

# Self-induced Flavor Conversion of Supernova Neutrinos

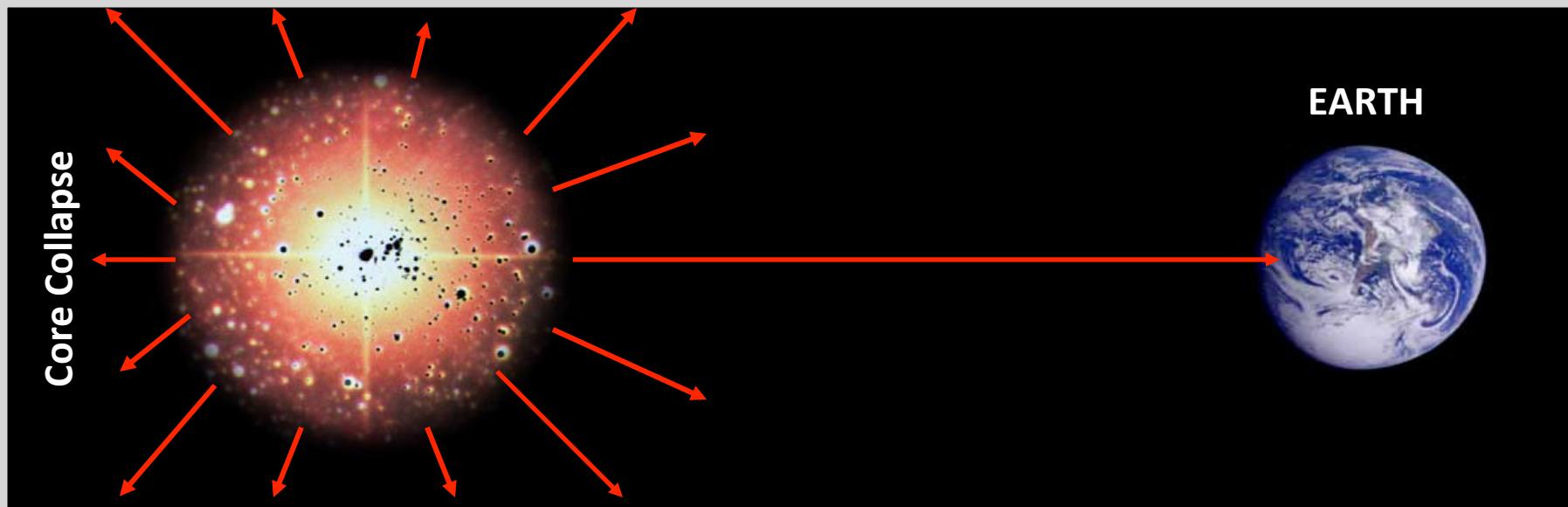
**Sovan Chakraborty**

**Indian Institute of Technology, Guwahati.**



Invisibles Webinar  
15<sup>th</sup> March, 2016.

# TYPICAL PROBLEMS IN SUPERNOVA NEUTRINOS



Production  
(flavor)

Propagation  
(mass,mixing)

Detection  
(flavor)

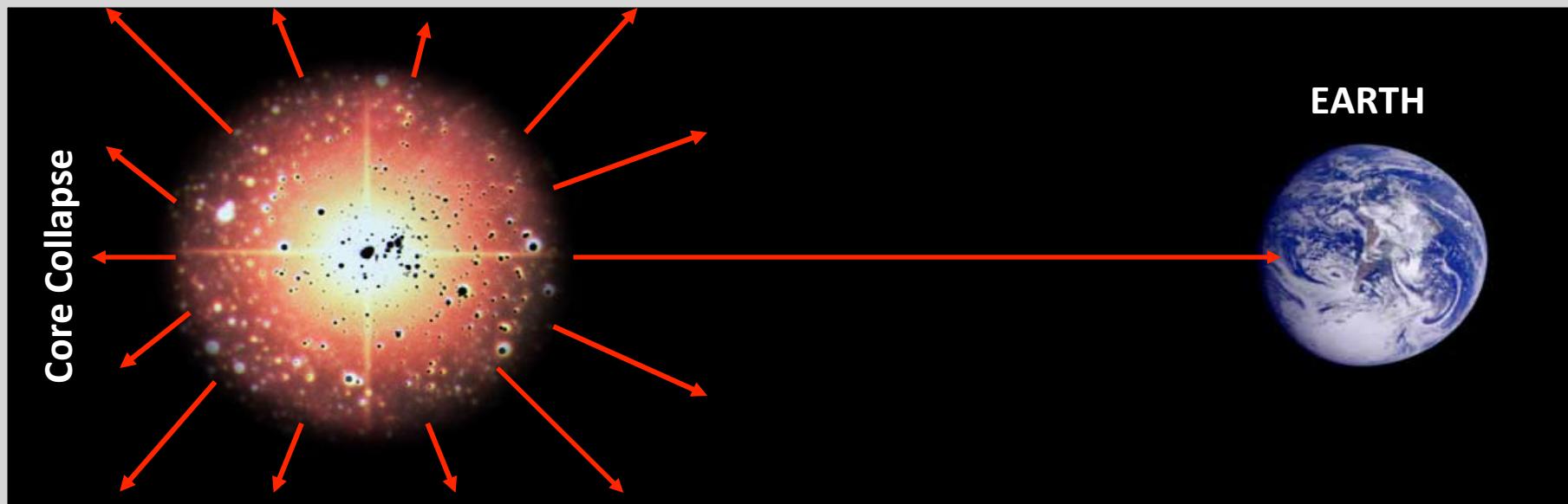
Based on the works

[arXiv:1507.07569](https://arxiv.org/abs/1507.07569), [arXiv:1602.00698](https://arxiv.org/abs/1602.00698) & [arXiv:1602.02766](https://arxiv.org/abs/1602.02766)

with

Rasmus Hansen, Ignacio Izquierre & Georg Raffelt

# TYPICAL PROBLEMS IN SUPERNOVA NEUTRINOS

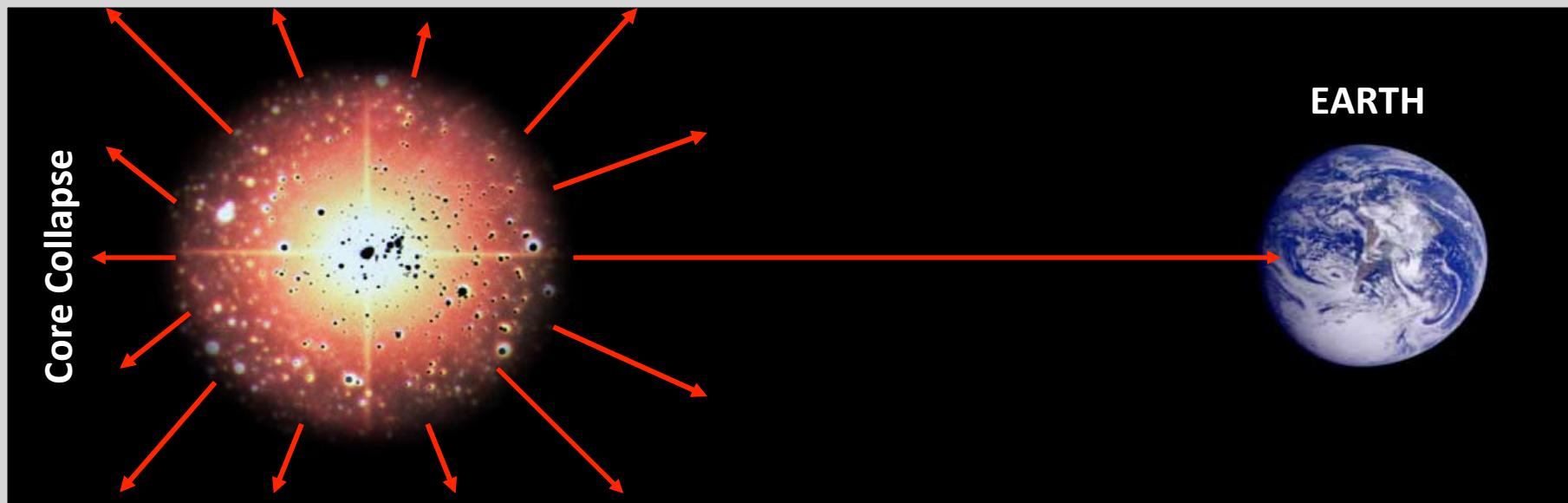


Supernova (SN) as Neutrino Source

SN Neutrino Oscillation: Initial Symmetries

Linear Stability Analysis: Symmetry Breaking

# TYPICAL PROBLEMS IN SUPERNOVA NEUTRINOS

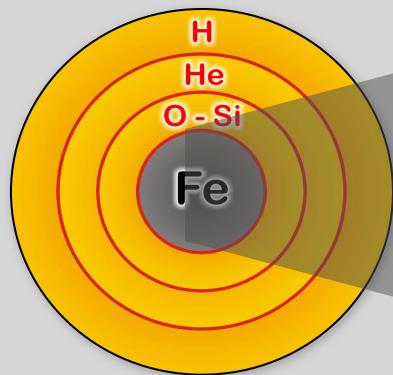


**Supernova (SN) as Neutrino Source**

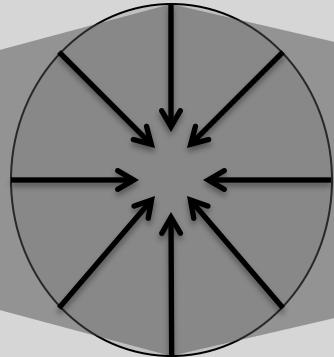
**SN Neutrino Oscillation: Initial Symmetries**

**Linear Stability Analysis: Symmetry Breaking**

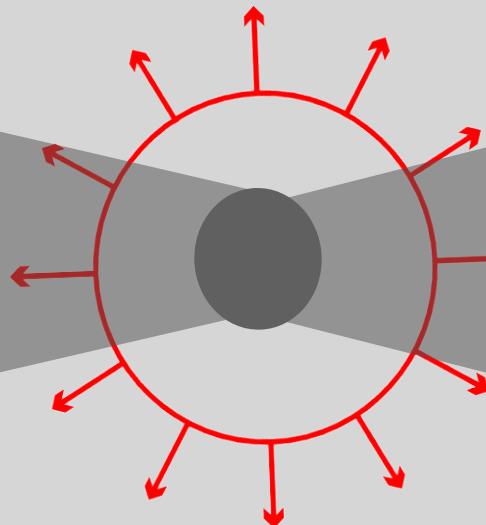
# STELLAR COLLAPSE AND CORE-COLLAPSE SUPERNOVA



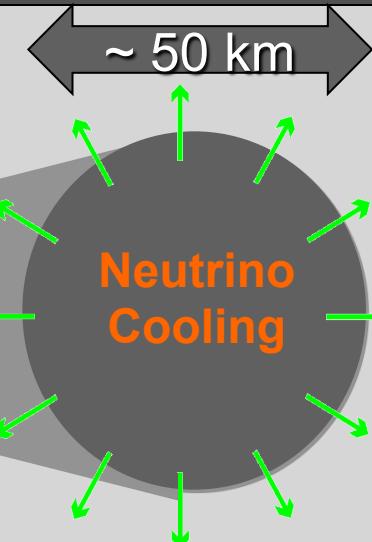
Onion structure  
at the end of  
stellar burning



Collapse (implosion)  
of the degenerate  
core



Bounce at  
nuclear density  
shock wave  
explosion



Neutrino Cooling  
Newborn Neutron  
Star

- **ENERGY SCALES:**  $\sim 10^{53}$  erg, 99% energy is emitted by Neutrinos, Energy 10 MeV
- **TIME SCALE:** The duration of the burst lasts  $\sim 10$  S.
- **DETECTION:** Large volume detectors will see huge rate of MeV neutrinos in seconds.

# NEUTRINO EMISSION PHASES

## Neutronization burst $\sim 50$ ms

- Shock breakout
- De-leptonization of outer core layer

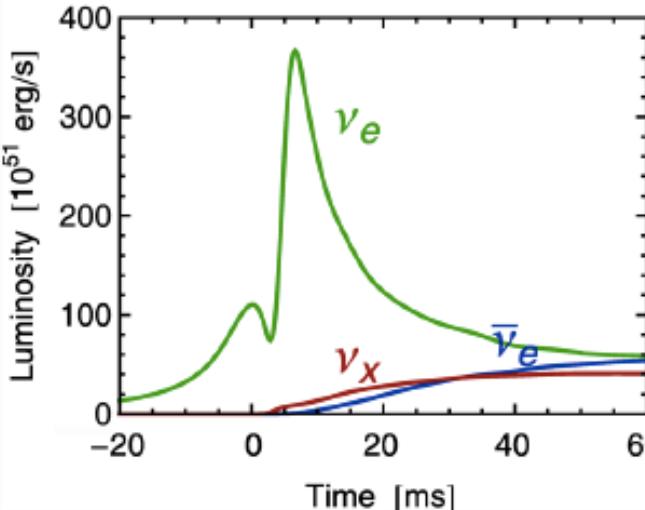
## Accretion: $\sim 0.5$ s

- powered by infalling matter
  - Stalled shock

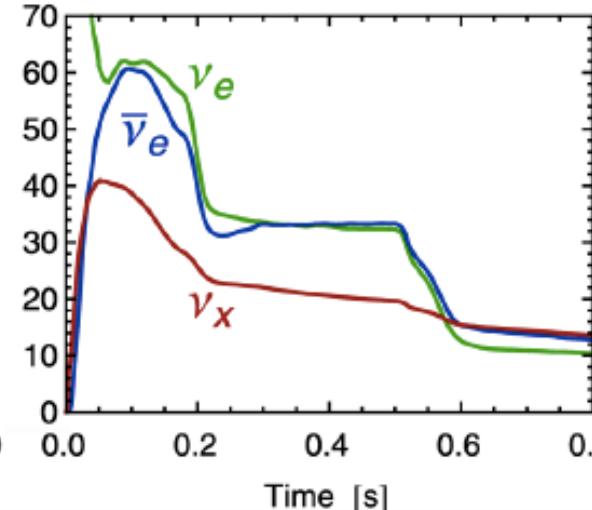
## Cooling $\sim 10$ s

- Cooling by  $\nu$  diffusion

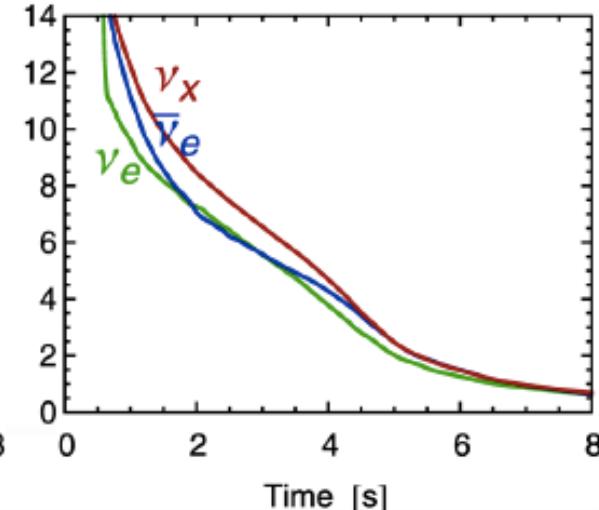
$\nu_e$  Burst



Accretion



Cooling

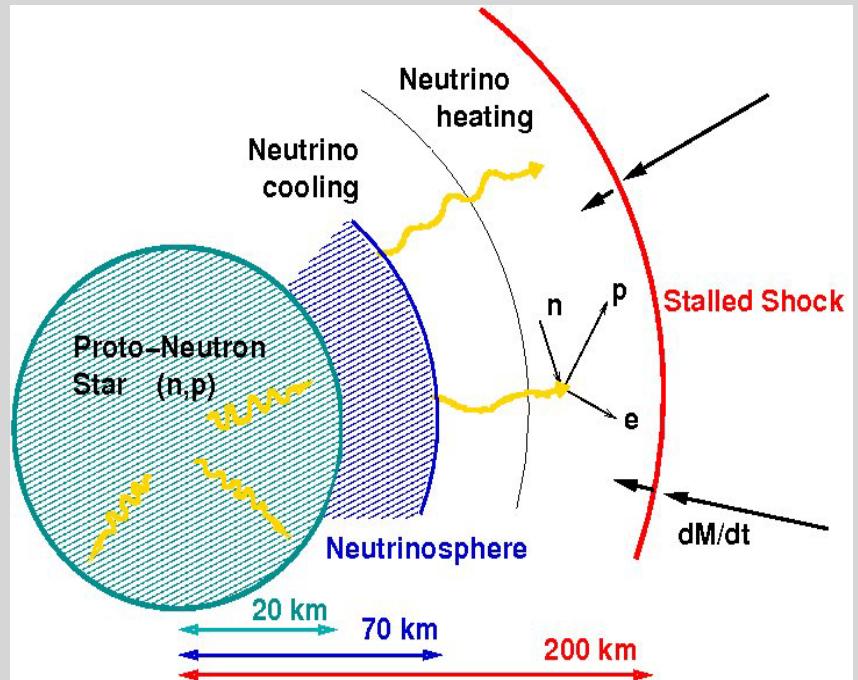


- $\nu_e$  Burst and Accretion: Best phase to study oscillation.
- Cooling: Oscillation effects are negligible.
- Accretion: How to rejuvenate the stalled shock?

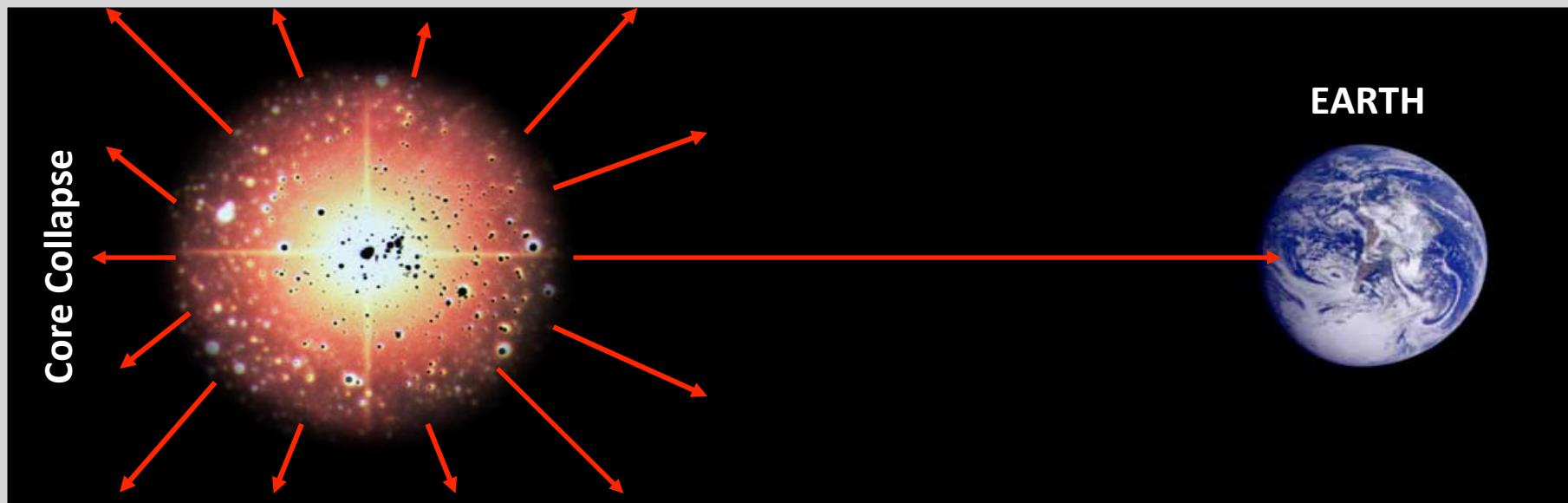
# STATUS OF SN EXPLOSION

- Neutrino-driven explosion (Wilson mechanism)
- 1D (spherical sym) Numerical explosions successful for small-mass progenitors
- 2D (axial sym) Numerical explosions okay for progenitors in wider mass range
- 3D simulations showing interesting features

## Delayed Mechanism



# TYPICAL PROBLEMS IN SUPERNOVA NEUTRINOS

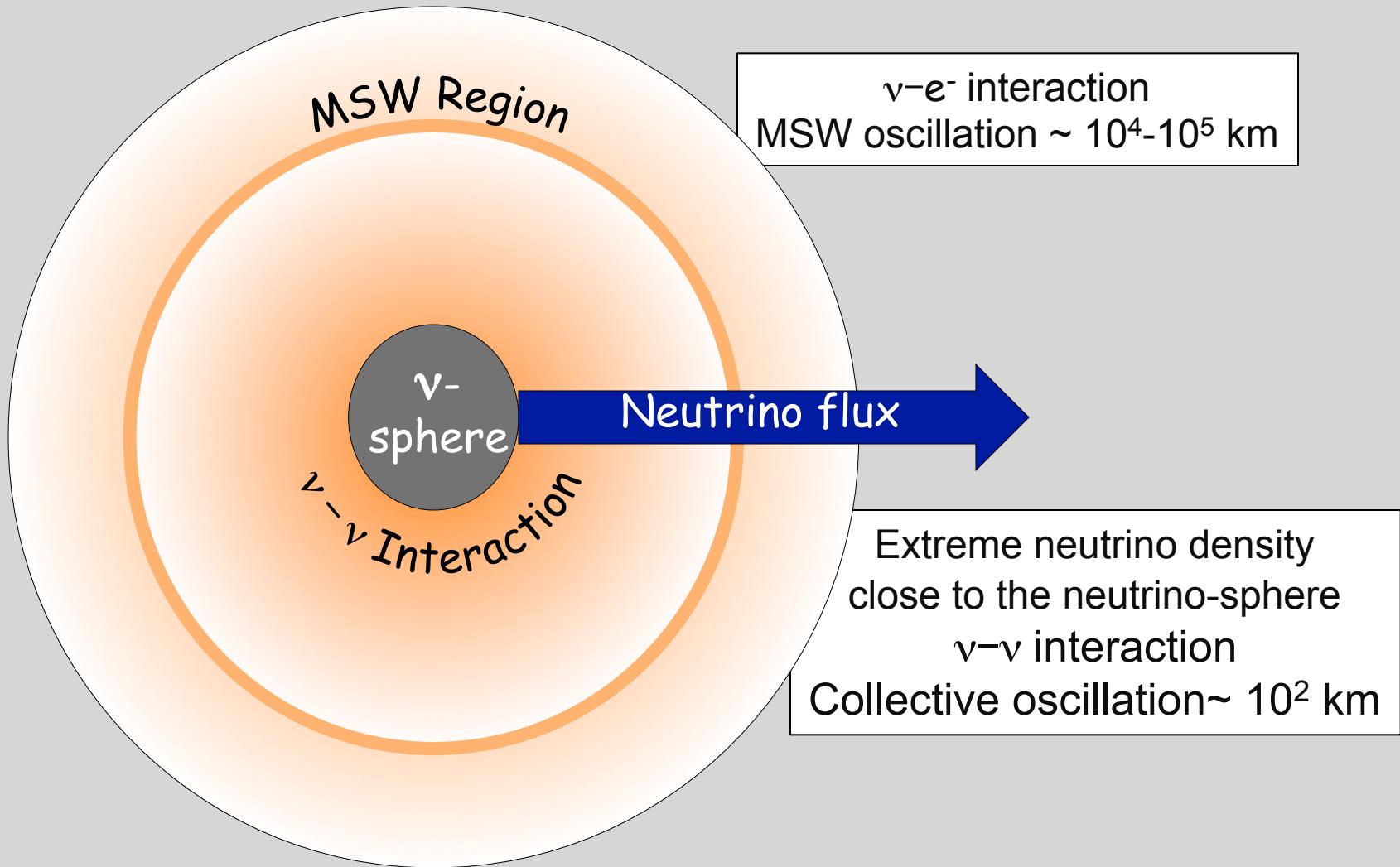


Supernova (SN) as Neutrino Source

SN Neutrino Oscillation: Initial Symmetries

Linear Stability Analysis: Symmetry Breaking

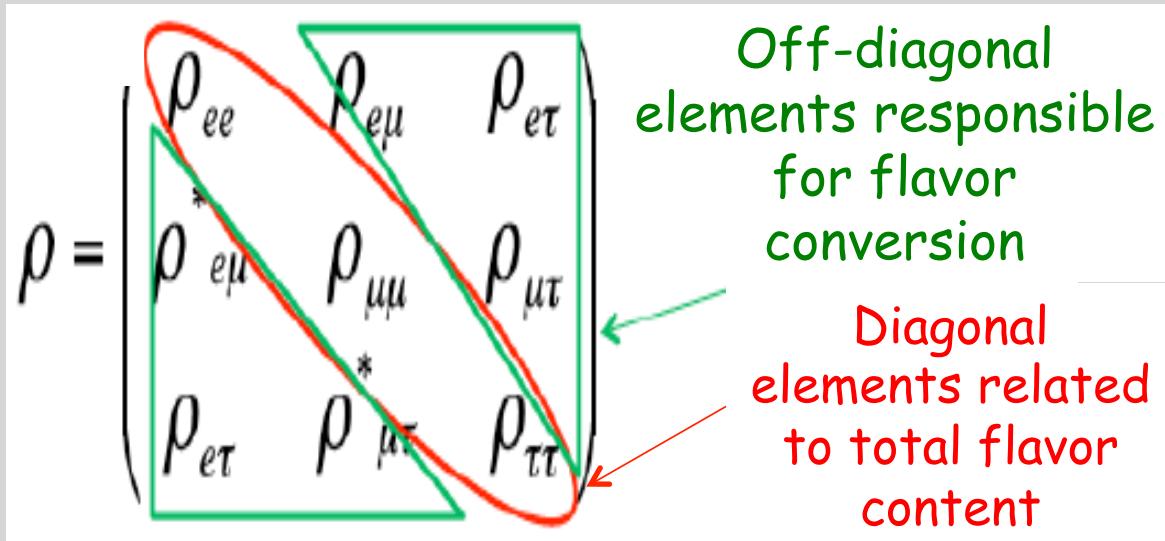
# SN $\nu$ FLAVOR TRANSITIONS: COLLECTIVE OSCILLATION



- **Flavor Oscillation:** In far separated regions, can be treated independently

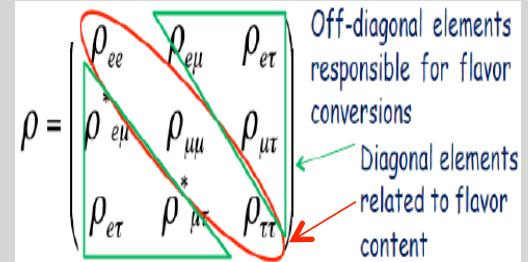
# NEUTRINO TRANSPORT & FLAVOR OSCILLATIONS: 7D (1+3+3) PROBLEM

$$i(\partial_t + \mathbf{v} \cdot \nabla_{\mathbf{r}})\varrho = [\mathsf{H}, \varrho], \quad \varrho = \varrho(t, \mathbf{r}, \mathbf{p})$$



# NEUTRINO TRANSPORT & FLAVOR OSCILLATIONS: 7D PROBLEM

$$i(\partial_t + \mathbf{v} \cdot \nabla_{\mathbf{r}}) \varrho = [\mathsf{H}, \varrho], \quad \varrho = \varrho(t, \mathbf{r}, E, \mathbf{v}),$$



$$\mathsf{H} = \frac{\mathbf{M}^2}{2E} + \sqrt{2}G_F \left[ \mathsf{N}_\ell + \int d\Gamma' \frac{(\mathbf{v} - \mathbf{v}')^2}{2} \varrho_{t, \mathbf{r}, E', \mathbf{v}'} \right]$$

Kinematical  
mass-mixing term

Dynamical  
MSW term (in matter)  
 $r^{-3}$  dependence, at around  $10^4$  km

Neutrino-neutrino  
interactions term (non-linear)  
 $r^{-2} \times r^{-2} \sim r^{-4}$  dependence,  $10^2$  km

- **Flavor Evolution:** Non-Linear, coupled system
- **Coupling:** Between neutrino-antineutrino, different energy & angular modes
- **Numerical Solution:** Intensive, even with assumptions on the system

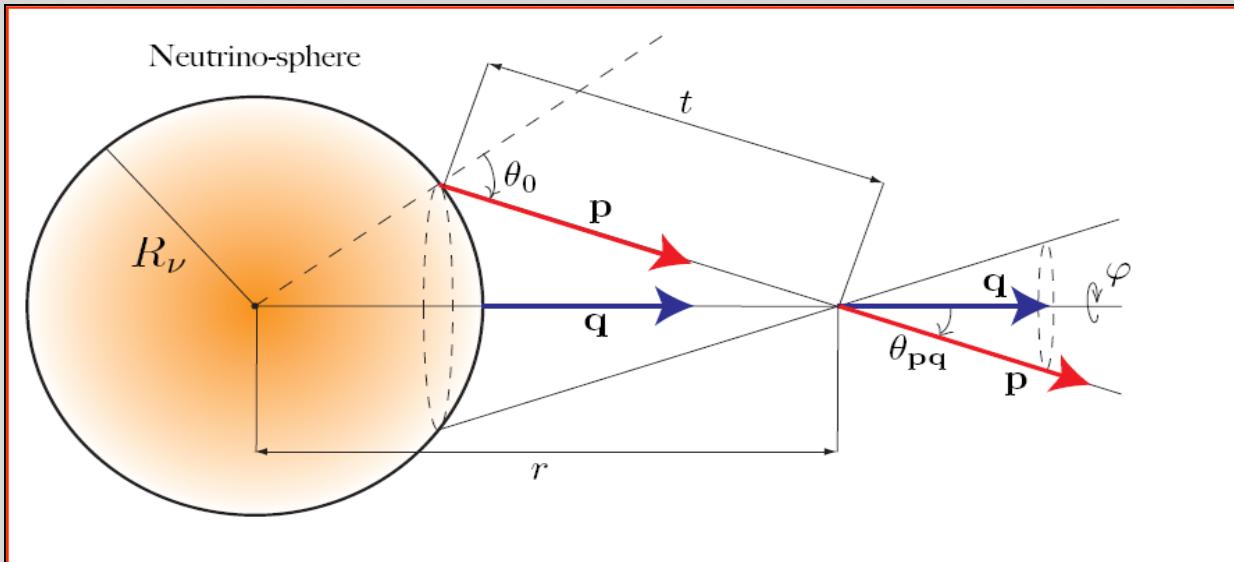
# MULTI ANGLE PROBLEM (0+1+2):

Stationary, spherically symmetric, evolving with radius

$$v_r \partial_r \rho(r, E, \theta) = -i [H(r, E, \theta), \rho(r, E, \theta)]$$

' $\theta$ ' Zenith angle of nu momentum  $\vec{p}(E)$ ,  
azimuthal symmetry in momentum: no  $\phi$

' $v_r$ ' Radial velocity depends on  $\theta$ , leads to multi-angle matter effect



## SINGLE ANGLE APPROXIMATION: (0+1+1) spherical symm in both space and velocity

Stationary, spherically symmetric, evolving with radius

$$\dot{\rho}(r, E) = -i [H(r, E), \rho(r, E)]$$

# SINGLE ANGLE APPROXIMATION: (0+1+1) spherical symm in both space and velocity

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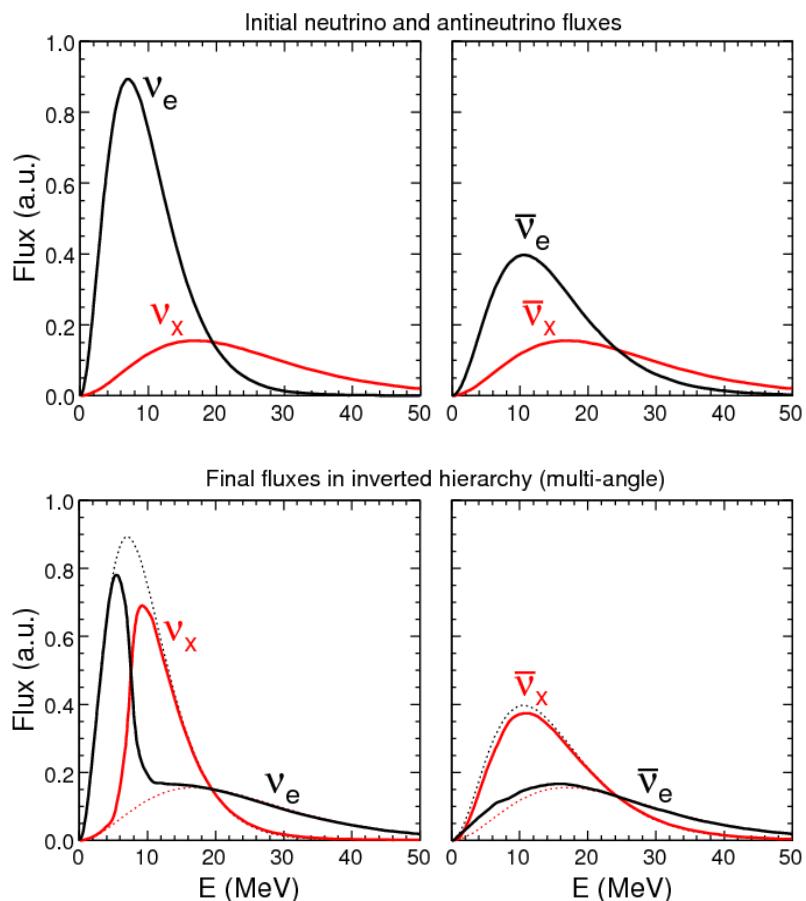
$$\dot{\rho}(r, E) = -i [H(r, E), \rho(r, E)]$$

Initial fluxes at  
neutrinosphere ( $r \sim 10$  km)

Spectral  
Splits

IH

Fluxes at the end of  
collective effects ( $r \sim 200$  km)



# MULTI ANGLE PROBLEM (0+1+2):

# Matter Multi-angle Effect

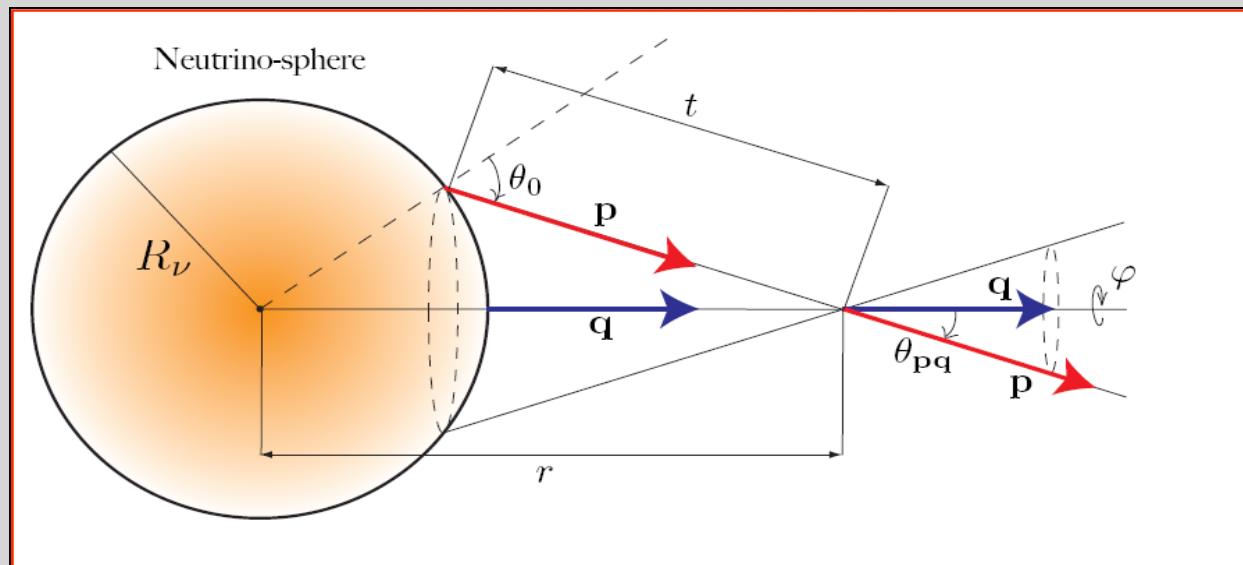
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' $v_r$ ' Radial velocity depends on  $\theta$ , leads to multi-angle matter effect

Ignore matter: Matter induced resonance happens far away from collective, however.....



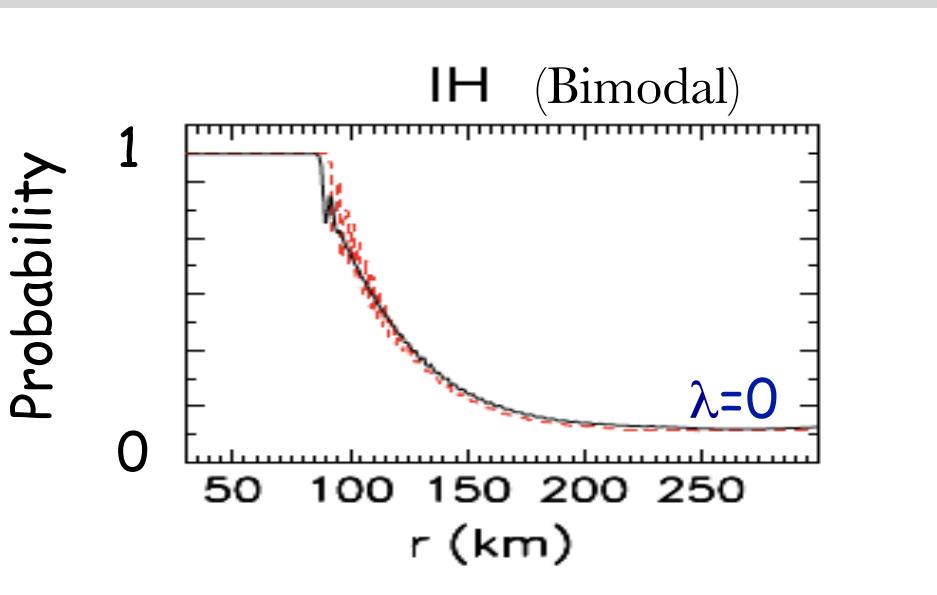
# MATTER MULTI ANGLE SOLUTION (0+1+2):

Stationary, spherically symmetric, evolving with radius

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$$\lambda \propto N_e$$

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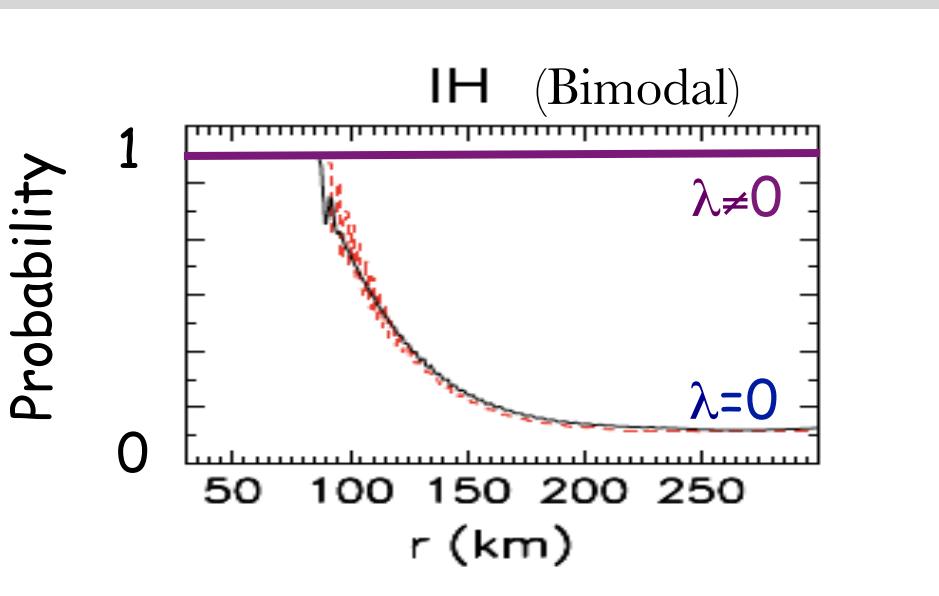
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## Matter Multi-angle Effect



$$\lambda \propto N_e$$

Early accretion phase: No Collective Oscillations

# MATTER MULTI ANGLE SOLUTION (0+1+3):

Stationary, spherically symmetric, evolving with radius

$$v_r \partial_r \rho(r, E, \theta) = -i [H(r, E, \theta), \rho(r, E, \theta)]$$

$\theta$ : Zenith angle of nu momentum  $\vec{p}$

$v_r$ : Radial velocity depends on  $\theta$ , leads to multi-angle matter effect

Axial symmetry in velocity /  
momentum distribution

What if this symmetry is broken?  
Multi Azimuthal Angle (MAA),  $\phi$

Flavor conversion in NH,  
MAA instability

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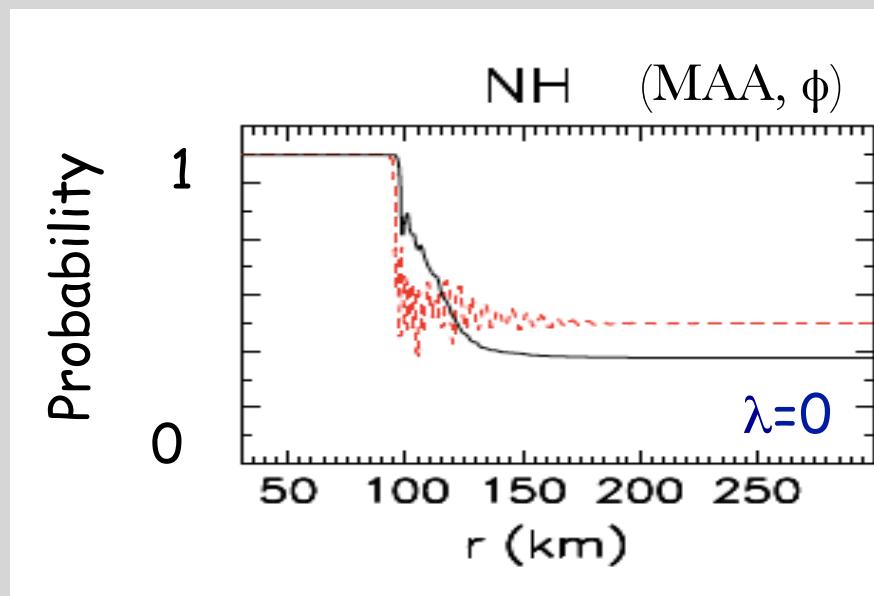
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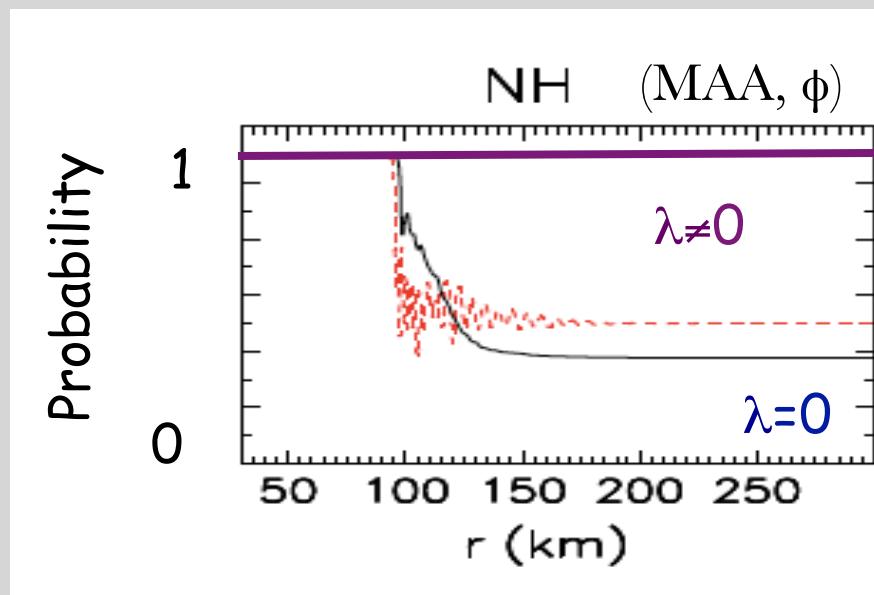
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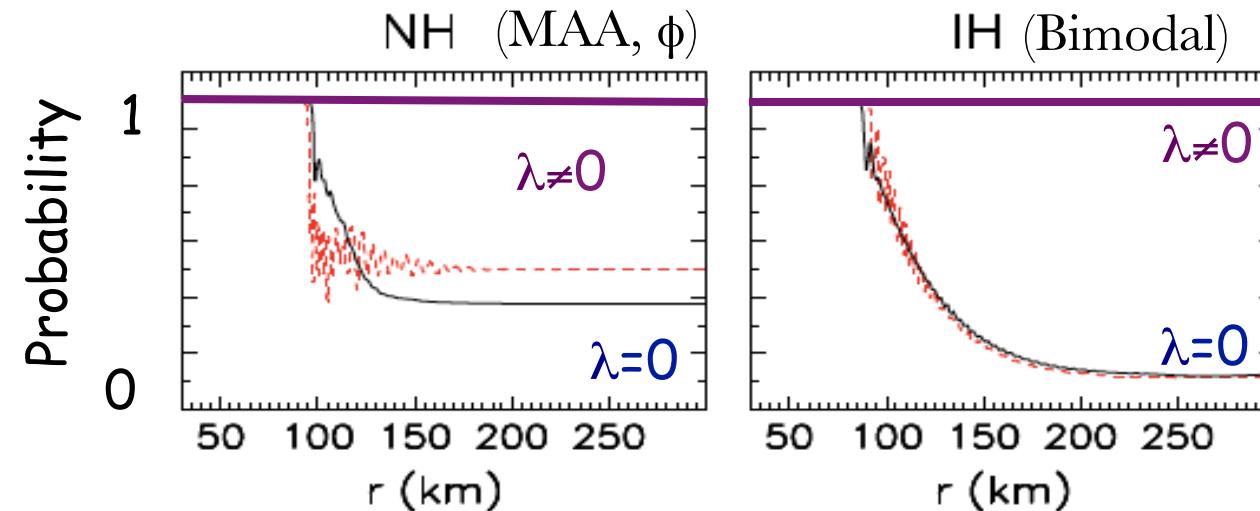
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Early accretion phase: No Collective Oscillations

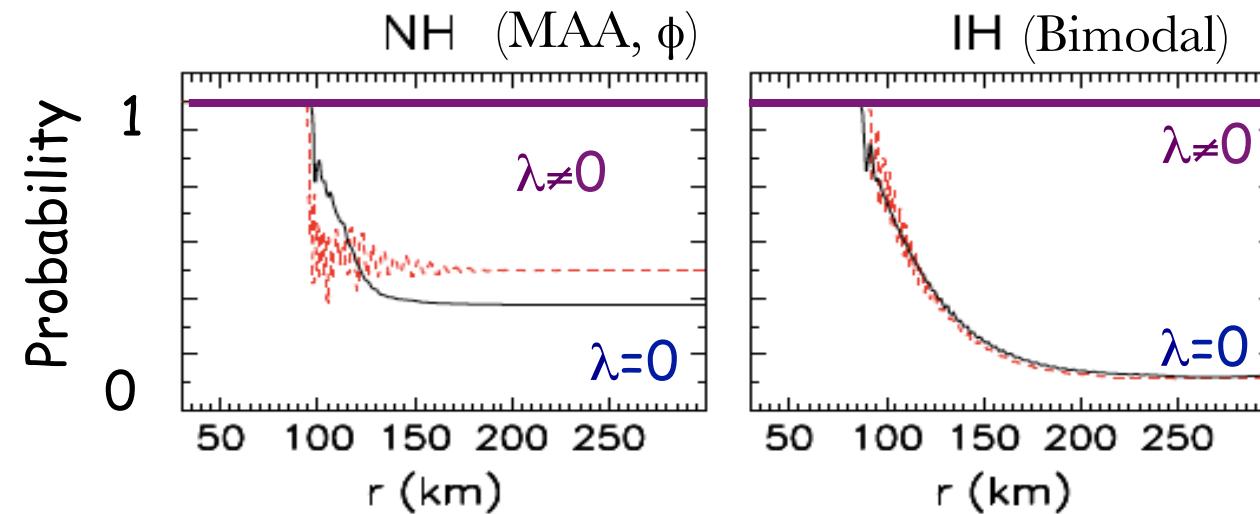
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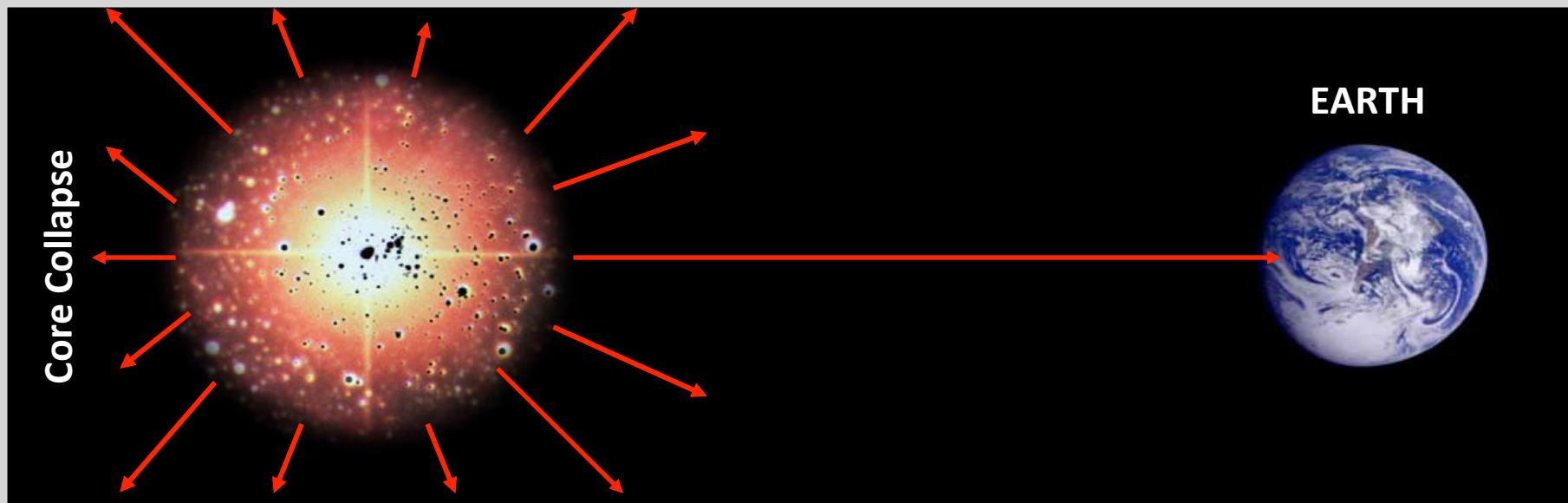
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Early accretion phase: No Collective Oscillations

Ordinary differential equations with Maximal symmetries can MISS the dominant solutions

# TYPICAL PROBLEMS IN SUPERNOVA NEUTRINOS



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SN Neutrino Oscillation: Initial Symmetries

Linear Stability Analysis: Symmetry Breaking

# LINEARIZED STABILITY ANALYSIS

Neutrino transport and flavor oscillations with  $\omega = \Delta m^2 / 2E$

$$v_r \partial_r \rho(r, E, \theta) = -i [H(r, E, \theta), \rho(r, E, \theta)]$$

$$\rho(r, \omega, u) = g_{\omega, u} \begin{pmatrix} S & S \\ S^* & -S \end{pmatrix} \quad \leftarrow |S| \ll 1$$

Linearized equation of motion

$$u = \sin^2(\theta)$$

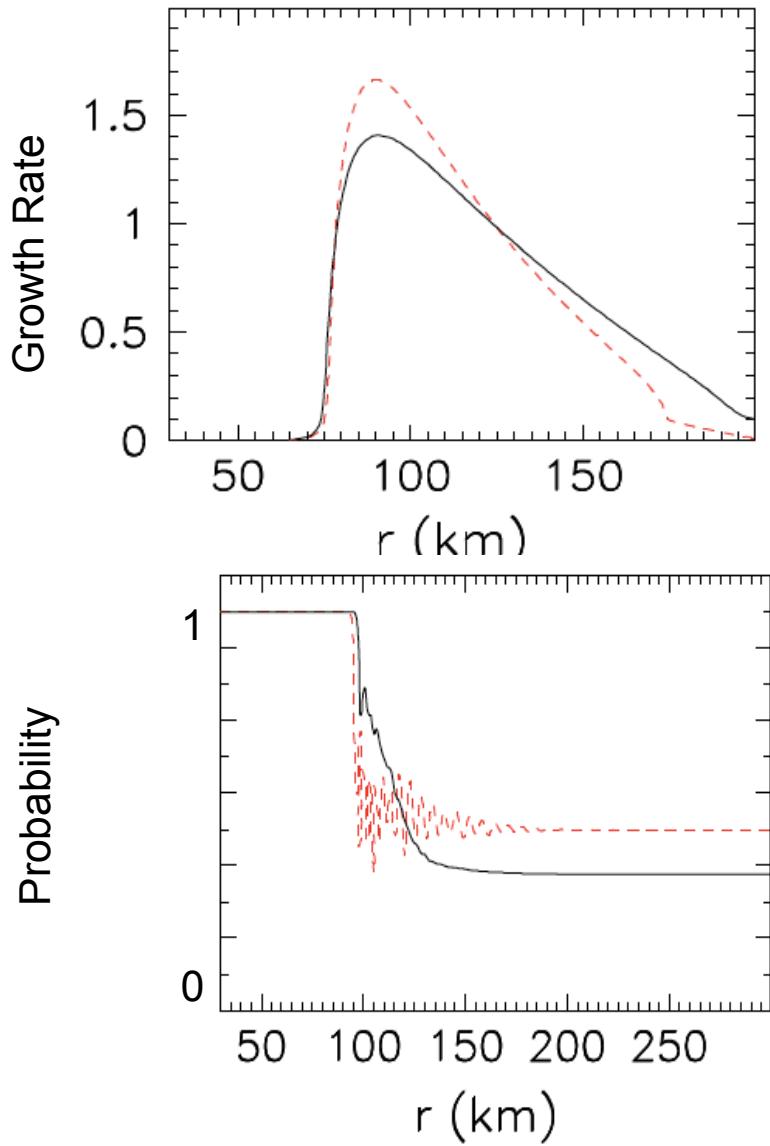
Banerjee, Dighe & Raffelt PRD, 2011

$$\text{eigenmodes } S_{r, \omega, u} = Q_{\omega, u} e^{-i\Omega r}$$

$$\left[ \omega + u \left( \lambda + \int d\omega' du' g_{\omega', u'} \right) - \Omega \right] Q_{\omega, u} = \mu \int d\omega' du' (u + u') g_{\omega', u'} Q_{\omega', u'}$$

$$\Omega = \gamma + i\kappa \quad \text{solve for exponential growth rate } \kappa$$

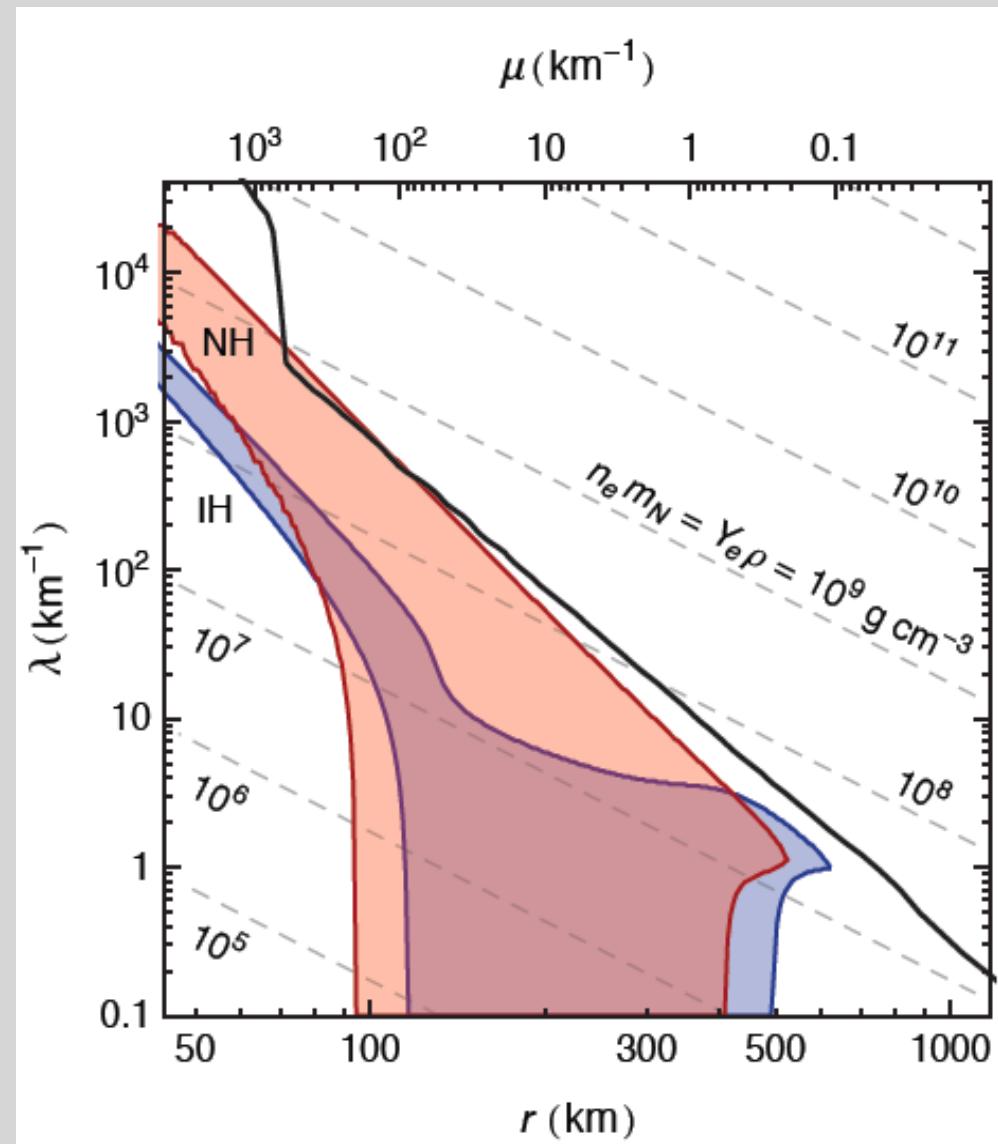
# LINEARIZED STABILITY ANALYSIS (0+1+3)



Onset of the conversion:  
Peak of the growth rate curve

S.C & Mirizzi, PRD, 2014

# FOOT PRINT PLOT (0+1+3)



Contours of instability parameter  
'k' in the  $(\lambda, \mu)$  plane.  
&  
SN density profile at 280 ms

Axial-symmetry breaking (MAA)  
instability (normal ordering NH)

“bimodal” instability  
(inverted mass ordering IH)

# SPATIAL SYMMETRY BREAKING (0+3+3)

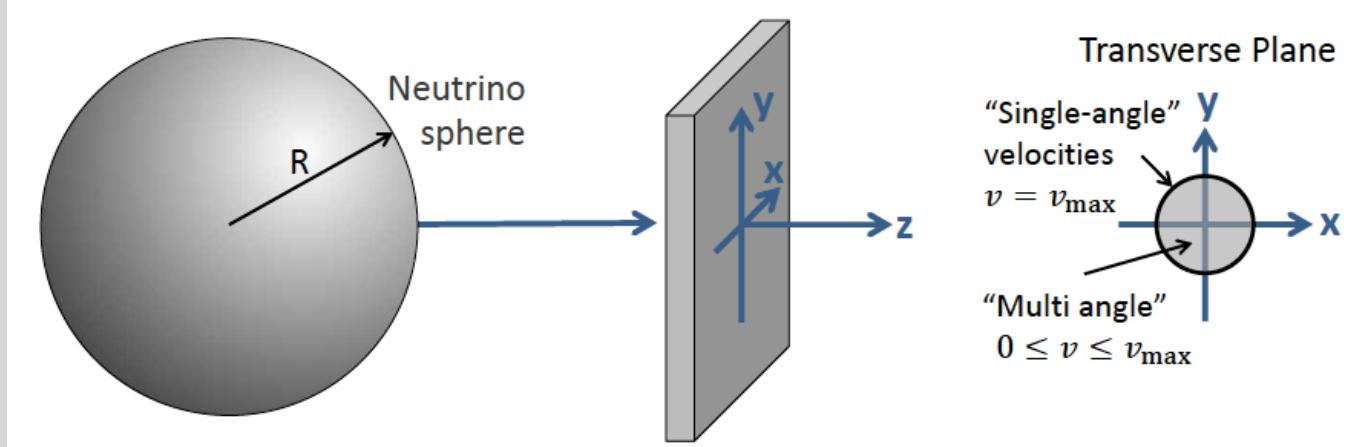
Spatial symmetry breaking: Spatial Inhomogeneity

Colliding beam: stability analysis

Duan & Shalgar, PLB 2015

see also

Mirizzi, Mangano & Saviano, PRD 2015



Neutrino transport and flavor oscillations with  $\omega = \Delta m^2 / 2E$

$$i(\partial_z + \mathbf{v} \cdot \nabla_{\mathbf{x}})\varrho(z, \mathbf{x}, \omega, \mathbf{v}) = [\mathcal{H}(z, \mathbf{x}, \omega, \mathbf{v}), \varrho(z, \mathbf{x}, \omega, \mathbf{v})]$$

# SPATIAL SYMMETRY BREAKING(0+3+3)

$$\rho(z, x, \omega, v) = g(\omega, \vec{v}) \begin{pmatrix} S & S \\ S^* & -S \end{pmatrix}_{(z, x, \omega, v)} \quad \leftarrow |S| \ll 1$$

Linearized equation of motion

$$i(\partial_z + \vec{v} \cdot \vec{\nabla}_x) S_{z,x,\omega,v} = \left[ \omega + \frac{\lambda + \epsilon\mu}{2} v^2 \right] S_{z,x,\omega,v} - \mu \int d\Gamma' g_{\omega', \vec{v}'} \frac{(\vec{v} - \vec{v}')^2}{2} S_{z,x,\omega',v'}$$

Spatial Fourier transform  $\vec{v} \cdot \vec{\nabla}_x \rightarrow i\vec{k} \cdot \vec{v}$

eigenmodes  $S_{z,k,\omega,v} = Q_{\Omega,k,\omega,v} e^{-i\Omega z}$

$$\left[ \frac{\lambda + \epsilon\mu}{2} v^2 + \vec{k} \cdot \vec{v} + \omega - \Omega \right] Q_{\Omega, \vec{k}, \omega, \vec{v}} = \mu \int d\Gamma' g_{\omega', \vec{v}'} \frac{(\vec{v} - \vec{v}')^2}{2} Q_{\Omega, \vec{k}, \omega', \vec{v}'}$$

# LINEARIZED STABILITY ANALYSIS: INHOMOGENEITY IN TRANSVERSE PLANE

$$\left[ \frac{\lambda + \epsilon\mu}{2} v^2 + \vec{k} \cdot \vec{v} + \omega - \Omega \right] Q_{\Omega, \vec{k}, \omega, \vec{v}} = \mu \int d\Gamma' g_{\omega', \vec{v}'} \frac{(\vec{v} - \vec{v}')^2}{2} Q_{\Omega, \vec{k}, \omega', \vec{v}'}$$

nu-nu interaction energy

$$\sqrt{2}G_F n_\nu R^2 / r^2$$

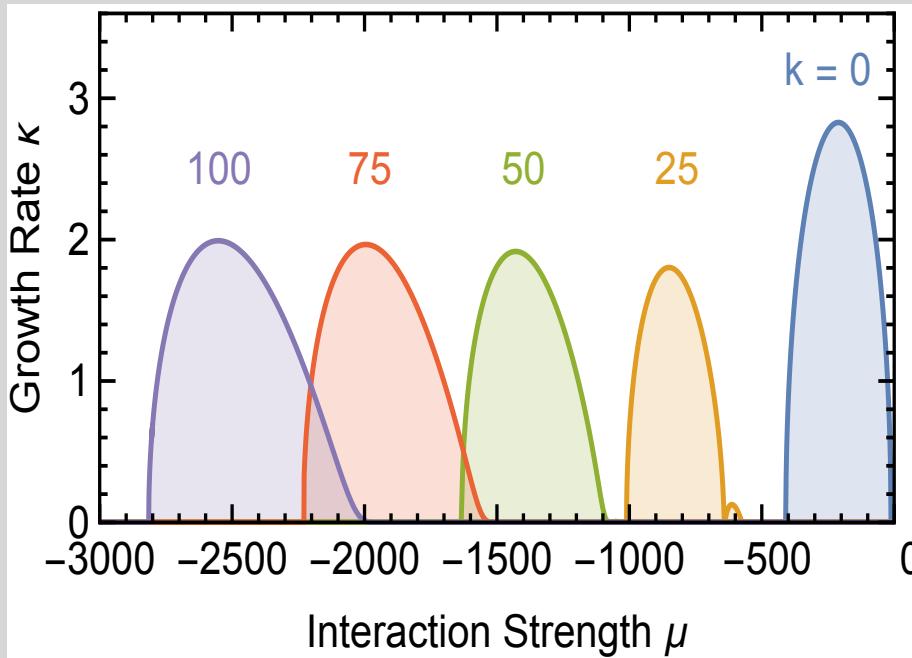
Matter effect

$$\sqrt{2}G_F n_e R^2 / r^2$$

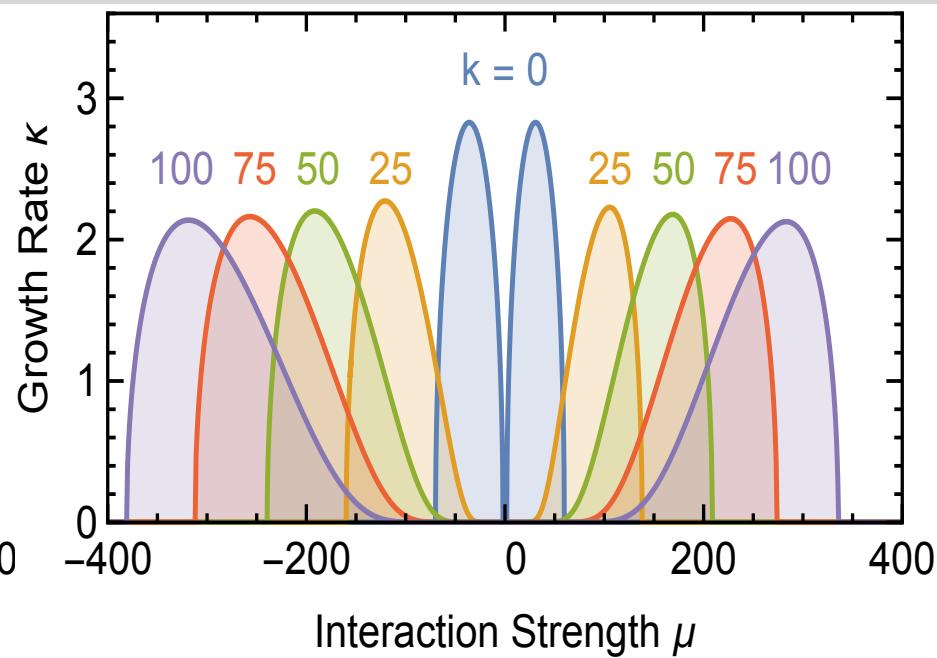
$\mu$  &  $\lambda$  defines the parameter space  
Relative sign of  $\mu$ ,  $\lambda$  and  $\omega$  defines the mass ordering

# SPATIAL SYMMETRY BREAKING

$$\left[ \frac{\lambda + \epsilon\mu}{2} v^2 + \vec{k} \cdot \vec{v} + \omega - \Omega \right] Q_{\Omega, \vec{k}, \omega, \vec{v}} = \mu \int d\Gamma' g_{\omega', \vec{v}'} \frac{(\vec{v} - \vec{v}')^2}{2} Q_{\Omega, \vec{k}, \omega', \vec{v}'}$$



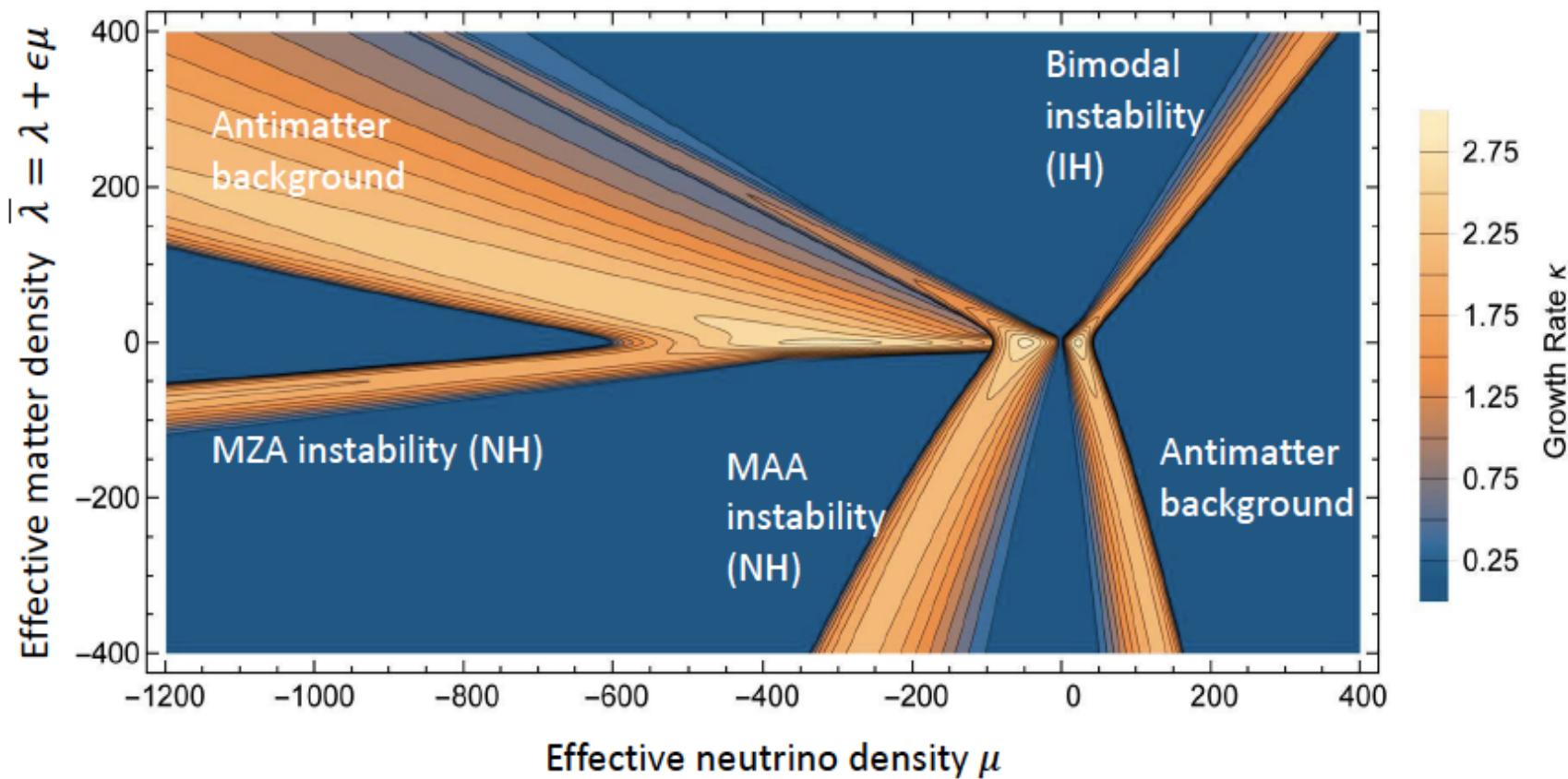
MZA



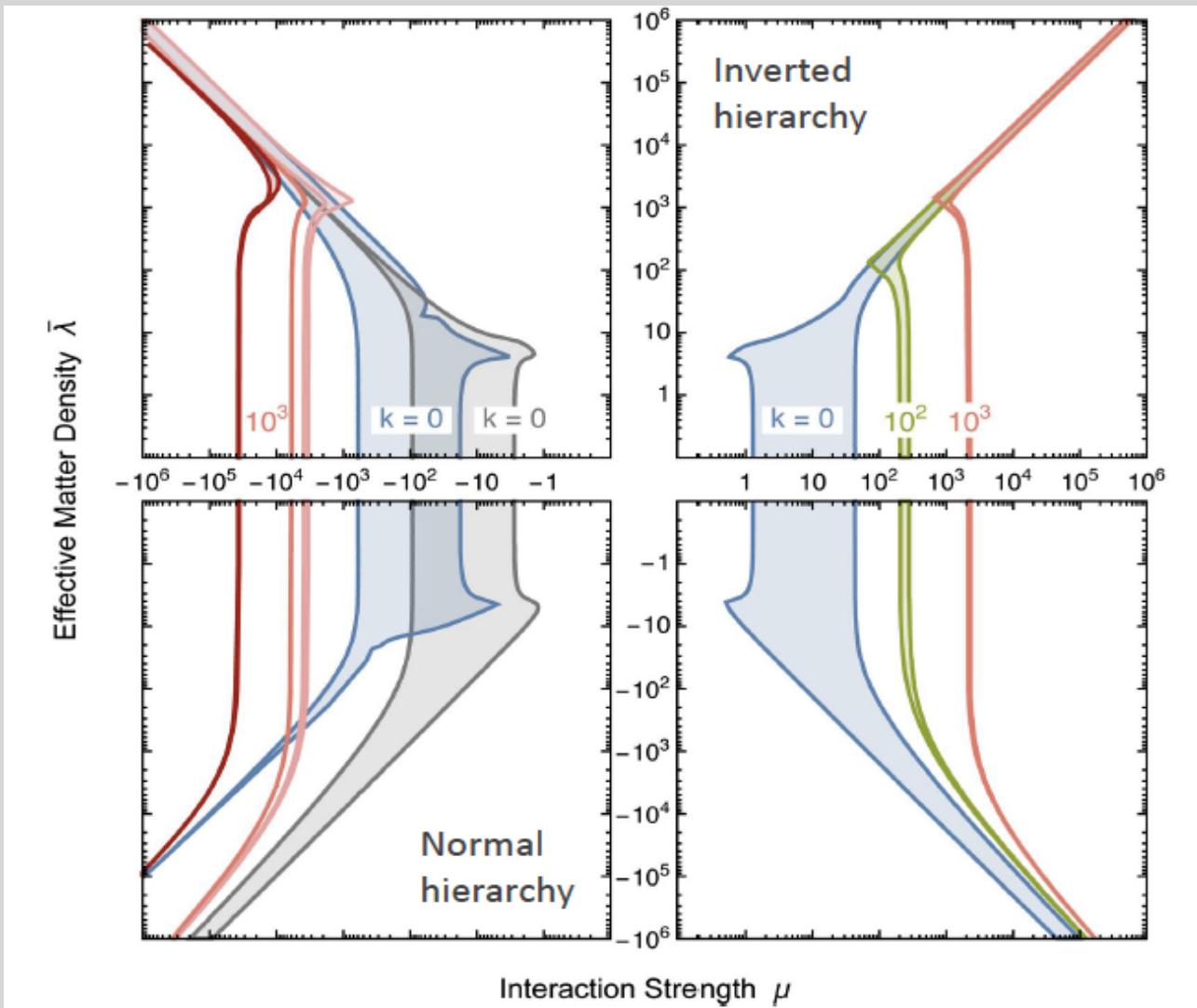
MAA ( $\mu < 0$ ), Bimodal ( $\mu > 0$ )

# SPATIAL SYMMETRY BREAKING: BUTTERFLY DIAGRAM

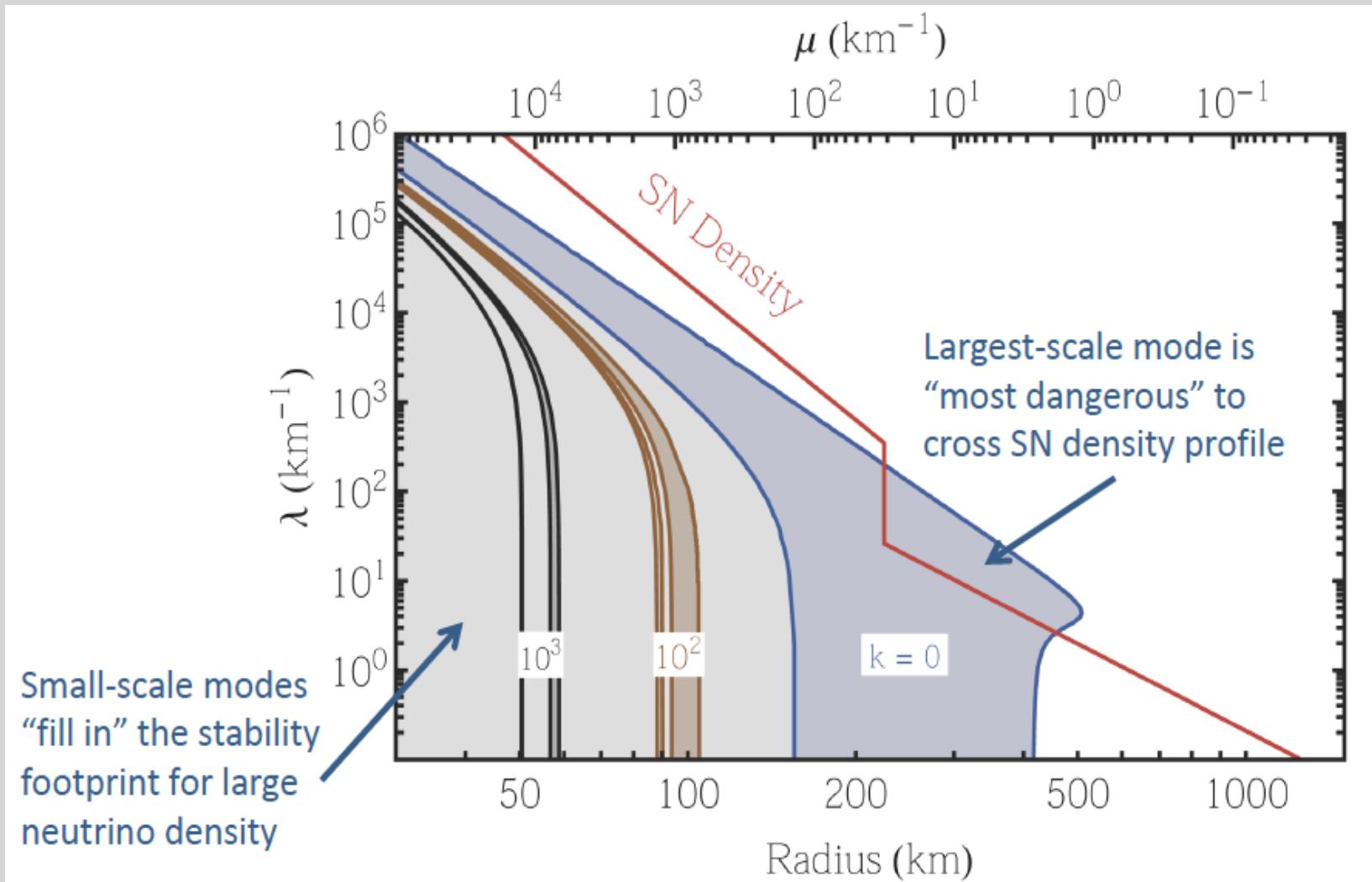
Growth rate in 2D parameter space of effective matter and neutrino density (“Butterfly diagram”)



# LINEARIZED STABILITY ANALYSIS: INHOMOGENEITY IN TRANSVERSE PLANE



# LINEARIZED STABILITY ANALYSIS: INHOMOGENEITY IN TRANSVERSE PLANE (MAA, NO)



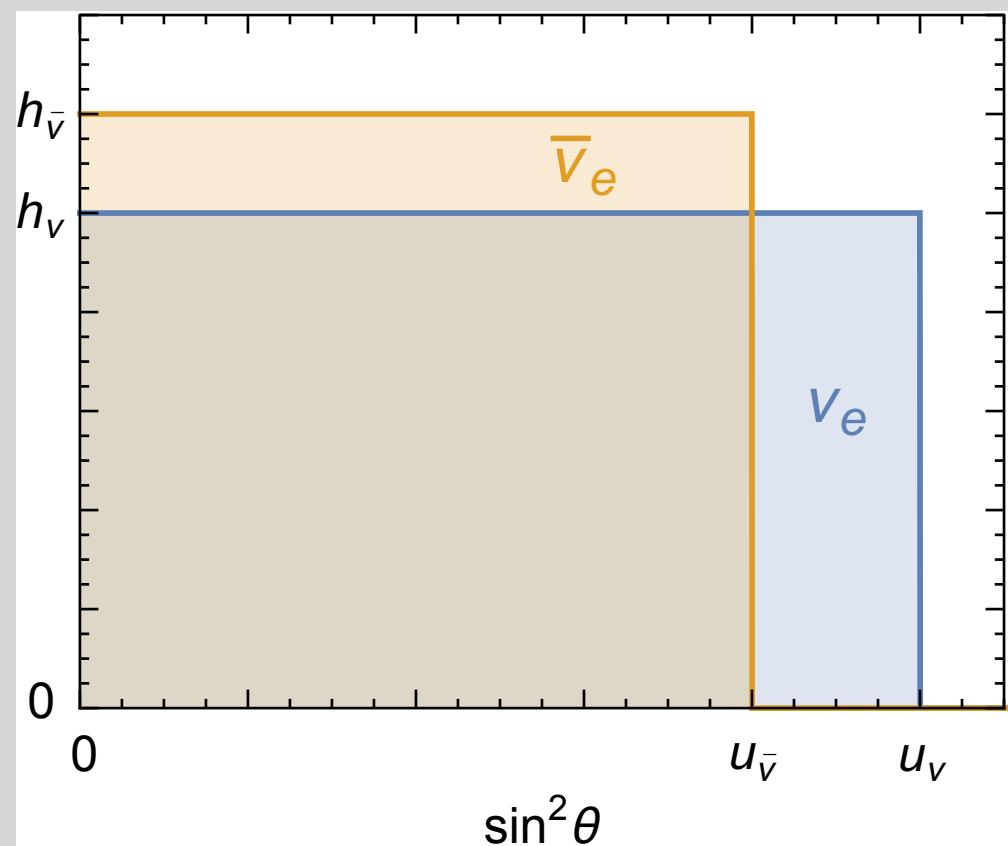
# FAST INSTABILITY: ORDER $\mu$ GROWTH

- Unstable modes grow with rates of order  $\mu$  instead of  $\omega$  ( $\mu \ll \omega$ )
- This requires different angle distribution for different flavors.
- Thus the difference spectrum  $g_{\omega,v}$  is flavor dependent

R. F. Sawyer, PRD 2005, PRL 2016

$$\left[ \frac{\lambda + \epsilon \mu}{2} v^2 + \vec{k} \cdot \vec{v} + \omega - \Omega \right] Q_{\Omega, \vec{k}, \omega, \vec{v}} = \mu \int d\Gamma' g_{\omega', \vec{v}'} \frac{(\vec{v} - \vec{v}')^2}{2} Q_{\Omega, \vec{k}, \omega', \vec{v}'}$$

# FAST INSTABILITY



**SN:  $a > 0, b > 0$**

$$h_{\nu_e}(u) = \int_0^\infty d\omega g(\omega, u)$$

$$h(u) = \frac{1 \pm a}{1 \pm b} \times \begin{cases} 1 & \text{for } 0 \leq u \leq 1 \pm b, \\ 0 & \text{otherwise,} \end{cases}$$

Uniform but different distribution  
For neutrinos and antineutrinos,  
width parameter (b)  
 $-1 < b < +1$

The distribution also connected  
to the lepton asymmetry of the system,  
asymmetry parameter (a)

$$-1 < a < +1$$

# FAST INSTABILITY (0+1+3)

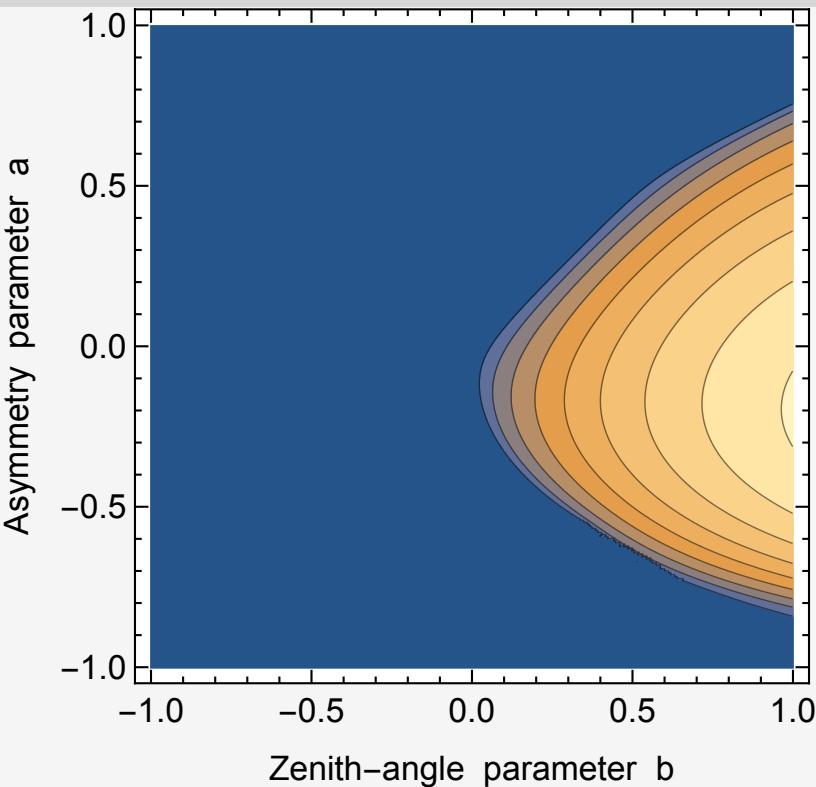
$$\left[ \frac{\lambda + \epsilon\mu}{2} v^2 + \vec{k} \cdot \vec{v} + \omega - \Omega \right] Q_{\Omega, \vec{k}, \omega, \vec{v}} = \mu \int d\Gamma' g_{\omega', \vec{v}'} \frac{(\vec{v} - \vec{v}')^2}{2} Q_{\Omega, \vec{k}, \omega', \vec{v}'}$$

- $k = 0, \omega = 0$
- Calculate growth rate (Imaginary part of  $\Omega$ ) in units of  $\mu$
- For both axially symmetric and broken cases

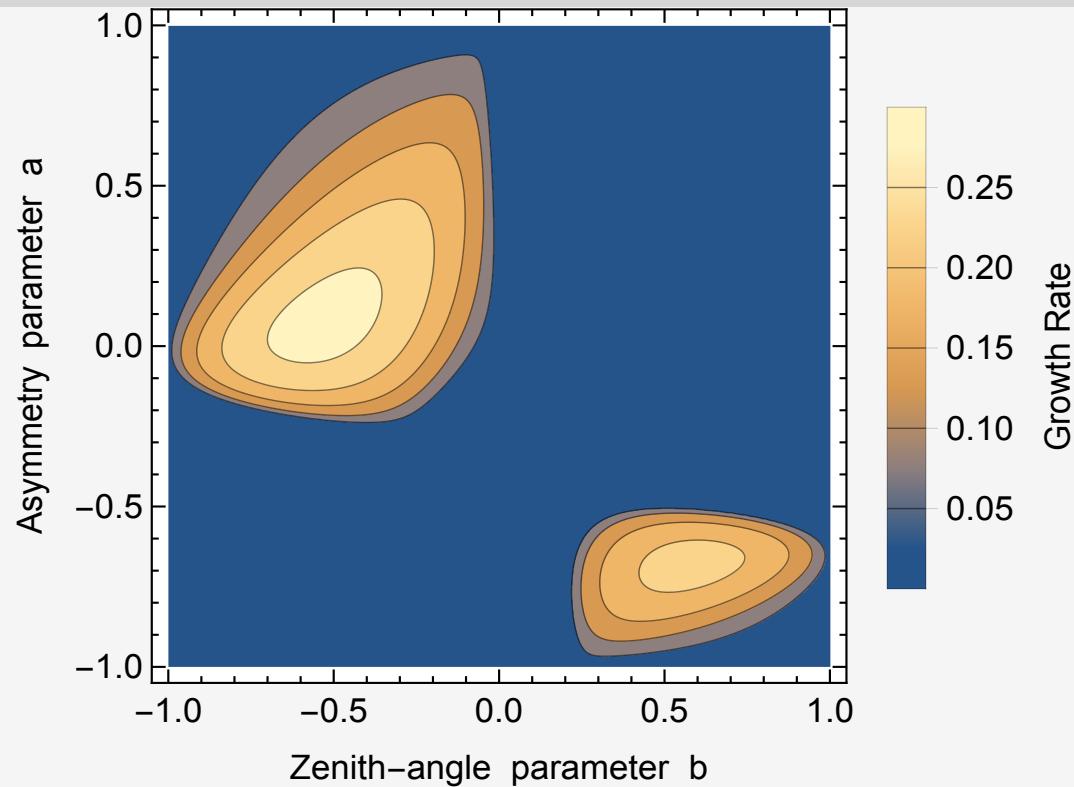
# FAST INSTABILITY (0+1+3)

**SN:  $a > 0, b > 0$**

Axially symmetric solution  
**(0+1+2)**



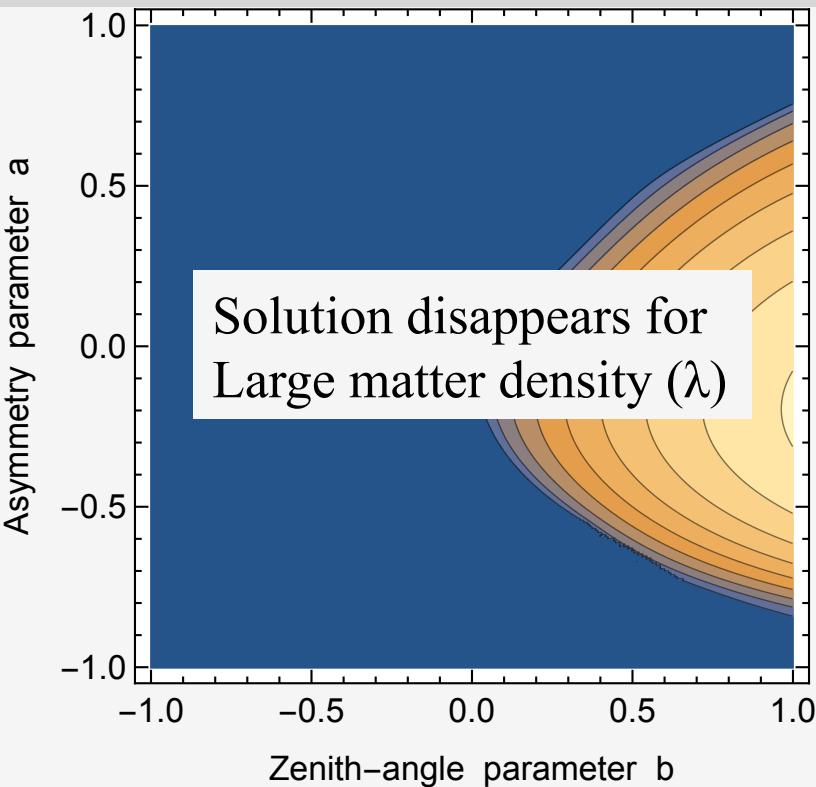
Axial symmetry broken  
**(0+1+3)**



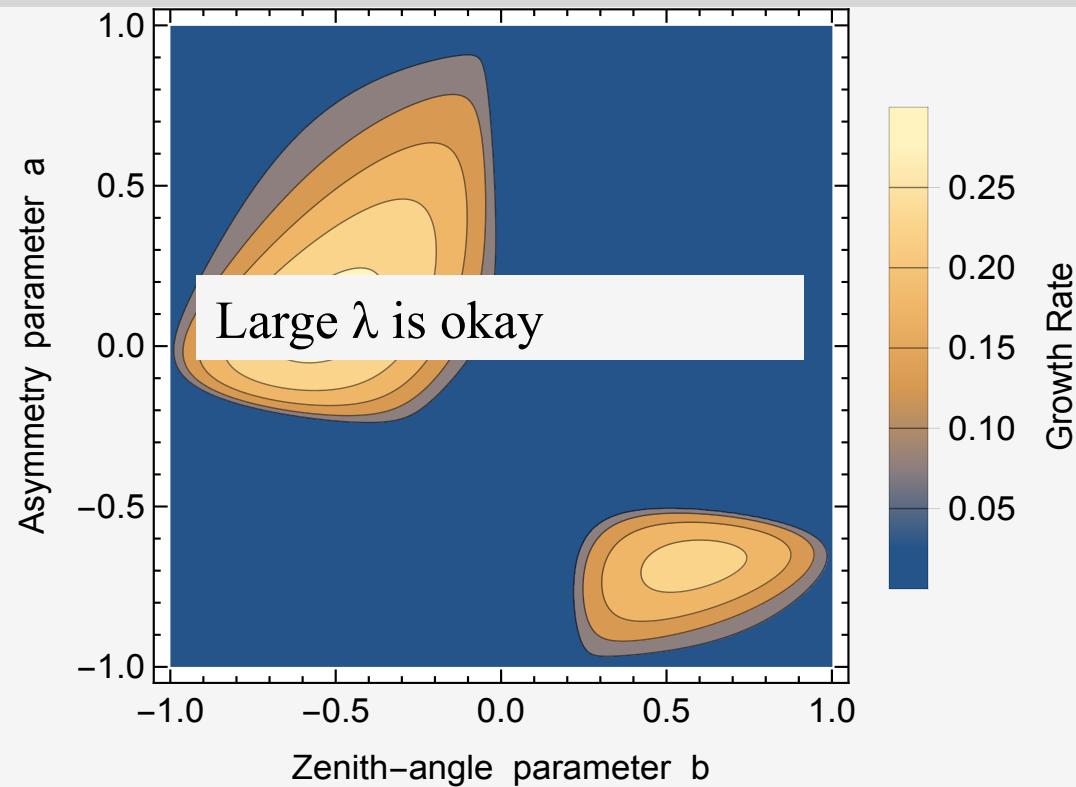
# FAST INSTABILITY (0+1+3)

**SN:  $a > 0, b > 0$**

Axially symmetric solution  
**(0+1+2)**



Axial symmetry broken  
**(0+1+3)**



# BREAKING OF STATIONARITY (1+3+3)

$$i(\partial_t + \mathbf{v} \cdot \nabla_{\mathbf{r}}) \varrho = [\mathsf{H}, \varrho], \quad \varrho = \varrho(t, \mathbf{r}, E, \mathbf{v}),$$

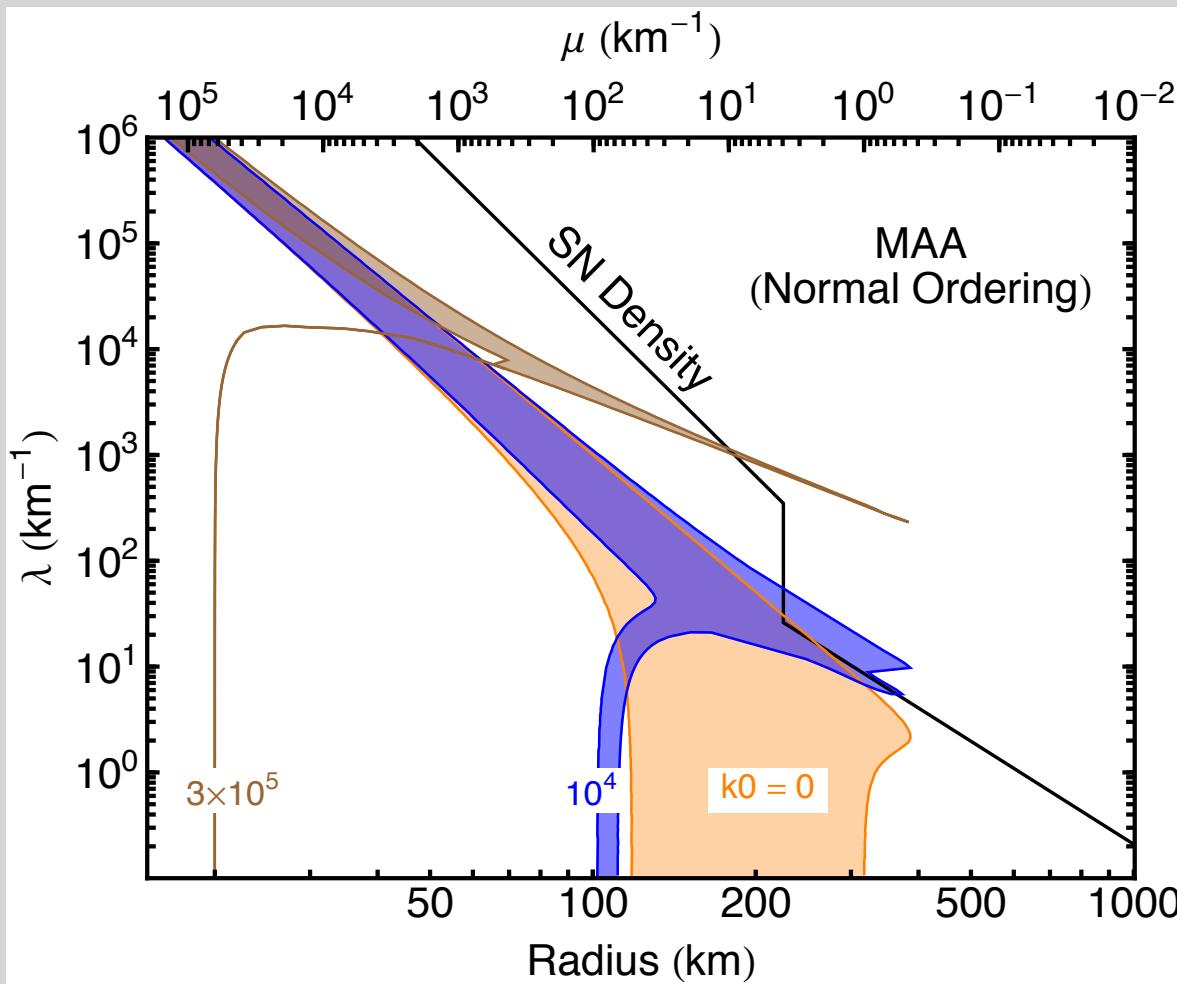
Abbar & Duan, PLB 2015  
Dasgupta & Mirizzi, PRD 2015

$$\left( \boxed{\bar{\lambda}_r \mathbf{v}^2 + k_0 \frac{R^2}{2r^2} \mathbf{v}^2} + \mathbf{k} \cdot \mathbf{v} + \omega - \Omega_r \right) Q_{\Omega, k_0, \mathbf{k}, \omega, v} = \\ \mu_r \int_{-\infty}^{+\infty} d\omega' \int d\mathbf{v}' (\mathbf{v} - \mathbf{v}')^2 Q_{\Omega, k_0, \mathbf{k}, \omega', v'}$$

- $k_0$  from Fourier transform to the time part, i.e, frequency
- $k_0$  can be both +ve and -ve, thus can nullify matter effect

# BREAKING OF STATIONARITY (1+1+3)

- For simplicity assume spatial homogeneity,  $k = 0$ ,  $K_0 \neq 0$



Cascading between different temporal modes would change the picture

However, that depends on the Duration of instability

Cappozzi, Dasgupta & Mirizzi  
arXiv:1603.03288

# FUTURE OUTLOOK

SNe provide extreme conditions for neutrino oscillations, comparable only to,

- Early Universe
- Merging Compact objects

Scpecially neutrino evolution in stellar collapse is,

- Space-time dependent phenomenon (not stationary or homogeneous )
- Solutions do not respect initial symmetries (instabilities in all scales)

Thank you!

# Extra Slides

# PENDULUM IN FLAVOR SPACE

[Hannestad, Raffelt, Sigl, Wong, astro-ph/0608695, Duan, Carlson, Fuller, Qian, astro-ph/0703776]

Neutrino mass hierarchy (and  $\theta_{13}$ ) set initial condition and fate

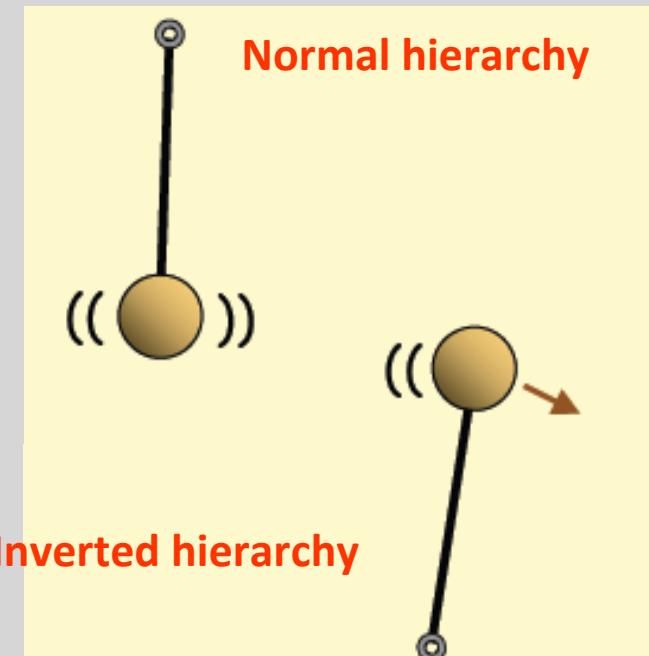
With only initial  $\nu_e$  and  $\bar{\nu}_e$ :

- **Normal hierarchy**

Pendulum starts in  $\sim$  downward (stable) positions and stays nearby. No significant flavor change.

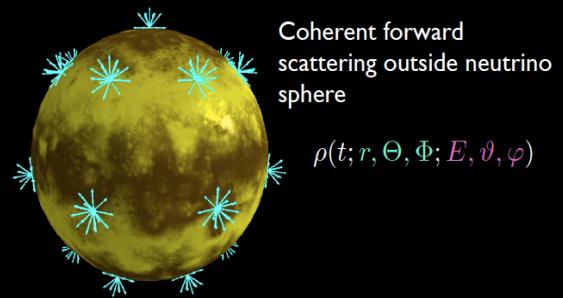
- **Inverted hierarchy**

Pendulum starts in  $\sim$  upward (unstable) positions and eventually falls down. Significant flavor changes.

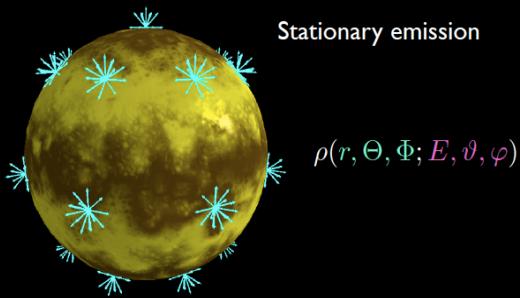


$\theta_{13}$  sets initial misalignment with vertical. Specific value not much relevant.

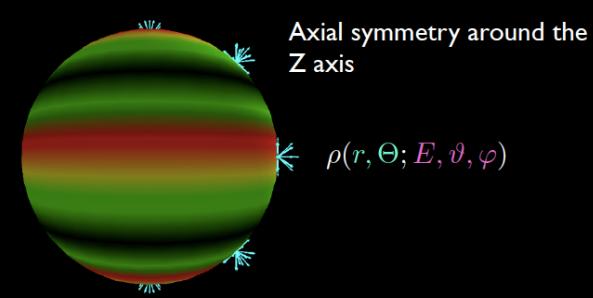
(1+3+3)D



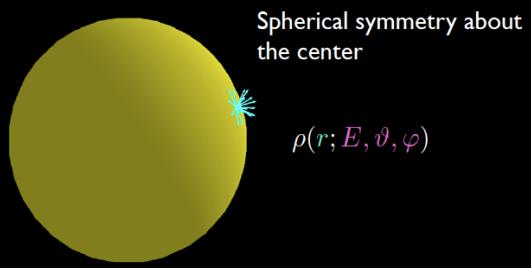
(0+3+3)D



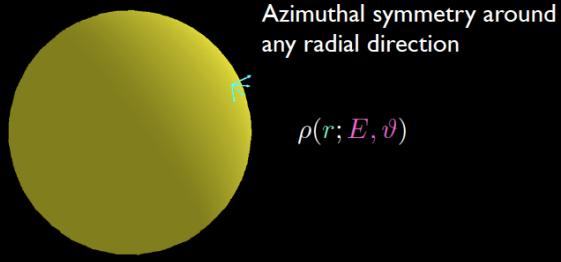
(0+2+3)D



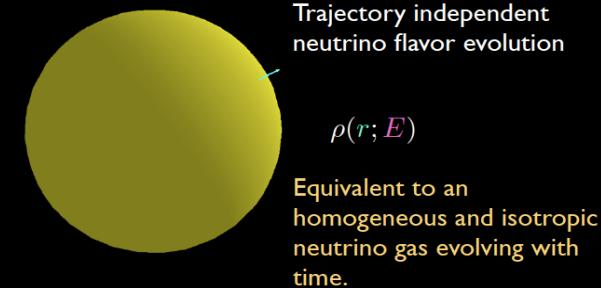
(0+1+3)D



(0+1+2)D  
Multi-Angle/Bulb Model



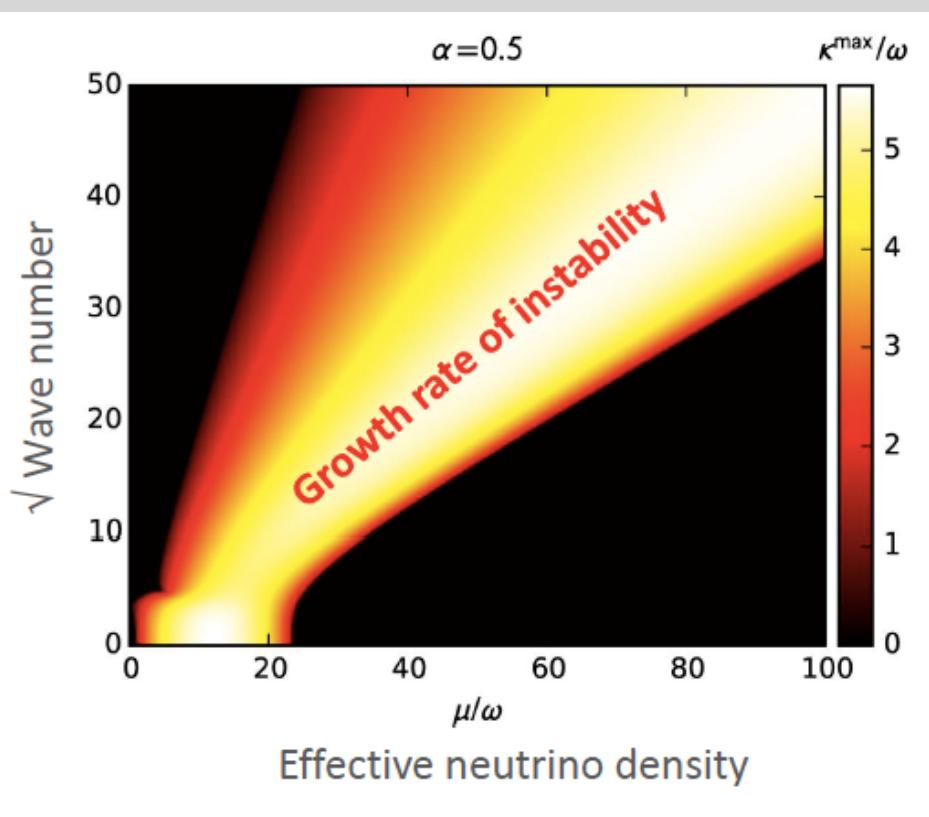
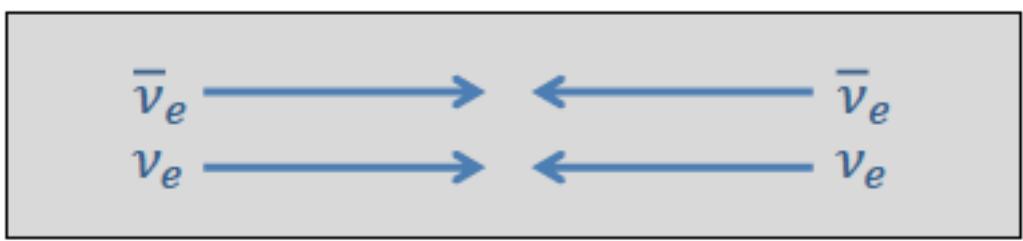
(0+1+1)D  
Single-Angle Model



slide from H. Duan

Duan & Shalgar, PLB 2015  
Mirizzi, Mangano & Saviano, PRD 2015

# SPATIAL SYMMETRY BREAKING



Colliding beam: stability analysis

Duan & Shalgar, PLB 2015

see also

Mirizzi, Mangano & Saviano, PRD 2015