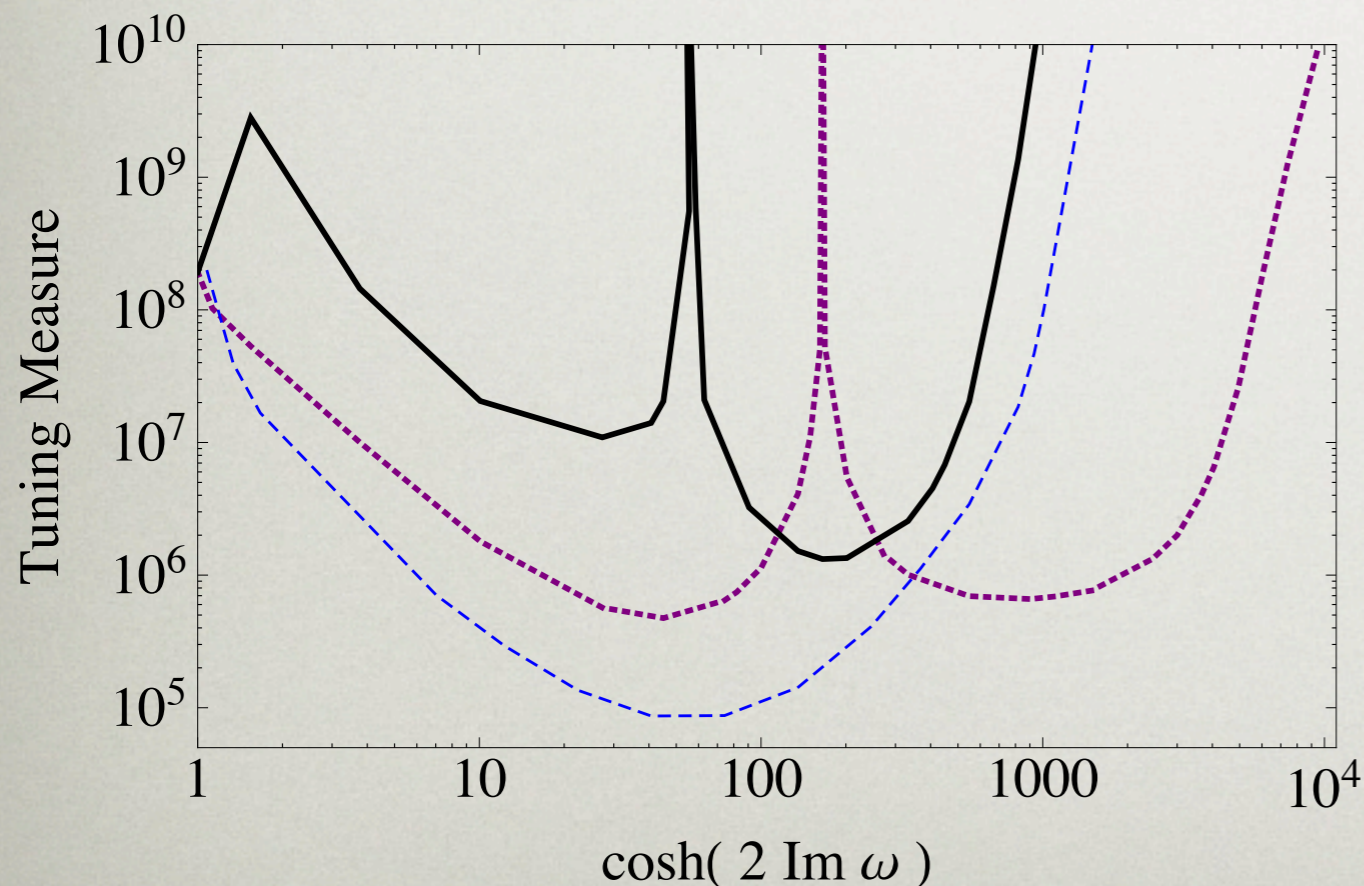
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  - For each point, determine mass degeneracy needed to obtain baryon asymmetry

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$$\text{tuning/alignment} = \frac{M}{\Delta M} \cosh(2\text{Im } \omega)$$



$M_N = 1 \text{ GeV}$

**Black**

$$\eta = \pi/4$$

$$\delta = \pi/4$$

$$\text{Re } \omega = \pi/4$$

**Purple**

$$\eta = -\pi/4$$

$$\delta = -\pi/4$$

$$\text{Re } \omega = \pi/4$$

**Blue**

$$\eta = 2.42$$

$$\delta = 0.5$$

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$$FF^\dagger \sim \frac{M_N m_\nu}{\langle \Phi \rangle^2} \cosh(2\text{Im } \omega)$$

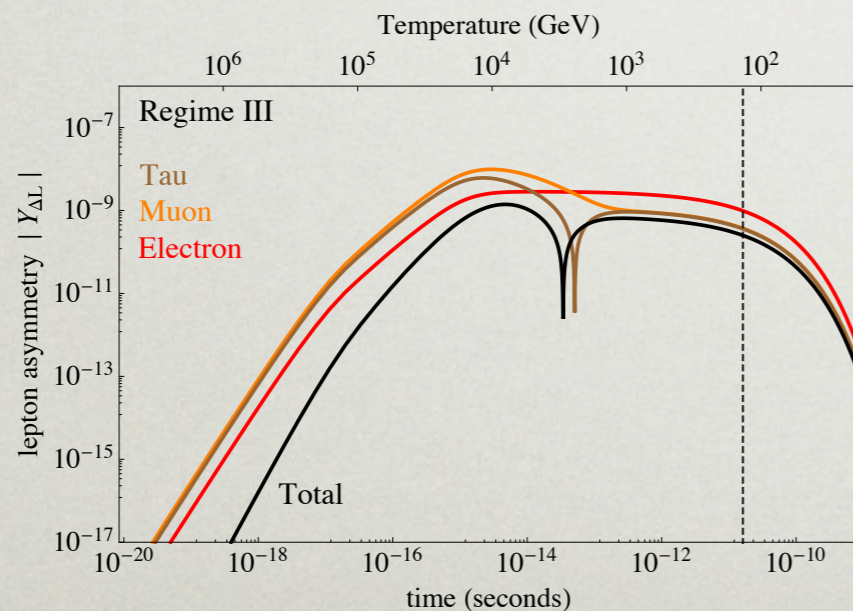
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- The general conclusion still holds if we have....
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  - More sterile neutrinos: With 3+ sterile neutrinos, there is viable parameter space in Regime III **without** degenerate sterile neutrinos

Drewes, Garbrecht 2012



- Tuning all shifted into Yukawa couplings (large  $\text{Im}(\omega)$ )
- Relies on large cancellations in electron rate

$$\Gamma_e \ll \Gamma_\mu, \Gamma_\tau$$

# **Baryon asymmetry with an extended Higgs sector**

# Yukawas in a 2HDM

$$F^\dagger F \sim \frac{M_N m_\nu}{\langle \Phi \rangle^2} \cosh(2\text{Im } \omega)$$

- Up until now, we have taken  $\Phi = \Phi_{\text{SM}}$
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- **Our proposal:** a leptophilic two Higgs doublet model
  - “Leptophilic”: SM-like Higgs doublet couples to quarks, new Higgs doublet couples to leptons (avoids FCNCs)
  - Smallness of charged lepton masses can be a consequence of small VEV for leptophilic Higgs

Possibility of 2HDM in  $\nu\text{MSM}$  also mentioned in Drewes, Garbrecht 2012

$$\mathcal{L}_{\text{Yuk}} = -\lambda_u Q H_q u^c - \lambda_d Q H_q^* d^c - \lambda_\ell L H_\ell^* E^c - F L H_\ell N$$

$$\tan \beta = \frac{\langle H_q \rangle}{\langle H_\ell \rangle} \gg 1$$

$$\lambda_\ell = \tan \beta \frac{m_\ell}{\langle H_q \rangle} \gg \frac{m_\ell}{v_{\text{SM}}}$$

# Yukawas in a 2HDM

- The size of the Yukawa coupling is limited by the fact that  $N$  cannot equilibrate before the electroweak phase transition

$$\text{asymmetry equilibration rate} \sim FF^\dagger \sim \frac{m_\nu M_N}{\langle \Phi \rangle^2} \cosh(2\text{Im } \omega)$$



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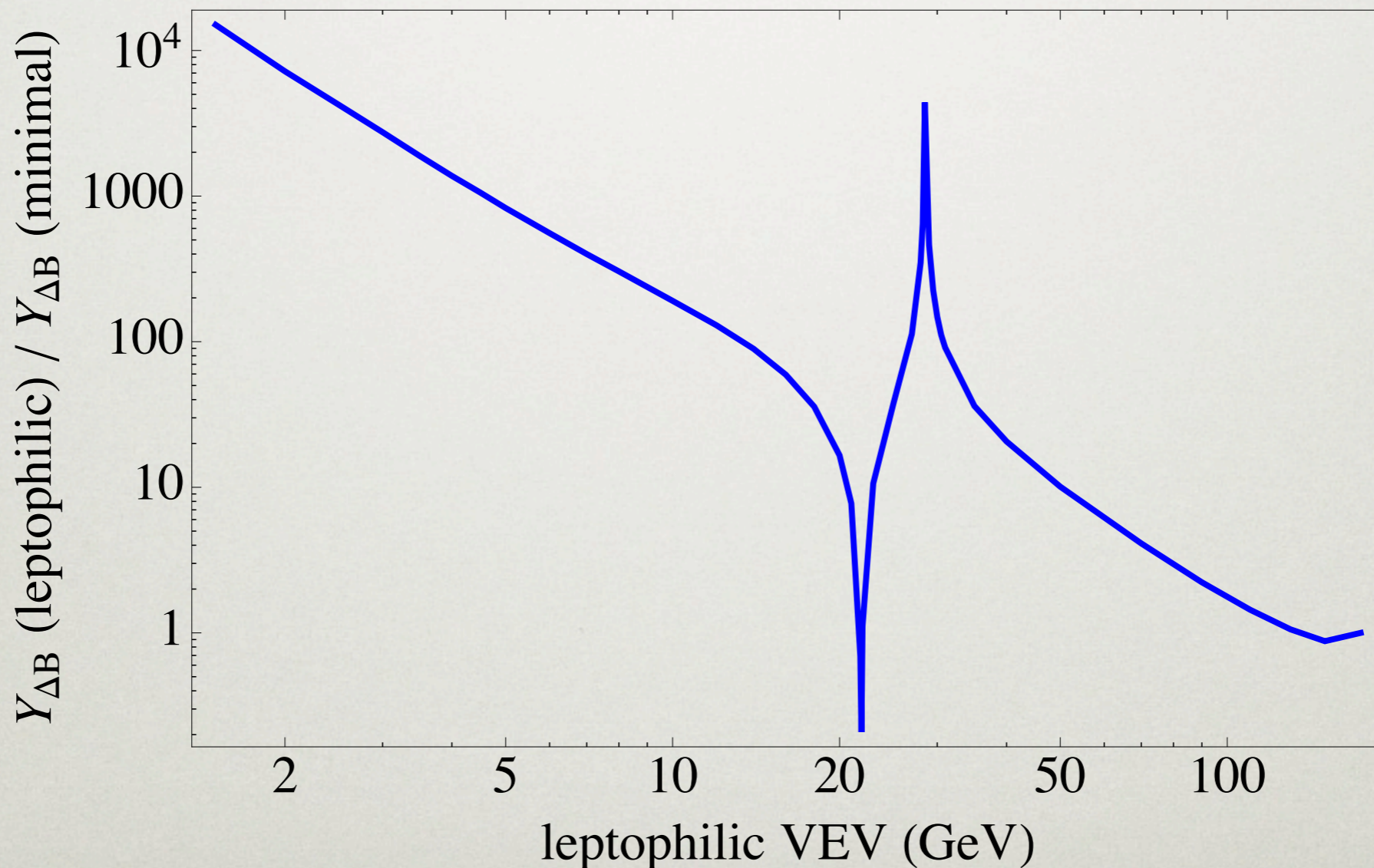
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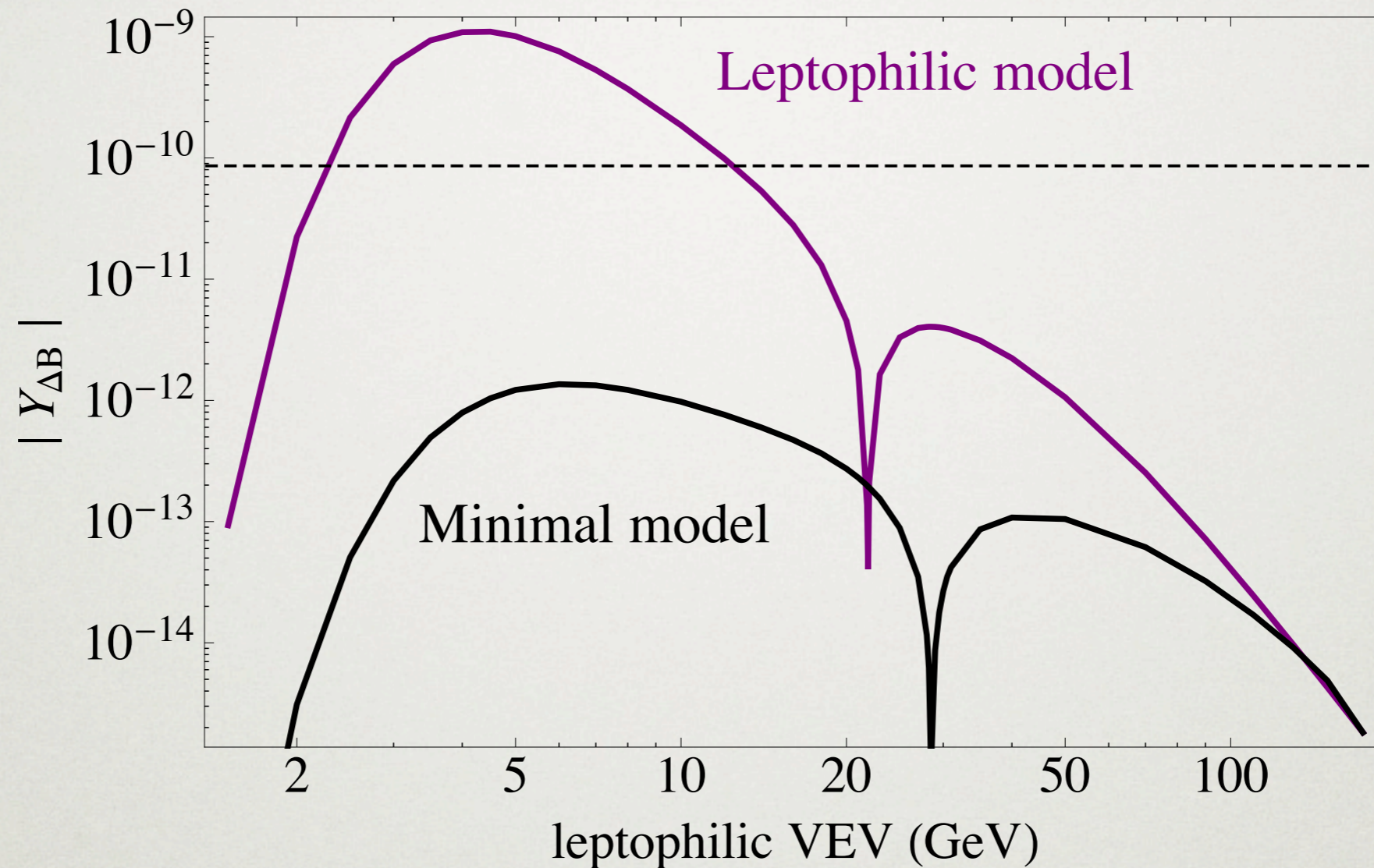
- In the asymmetry creation rate, there is a **partial cancellation of the Yukawa couplings** when the couplings are tuned to be large
- A smaller Higgs VEV gives a quadratic enhancement of the baryon asymmetry over the tuned model

# Baryogenesis and a 2HDM

- Compare leptophilic 2HDM with VEV  $v$  to the minimal model where the Yukawa couplings are tuned to be the same magnitude



# Baryogenesis and a 2HDM



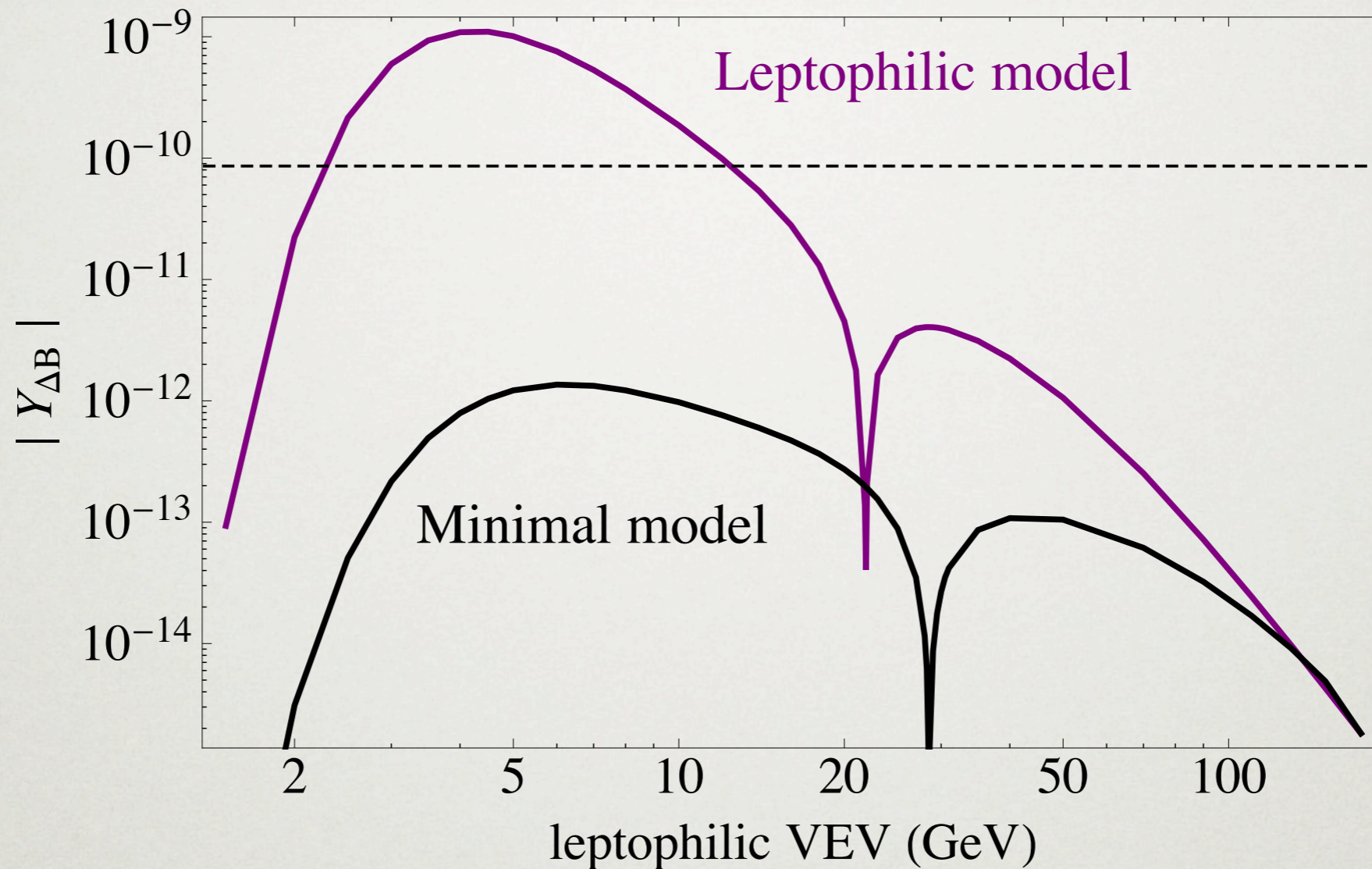
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$$M_3 = 1.5 \text{ GeV}$$

$$\omega = \pi/4 + i$$

$$\eta = \delta = -\pi/4$$

# Baryogenesis and a 2HDM



- Depending on leptophilic VEV, can get observed baryon asymmetry with:
  - Non-degenerate spectrum
  - No tuning of the Yukawa couplings needed
  - Generic phases OK (1/2 - 1/3 of total parameter space)

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- This gives induces a VEV for the leptophilic Higgs, relates  $\tan \beta$  to mixing angle  $\sin \alpha$

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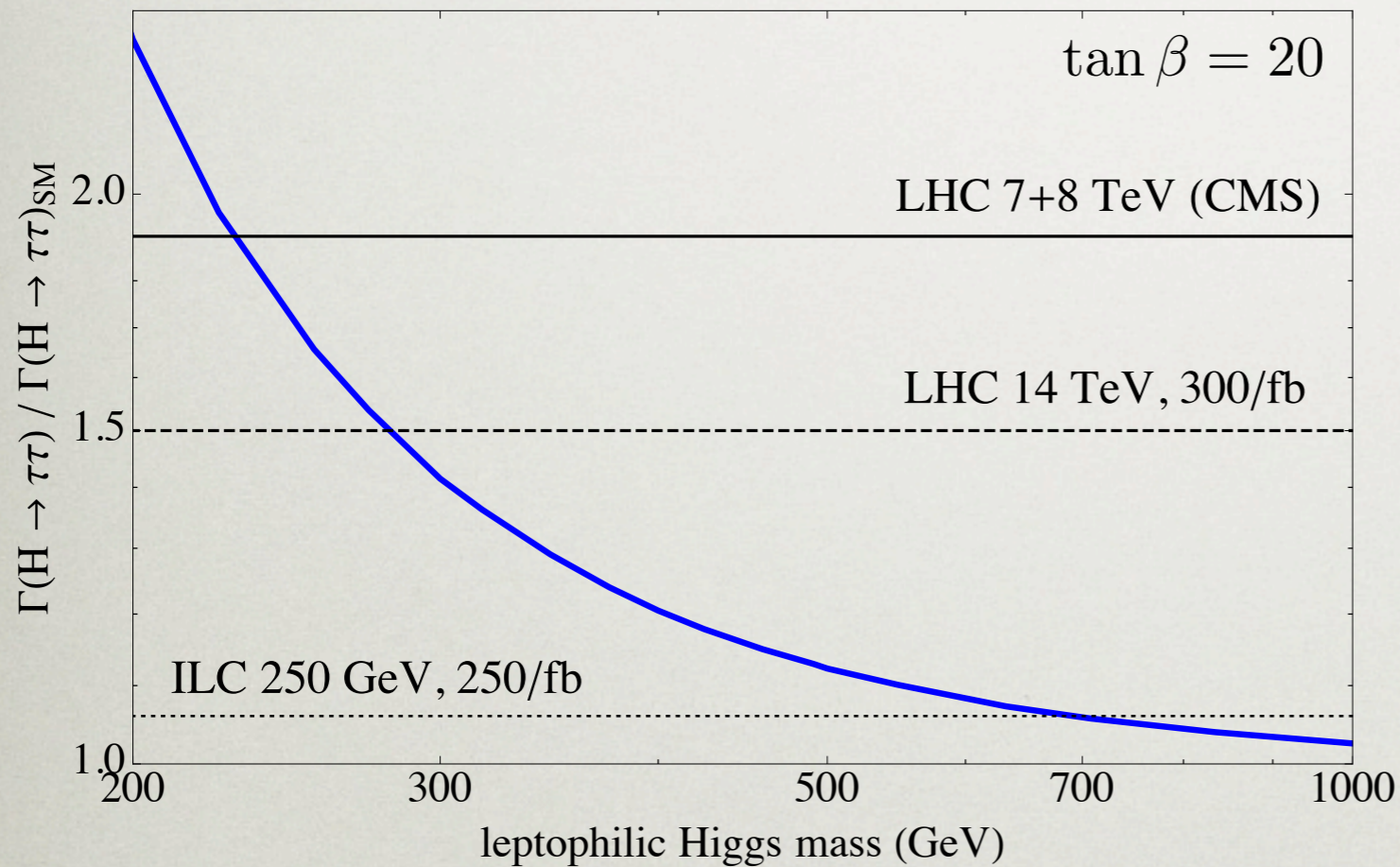
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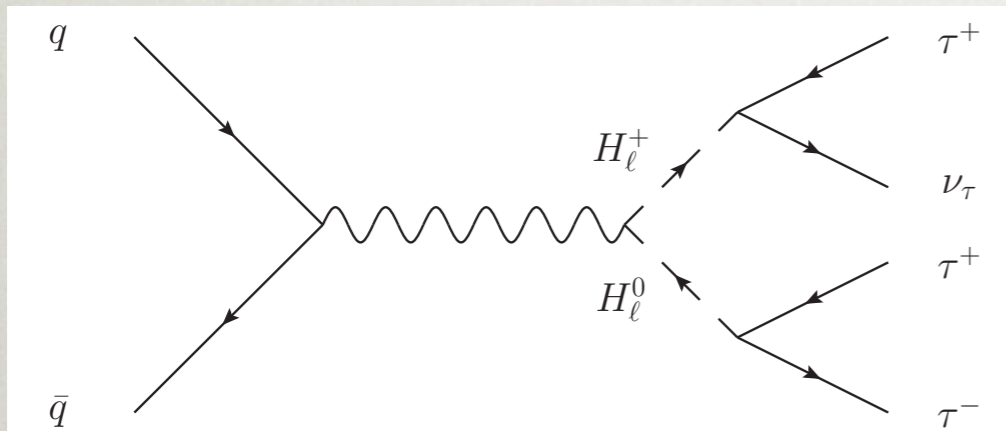
Calculated with 2HDMC

Future projections derived from  
Peskin, 2012

Recent 8 TeV scan:  
ex. Ferreira *et al.*, 2014

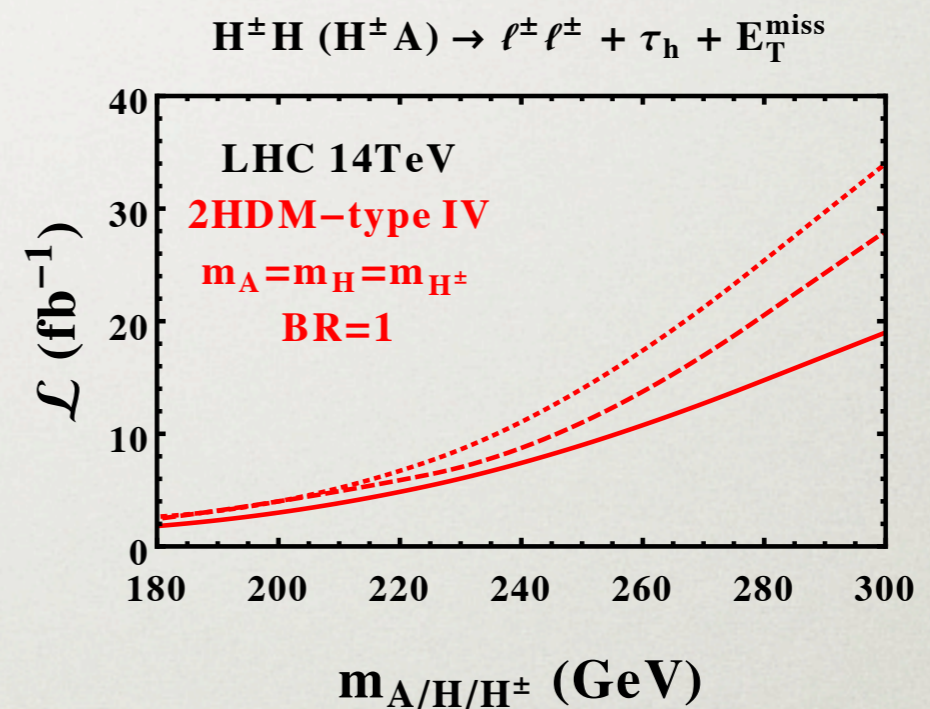
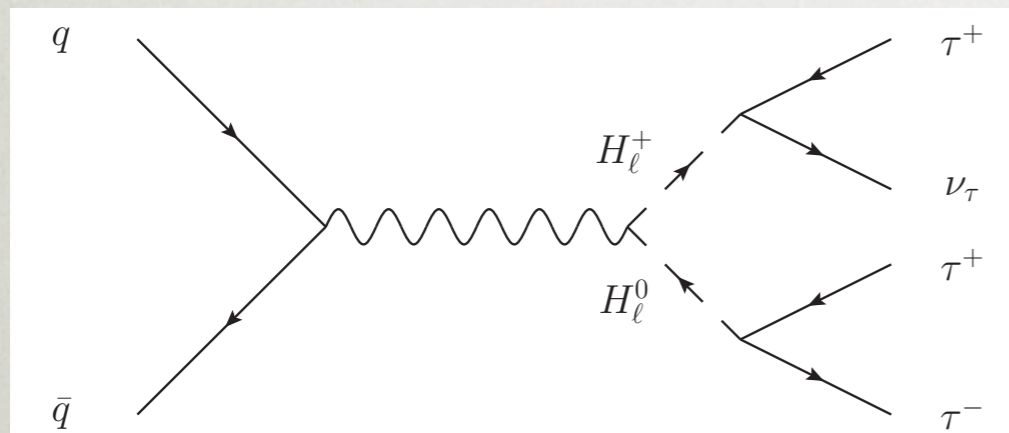
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- A promising search channel is same-sign dileptons + hadronic tau (current bound = 150 GeV)
- See Liu, BS, Weiner, Yavin, 2013 for more details of search possibilities

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- Also the possibility for **displaced vertices** over some part of the parameter space
  - Ongoing work

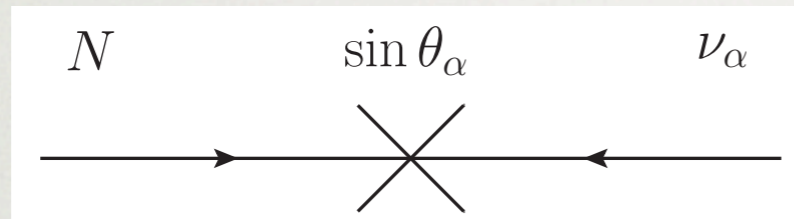
# **Sterile Neutrino Phenomenology**

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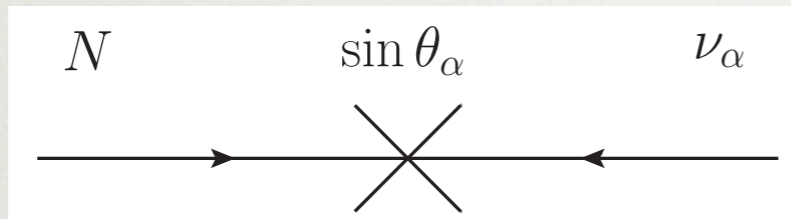


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Shrock 1981; Gorbunov, Shaposhnikov 2007

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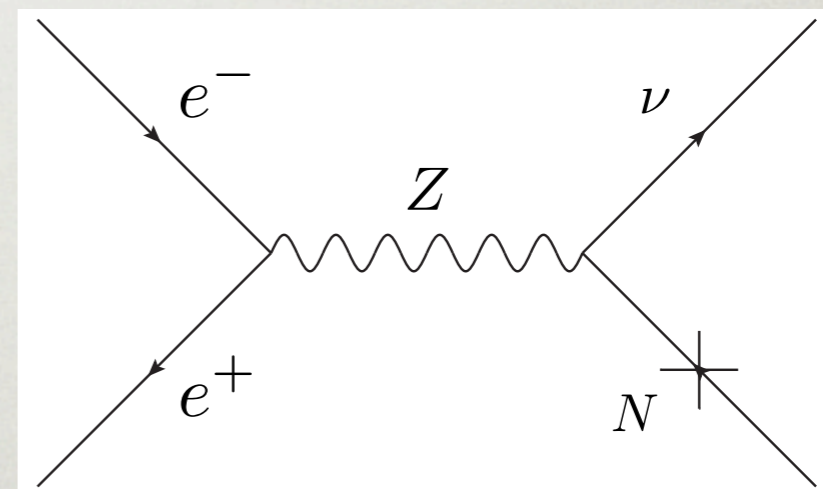
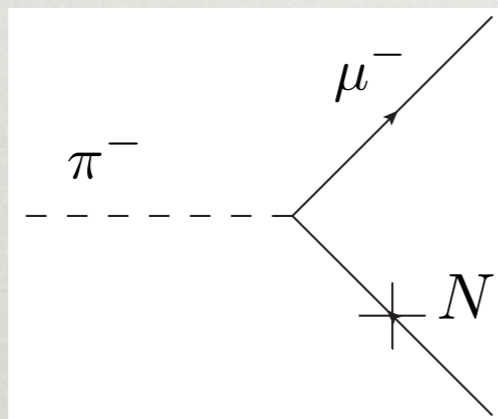
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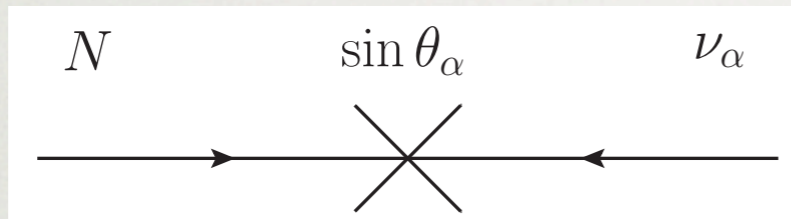
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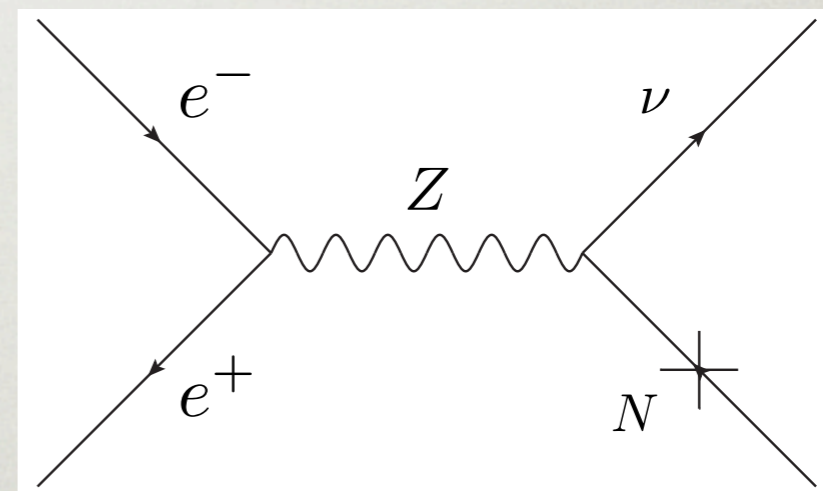
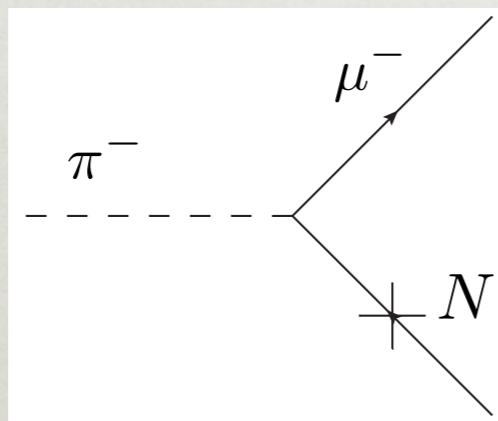
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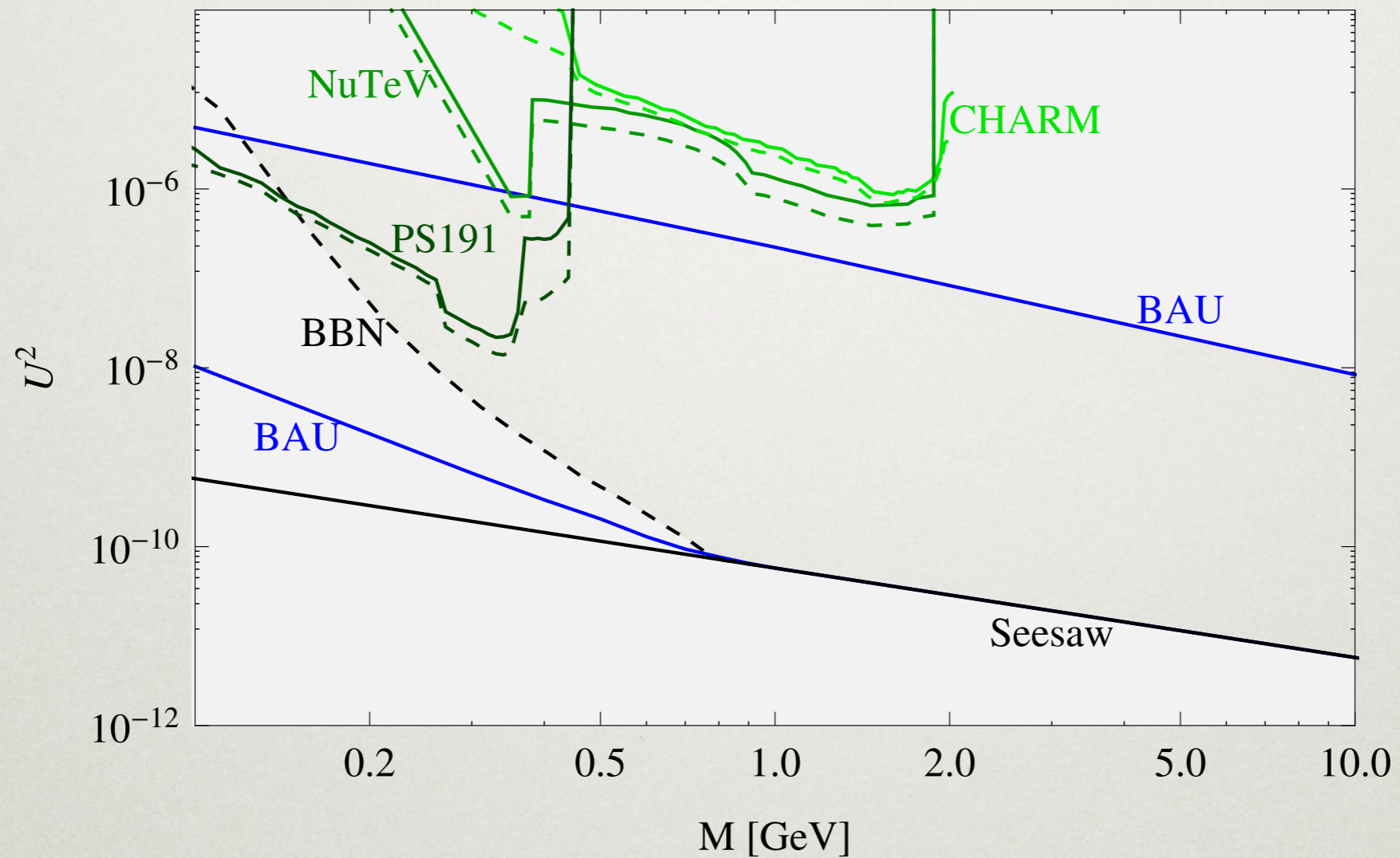
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- Look for different 2-body kinematics and/or displaced decays



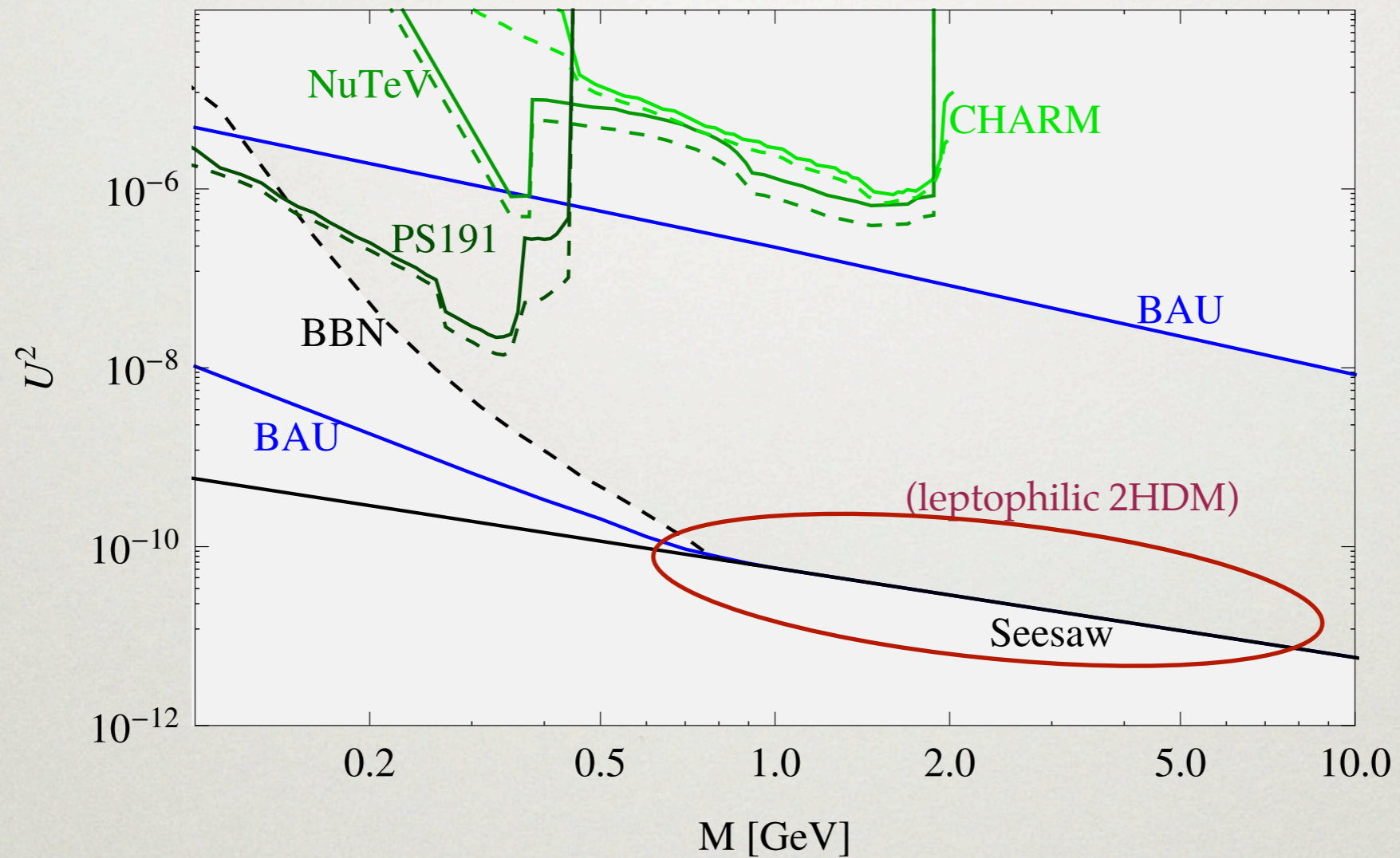
# Sterile neutrino bounds



$$U^2 \sim \sum_{\alpha} \theta_{\alpha}^2$$

Canetti, Drewes, Frossard, Shaposhnikov, 2012

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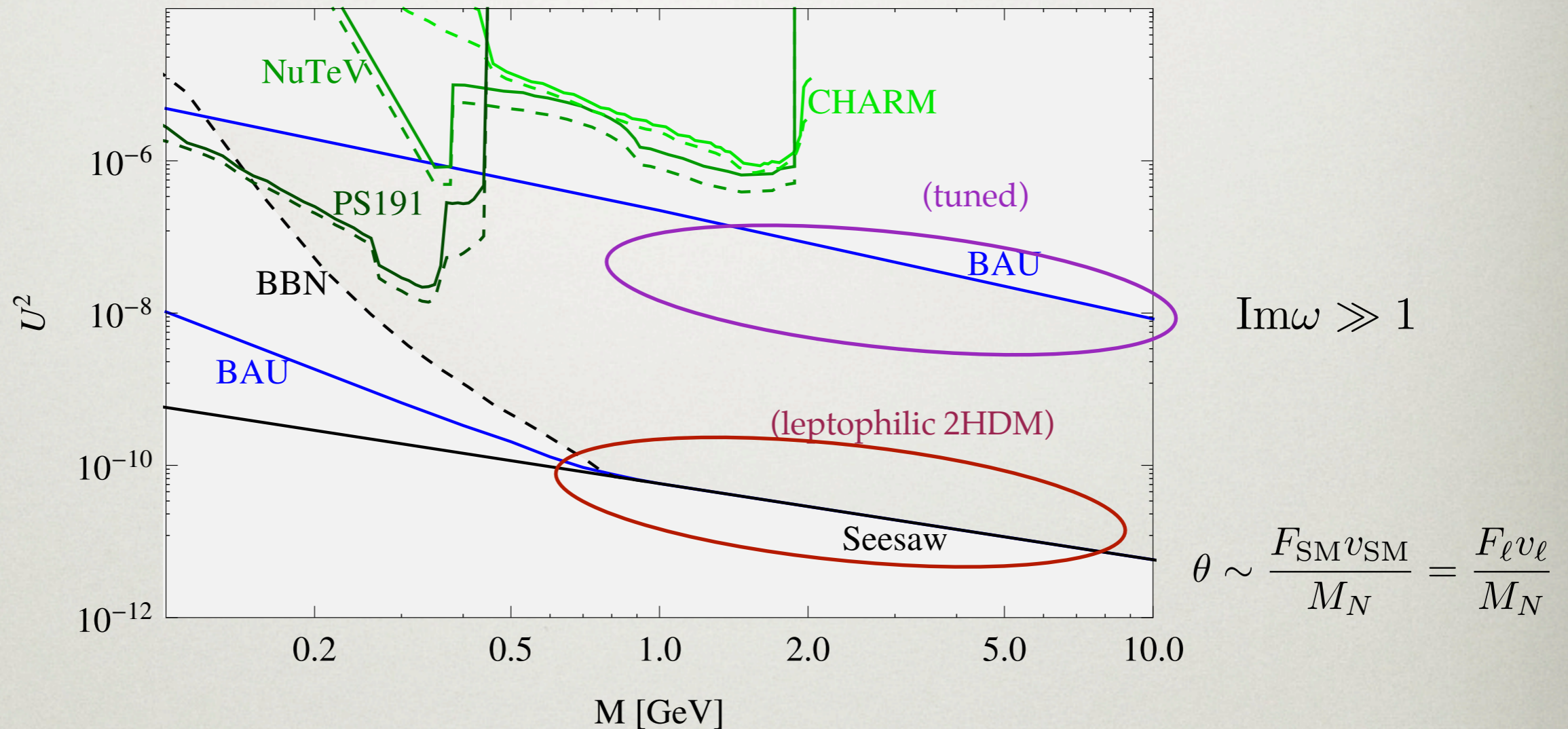


$$\theta \sim \frac{F_{\text{SM}} v_{\text{SM}}}{M_N} = \frac{F_{\ell} v_{\ell}}{M_N}$$

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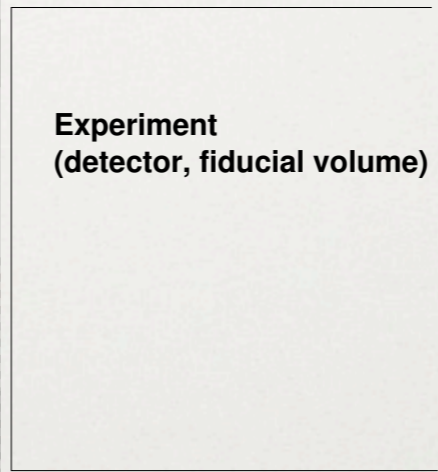
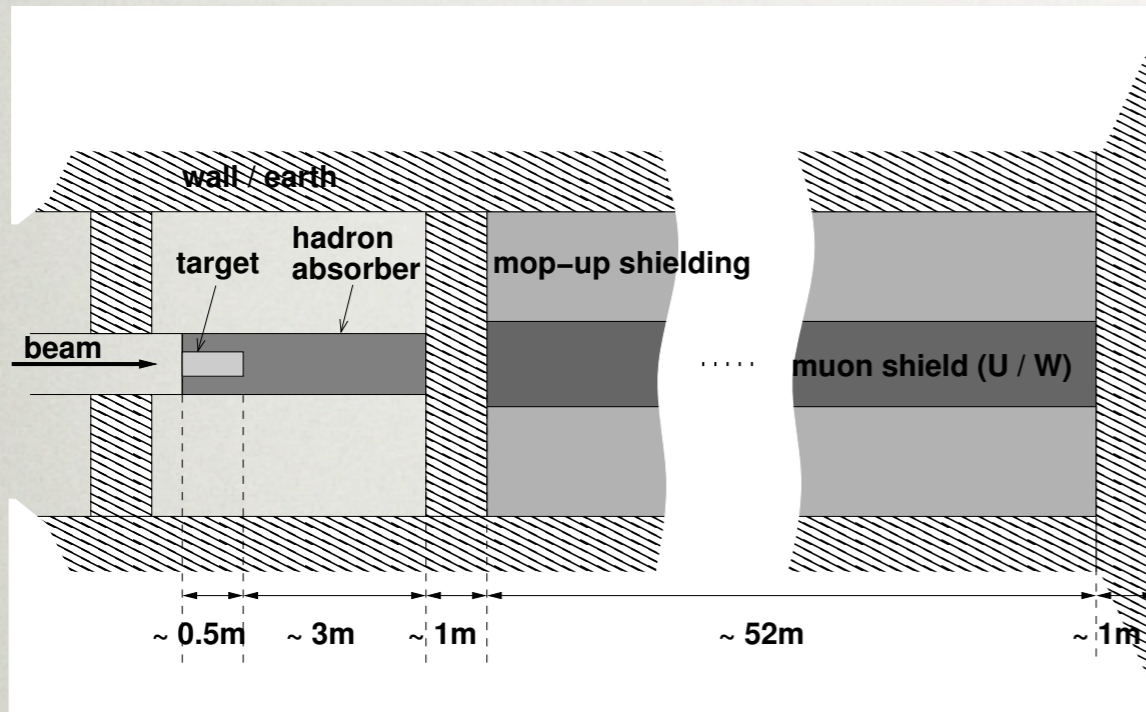


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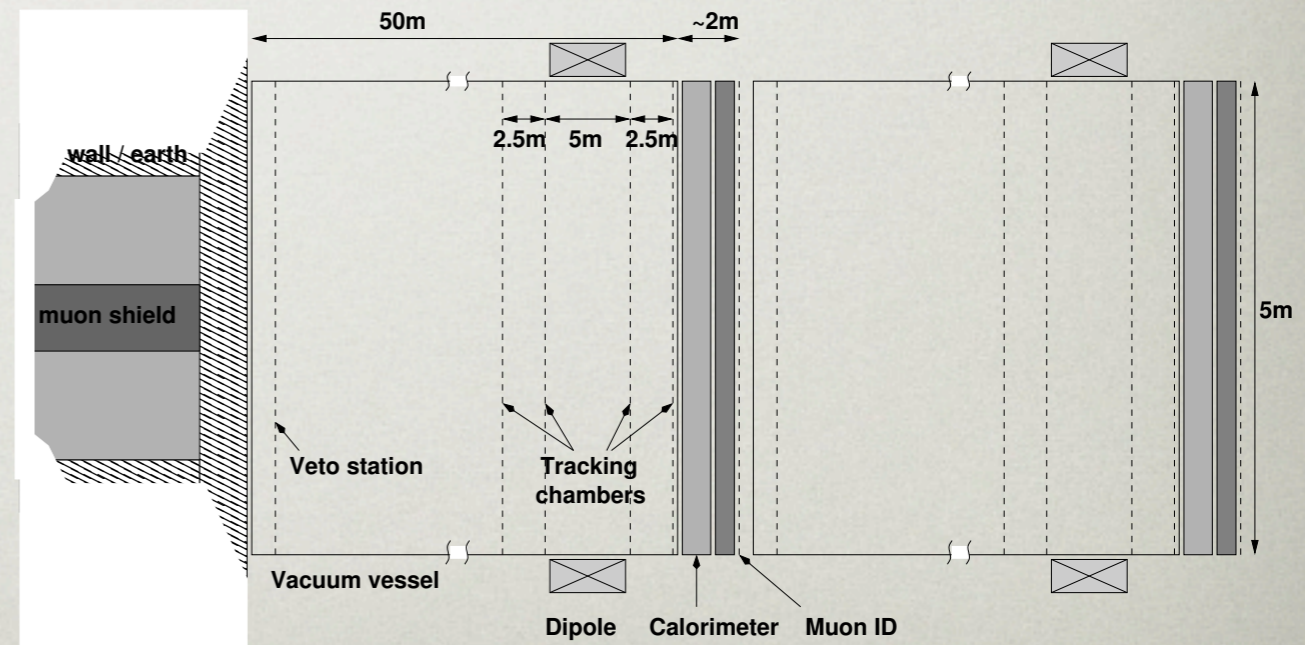
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# SHIP Proposal

- Proposal for the CERN SPS



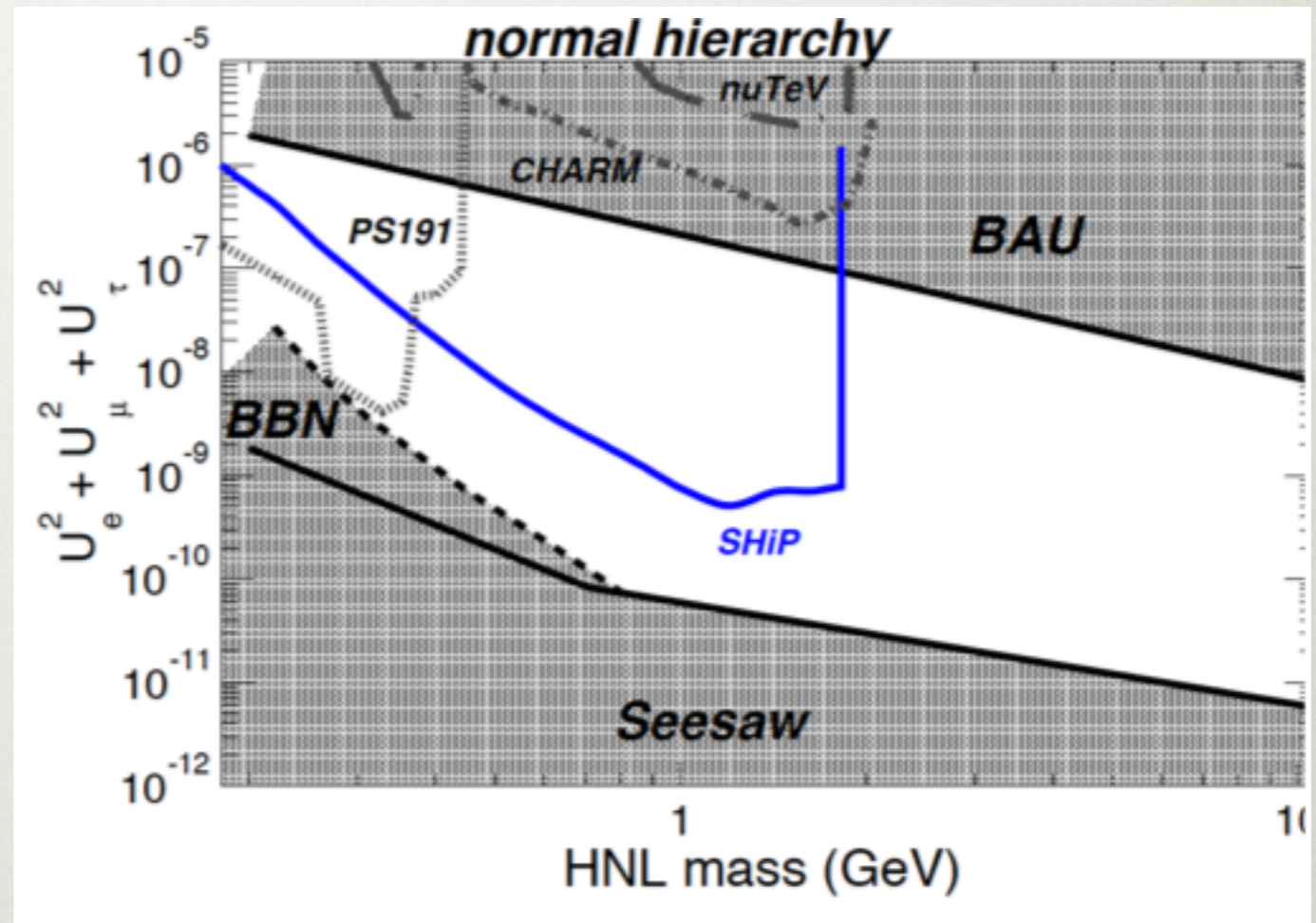
SHIP proposal, 2013



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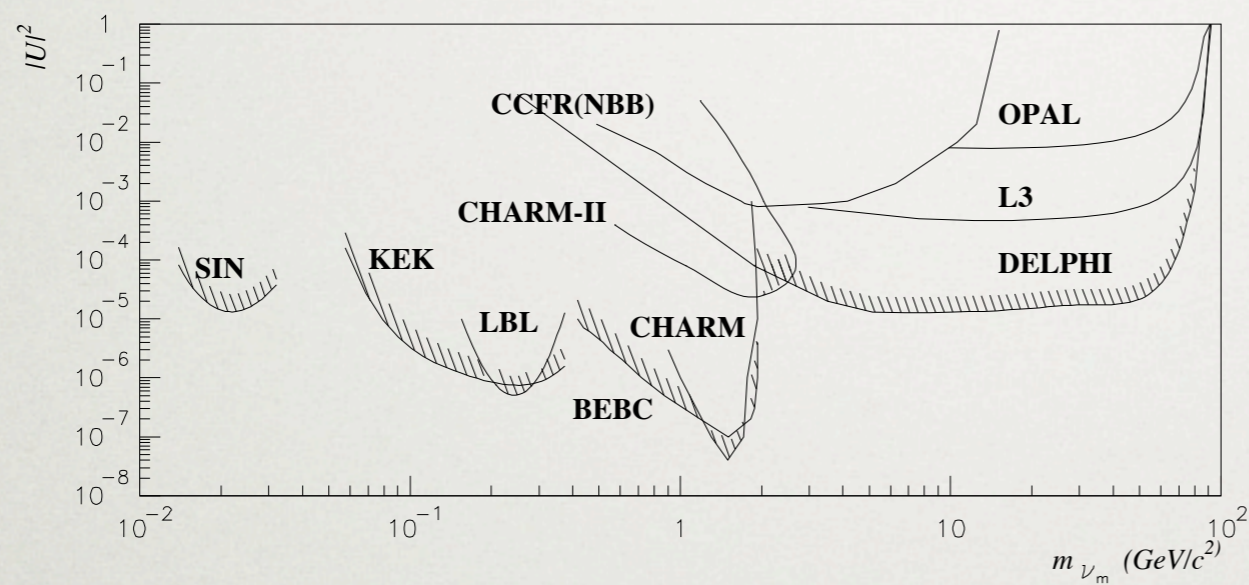
W. Bonivento, SHIP talk, 2014



- Can probe much of parameter space, but what about  $>$  charm mass?

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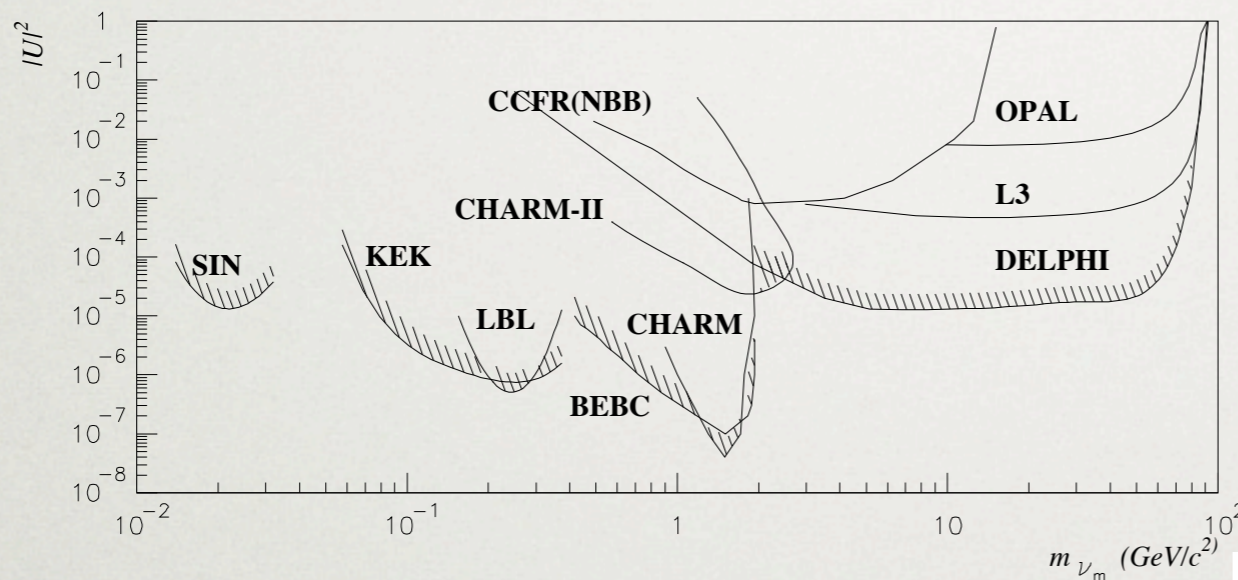
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DELPHI (LEP), 1997

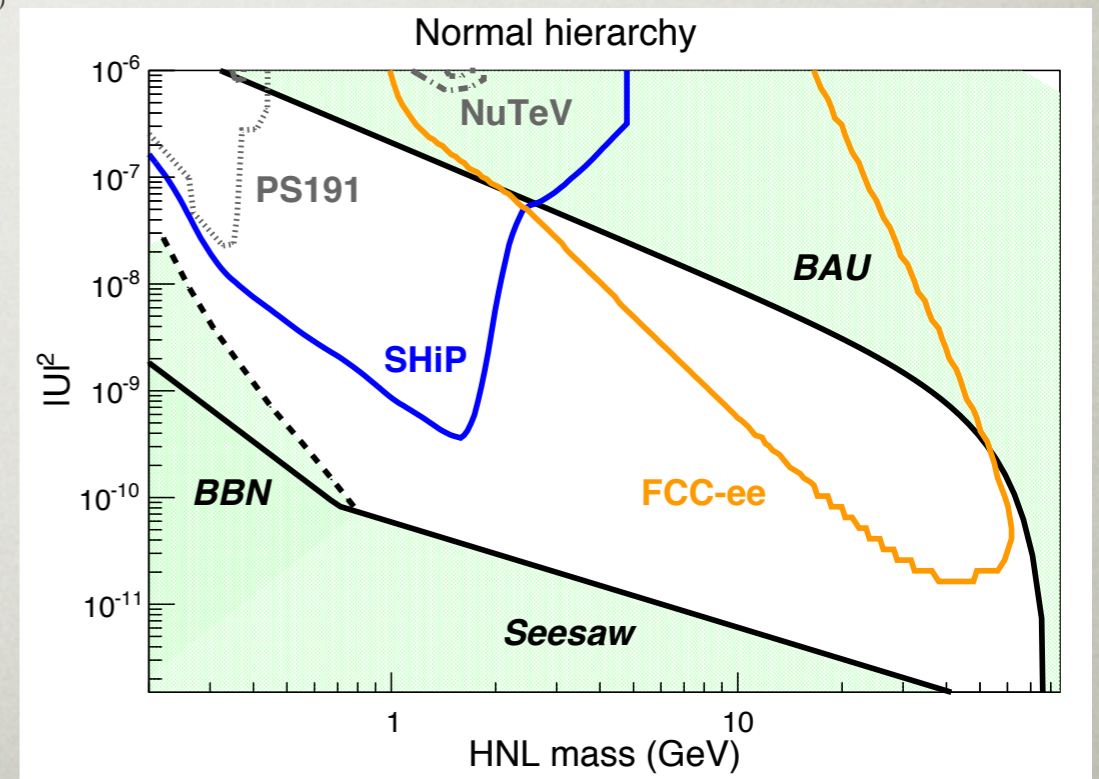
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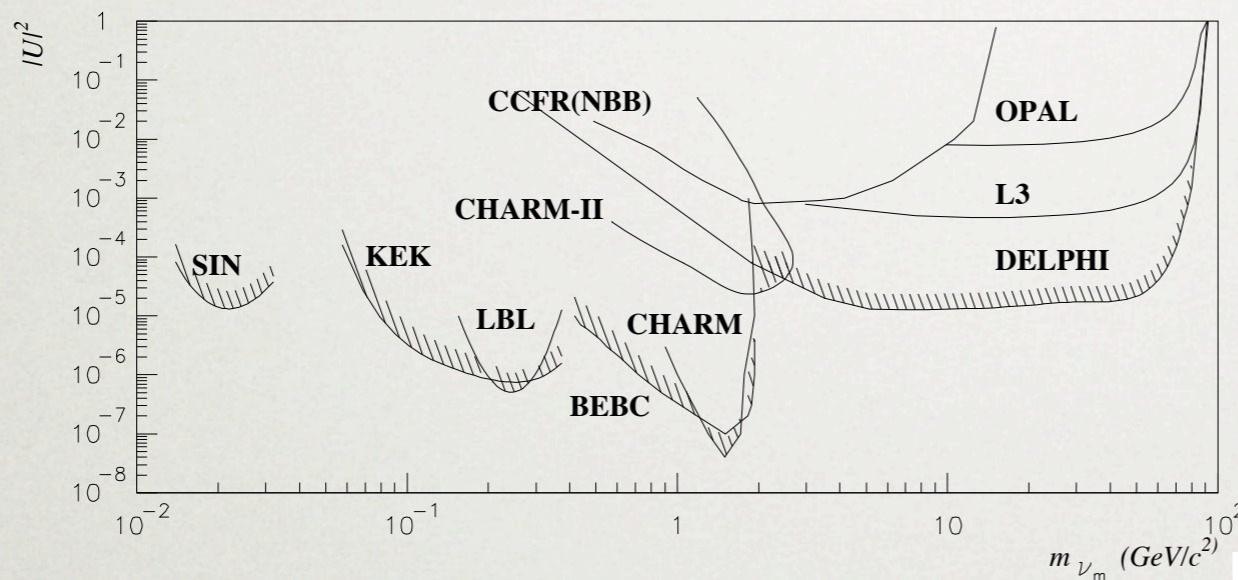
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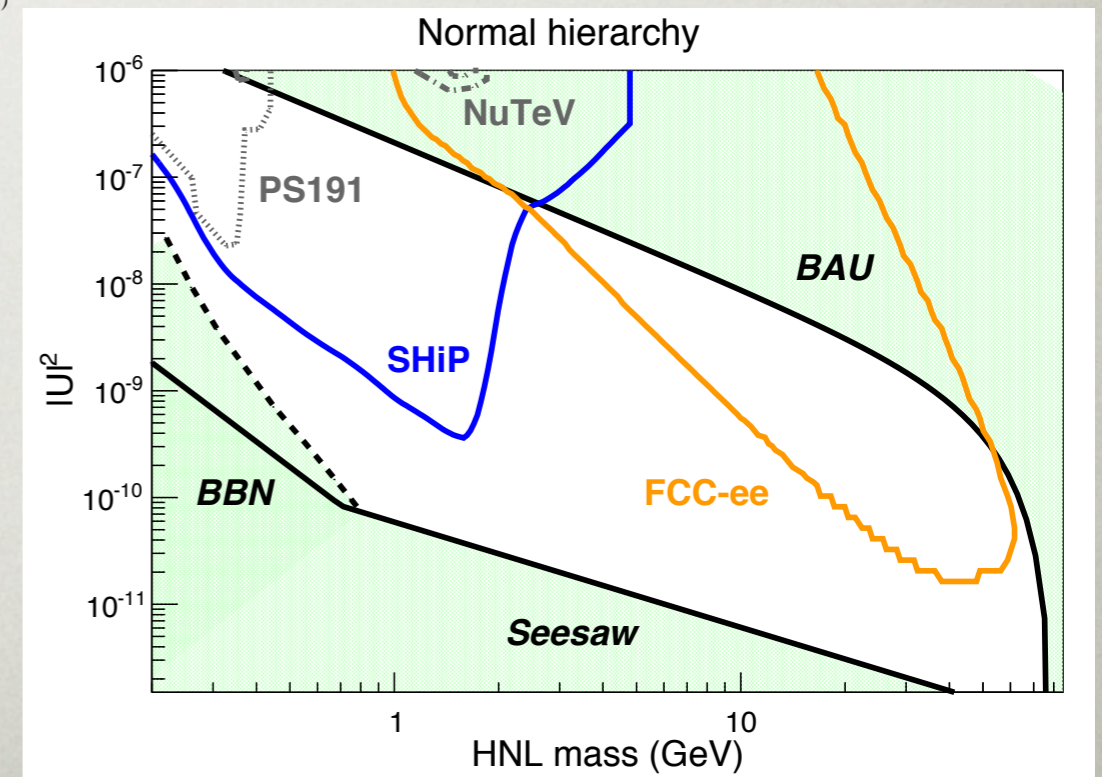
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Blondel, Graverini, Serra, Shaposhnikov 2014

- What about the LHC?  
Optimized analyses needed (ongoing work)





# Conclusions

- The missing pieces of the SM can be filled in with new sterile neutrino states at **phenomenologically accessible scales**
- The simplest model can explain all of dark matter, baryogenesis, neutrino masses, but with a high degree of parameter alignment/tuning
- Models with a **leptophilic Higgs** at and below the weak scale can substantially enhance the baryon asymmetry
  - Robust prediction for interesting new physics with leptons at energy and intensity frontiers
  - Act as independent probes of sterile neutrino cosmology
  - See BS, I. Yavin, arXiv:1403.2727 for similar work on sterile neutrino DM
- Searches for leptophilic Higgs / direct searches for  $N$  complementary
  - Best way to fill in gaps? Other uses for SHIP experiment?